# UNIQUE FACTORIZATION PROPERTY OF NON-UNIQUE FACTORIZATION DOMAINS II

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ABSTRACT. Let D be an integral domain. A nonzero nonunit a of D is called a valuation element if there is a valuation overring V of D such that  $aV \cap D = aD$ . We say that D is a valuation factorization domain (VFD) if each nonzero nonunit of D can be written as a finite product of valuation elements. In this paper, we study some ring-theoretic properties of VFDs. Among other things, we show that (i) a VFD D is Schreier, and hence  $\operatorname{Cl}_t(D) = \{0\}$ , (ii) if D is a PvMD, then D is a VFD if and only if D is a weakly Matlis GCD-domain, if and only if D[X], the polynomial ring over D, is a VFD and (iii) a VFD D is a weakly factorial GCD-domain if and only if D is archimedean. We also study a unique factorization property of VFDs.

## 0. Introduction

Let D be an integral domain with quotient field K. An overring of D means a subring of K containing D. A nonzero nonunit  $x \in D$  is said to be homogeneous if x is contained in a unique maximal t-ideal of D. As in [5], we say that D is a homogeneous factorization domain (HoFD) if each nonzero nonunit of D can be written as a finite product of homogeneous elements. Let D be an HoFD, let  $x \in D$  be a nonzero nonunit and let  $x = \prod_{i=1}^n a_i = \prod_{j=1}^m b_j$  be two finite products of t-comaximal homogeneous elements of D. Then n = m and  $a_iD = b_iD$  for  $i \in [1, n]$  by reordering if necessary [5, Remark 2.1]. Hence, an HoFD has a unique factorization property even though it is not a unique factorization domain (UFD). In [5], Chang studied several properties of HoFDs and constructed examples of HoFDs. In this paper, we continue to study the unique factorization property of non-unique factorization domains.

As in [15, Appendix 3], we say that an ideal I of D is a valuation ideal if there is a valuation overring V of D such that  $IV \cap D = I$ . Clearly, each ideal of a valuation domain is a valuation ideal. Conversely, in [8, Corollary 2.4], Gilmer and Ohm showed that if every principal ideal of D is a valuation ideal, then D is a valuation domain. In this paper, we will say that a nonzero nonunit  $a \in D$  is a valuation element if aD is a valuation ideal, i.e., there is a valuation overring V of D such that  $aV \cap D = aD$ . It is well known that a prime ideal of D is a valuation ideal [15, page 341]. Hence, every prime element is a valuation element. Thus, every nonzero nonunit of a UFD can be written as a finite product of valuation elements. We will say that D is a valuation factorization domain (VFD) if each

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nonzero nonunit of D can be written as a finite product of valuation elements. Clearly, valuation domains and UFDs are VFDs. The purpose of this paper is to study some factorization properties of VFDs.

- 0.1. **Definitions related to the** t**-operation.** We first review some definitions related to the t-operation which are needed for fully understanding this paper. Let D be an integral domain with quotient field K. A D-submodule A of K is called a fractional ideal of D if  $dA \subseteq D$  for some nonzero  $d \in D$ . Let F(D) (resp., f(D)) be the set of nonzero fractional (resp., nonzero finitely generated fractional) ideals of D. For  $A \in F(D)$ , let  $A^{-1} = \{x \in K \mid xA \subseteq D\}$ ; then  $A^{-1} \in F(D)$ . Hence, if we set

  - $\bullet \ A_v = (A^{-1})^{-1} \text{ and }$   $\bullet \ A_t = \bigcup \{I_v \mid I \subseteq A \text{ and } I \in f(D)\},$

then the v- and t-operations are well defined. It is easy to see that  $I \subseteq I_t \subseteq I_v$  for all  $I \in F(D)$  and  $I_t = I_v$  if I is finitely generated. Let \* = v or t. An  $I \in F(D)$ is called a \*-ideal if  $I_* = I$ . A \*-ideal is a maximal \*-ideal if it is maximal among the proper integral \*-ideals. Let \*-Max(D) be the set of maximal \*-ideals of D. It may happen that  $v\text{-Max}(D) = \emptyset$  even though D is not a field as in the case of a rank-one nondiscrete valuation domain D. However, t-Max $(D) \neq \emptyset$  if and only if D is not a field; each maximal t-ideal of D is a prime ideal; each proper t-ideal of D is contained in a maximal t-ideal; each prime ideal of D minimal over a t-ideal is a t-ideal, whence each height-one prime ideal is a t-ideal; and  $D = \bigcap_{P \in t\text{-Max}(D)} D_P$ . An integral domain D is said to be of *finite* (t-) character if each nonzero nonunit of D is contained in only finitely many maximal (t-)ideals. Let Spec(D) (resp., t-Spec(D)) be the set of prime ideals (resp., prime t-ideals) of D; so t-Max $(D) \subseteq t$ -Spec $(D) \subseteq Spec(D) \setminus \{(0)\}$ . The t-dimension of D is defined by  $t\text{-dim}(D) = \sup\{n \mid P_1 \subsetneq \cdots \subsetneq P_n \text{ for some prime } t\text{-ideals } P_i \text{ of } D\}$ . Hence, t-dim(D) = 1 if and only if D is not a field and t-Max(D) = t-Spec(D), and if  $\dim(D) = 1$ , then  $t\text{-Max}(D) = t\text{-Spec}(D) = \operatorname{Spec}(D) \setminus \{(0)\}.$ 

An  $I \in F(D)$  is said to be invertible (resp., t-invertible) if  $II^{-1} = D$  (resp.,  $(II^{-1})_t = D$ ). It is easy to see that invertible ideals are t-invertible t-ideals. We say that D is a Prüfer v-multiplication domain (PvMD) if each nonzero finitely generated ideal of D is t-invertible. It is known that D is a PvMD if and only if  $D_P$  is a valuation domain for all maximal t-ideals P of D, if and only if D[X], the polynomial ring over D, is a PvMD [11, Theorems 3.2 and 3.7]; and a Prüfer domain is a PvMD whose maximal ideals are t-ideals. Let T(D) be the set of t-invertible fractional t-ideals. Then T(D) is an abelian group under  $I*J=(IJ)_t$ . Let Inv(D)(resp., Prin(D)) be the subgroup of T(D) of invertible (resp., nonzero principal) fractional ideals of D. The factor group  $Cl_t(D) = T(D)/Prin(D)$ , called the t-class group of D, is an abelian group and Pic(D) = Inv(D)/Prin(D), called the Picard group of D, is a subgroup of  $Cl_t(D)$ . A GCD-domain is just a PvMD with trivial t-class group.

0.2. **Results.** This paper consists of five sections including the introduction. Let D be an integral domain. In Section 1, we study basic properties of valuation elements and VFDs. Among other things, we show that (i) a VFD is integrally closed, (ii) if D is not a field, then D is a VFD with t-dim(D) = 1 if and only if D is a weakly factorial GCD-domain and (iii) every nonzero nonunit of a VFD can be written as a finite product of incomparable valuation elements. In Section 2, we show that (i) a VFD is a Schreier domain, and hence it has a trivial t-class group and (ii) a UMT-domain D is a VFD if and only if D[X] is a VFD. In Section 3, we study VFDs that are HoFDs. We show that if t-Spec(D) is treed, then (i) every valuation element is a homogeneous element and (ii) D is a VFD if and only if D is a weakly Matlis GCD-domain, if and only if D[X] is a VFD. Finally, in Section 4, we introduce the notion of UVFDs and show that the UVFDs are precisely the weakly Matlis GCD-domains. We also characterize when a VFD is a UVFD.

#### 1. Valuation elements and VFDs

Let D be an integral domain with quotient field K. Let  $\mathbb{N}$  be the set of positive integers and let  $\mathbb{N}_0$  be the set of non-negative integers. For elements  $a, b \in D$ , we say that a divides b (denoted by  $a \mid_D b$ ) if b = ac for some  $c \in D$ . In this section, we study basic properties of valuation elements and VFDs. Our first result is very simple, but it plays a key role in the study of VFDs.

**Proposition 1.1.** Let D be an integral domain, let D' be an overring of D and let  $a, b \in D$  be such that  $a \neq 0$  and  $aD' \cap D = aD$ .

- (1) If  $bD' \cap D = bD$ , then  $abD' \cap D = abD$ .
- (2) If  $b \mid_D a$ , then  $bD' \cap D = bD$ .
- (3) If  $\sqrt{aD} \subseteq \sqrt{bD}$ , then  $bD' \cap D = bD$ . In particular, if a is a valuation element of D and  $\sqrt{aD} \subseteq \sqrt{bD} \subseteq D$ , then b is a valuation element of D.
- *Proof.* (1) Let  $bD' \cap D = bD$ . Observe that  $abD = a(bD) = a(bD' \cap D) = abD' \cap aD = abD' \cap aD' \cap D = abD' \cap D$ .
- (2) Let  $b \mid_D a$ . There is some  $c \in D$  such that a = bc. We infer that  $bD' \cap D = c^{-1}aD' \cap D = c^{-1}(aD' \cap cD) = c^{-1}(aD' \cap D \cap cD) = c^{-1}(aD \cap cD) = bD \cap D = bD$ .
- (3) Let  $\sqrt{aD} \subseteq \sqrt{bD}$ . Then there is some  $k \in \mathbb{N}$  such that  $a^k \in bD$ . By (1),  $a^kD' \cap D = a^kD$ , and since  $b \mid_D a^k$ , we infer by (2) that  $bD' \cap D = bD$ .

**Corollary 1.2.** Let D be an integral domain and let  $a \in D$  be a valuation element.

- (1) If  $b \in D$  is such that  $\sqrt{aD} \subseteq \sqrt{bD}$ , then aD and bD are comparable.
- (2) Each two principal ideals of D that contain a are comparable.
- (3)  $\bigcap_{n\in\mathbb{N}} a^n D \in \operatorname{Spec}(D)$ .

*Proof.* There is some valuation overring V of D such that  $aV \cap D = aD$ .

- (1) Let  $b \in D$  be such that  $\sqrt{aD} \subseteq \sqrt{bD}$ . It follows from Proposition 1.1(3) that  $bV \cap D = bD$ . Since V is a valuation domain, we have that aV and bV are comparable, and hence aD and bD are comparable.
- (2) Let  $b, c \in D$  be such that  $a \in bD \cap cD$ . Then  $bV \cap D = bD$  and  $cV \cap D = cD$  by Proposition 1.1(2). Since bV and cV are comparable, we infer that bD and cD are comparable.
- (3) It follows from Proposition 1.1(1) that  $a^n V \cap D = a^n D$  for each  $n \in \mathbb{N}$ . Therefore,  $(\bigcap_{n \in \mathbb{N}} a^n V) \cap D = \bigcap_{n \in \mathbb{N}} a^n D$ . Since a is not a unit of V, we have that  $\bigcap_{n \in \mathbb{N}} a^n V \in \operatorname{Spec}(V)$ , and thus  $\bigcap_{n \in \mathbb{N}} a^n D \in \operatorname{Spec}(D)$ .

**Remark 1.3.** Let D be a VFD, let  $a \in D$  be a valuation element and let  $Q \in \text{Spec}(D)$  be such that  $Q \subseteq \sqrt{aD}$ . Then  $Q \subseteq \bigcap_{n \in \mathbb{N}} a^n D$ .

*Proof.* Let  $x \in Q \setminus \{0\}$ . Then  $x \in bD \subseteq Q$  for some valuation element  $b \in D$ . We have that  $\sqrt{bD} \subsetneq \sqrt{aD} = \sqrt{a^nD}$  for each  $n \in \mathbb{N}$ , and hence  $x \in bD \subseteq \bigcap_{n \in \mathbb{N}} a^nD$  by Corollary 1.2(1). Consequently,  $Q \subseteq \bigcap_{n \in \mathbb{N}} a^nD$ .

**Corollary 1.4.** [8, Corollary 2.4] Let D be an integral domain. If every nonzero nonunit of D is a valuation element, then D is a valuation domain.

*Proof.* Let  $a, b \in D$  be nonzero nonunits. Then ab is a valuation element by assumption. Note that aD and bD are principal ideals of D that contain ab. Consequently, aD and bD are comparable by Corollary 1.2(2). Therefore, D is a valuation domain.

# Corollary 1.5. A VFD is integrally closed.

Proof. Let D be a VFD, let  $\overline{D}$  be the integral closure of D and let  $a \in D$  be a valuation element. There is some valuation overring V of D such that  $aV \cap D = aD$ . Since V is integrally closed, it follows that  $\overline{D} \subseteq V$ , and hence  $a\overline{D} \cap D = aD$ . It is an immediate consequence of Proposition 1.1(1) that  $x\overline{D} \cap D = xD$  for each  $x \in D$ . If  $y \in \overline{D}$ , then  $yz \in D$  for some nonzero  $z \in D$ , and thus  $yz \in z\overline{D} \cap D = zD$  and  $y \in D$ . Consequently,  $D = \overline{D}$ .

**Corollary 1.6.** Let D be a quasi-local domain of dimension one. The following statements are equivalent.

- (1) D is a valuation domain.
- (2) D has at least one valuation element.
- (3) D is a VFD.

*Proof.*  $(1) \Rightarrow (3) \Rightarrow (2)$  This is clear.

 $(2) \Rightarrow (1)$  Let  $a \in D$  be a valuation element and let  $b \in D$  be a nonzero nonunit. Then  $\sqrt{aD} = \sqrt{bD}$ , and hence b is a valuation element of D by Proposition 1.1(3). Therefore, D is a valuation domain by Corollary 1.4.

A nonzero nonunit x of D is said to be *primary* if xD is a primary ideal. Clearly, prime elements are primary but not vice versa.

**Proposition 1.7.** Let D be an integral domain, let  $a \in D$  be a valuation element and let S be a multiplicatively closed subset of D.

- (1)  $\sqrt{aD}$  is a prime t-ideal.
- (2) a is a primary element if and only if  $\sqrt{aD}$  is a maximal t-ideal.
- (3) If t-dim(D) = 1, then every valuation element of D is a primary element.
- (4) If  $aS^{-1}D \subseteq S^{-1}D$ , then a is a valuation element of  $S^{-1}D$ .

*Proof.* (1) Let V be a valuation overring of D such that  $aD = aV \cap D$ . Then  $\sqrt{aD} = \sqrt{aV} \cap D$ , and since  $\sqrt{aV}$  is a prime ideal,  $\sqrt{aD}$  is a prime ideal. Clearly,  $\sqrt{aD}$  is minimal over aD and aD is a t-ideal. Thus,  $\sqrt{aD}$  is a prime t-ideal.

- (2) This follows from [1, Lemma 2.1].
- (3) Let t-dim(D) = 1 and let  $b \in D$  be a valuation element. Then  $\sqrt{bD}$  is a maximal t-ideal by (1) and assumption. Thus, by (2), b is a primary element.
- (4) Let  $aS^{-1}D \subseteq S^{-1}D$ . There is some valuation overring V of D such that  $aV \cap D = aD$ . Observe that S is a multiplicatively closed subset of V, and hence  $S^{-1}V$  is an overring of V. Since V is a valuation domain, we have that  $S^{-1}V$  is a

valuation domain. Note that  $aS^{-1}D = S^{-1}(aD) = S^{-1}(aV \cap D) = aS^{-1}V \cap S^{-1}D$ . Thus, a is a valuation element of  $S^{-1}D$ .

Corollary 1.8. Let D be a VFD and let S be a multiplicatively closed subset of D.

- (1)  $S^{-1}D$  is a VFD.
- (2) If P is a height-one prime ideal of D, then  $D_P$  is a valuation domain.

*Proof.* (1) This follows directly from Proposition 1.7(4).

(2) This is an immediate consequence of (1) and Corollary 1.6.  $\Box$ 

An integral domain D is a weakly factorial domain (WFD) if every nonzero nonunit of D can be written as a finite product of primary elements. Let  $X^1(D)$  be the set of height-one prime ideals of D. It is known that D is a WFD if and only if  $D = \bigcap_{P \in X^1(D)} D_P$ , where the intersection is locally finite (i.e., for each nonzero  $x \in D$ , x is a unit of  $D_P$  for all but finitely many  $P \in X^1(D)$ ) and  $\operatorname{Cl}_t(D) = \{0\}$  [3, Theorem]; in this case, t-dim(D) = 1 (cf. Proposition 1.7(2)).

**Corollary 1.9.** Let D be an integral domain that is not a field. Then D is a VFD with  $t\text{-}\dim(D) = 1$  if and only if D is a weakly factorial GCD-domain.

*Proof.* ( $\Rightarrow$ ) Let D be a VFD of t-dimension one. It follows from Proposition 1.7(3) that D is a weakly factorial domain. Thus, it is an immediate consequence of Corollary 1.8(2) and [2, Theorem 18] that D is a GCD-domain.

( $\Leftarrow$ ) Now let D be a weakly factorial GCD-domain. Then t-dim(D) = 1. We next show that every primary element is a valuation element. Let  $a \in D$  be a primary element and let  $P = \sqrt{aD}$ . Then P is a height-one prime ideal of D, and since D is a GCD-domain,  $D_P$  is a valuation domain. Note that  $aD_P \cap D = aD$ , and hence a is a valuation element. Thus, D is a VFD.

Note that if D is a (one-dimensional) Bézout domain which is not of finite character (e.g., let D be the example in [13, Theorem 3.4] or let D be the ring of entire functions), then D is a GCD-domain and yet D is not a VFD (by Theorem 3.4). For more details concerning this example, we refer to [12, Example 4.2].

For  $n \in \mathbb{N}$ , let  $[1,n] = \{k \in \mathbb{N} \mid 1 \leq k \leq n\}$ . Two elements x and y of an integral domain D are said to be incomparable if xD and yD are incomparable under inclusion. We next show that each nonzero nonunit a of a VFD D can be written as a finite product of incomparable valuation elements, say,  $a = \prod_{i=1}^{n} a_i$ , and in this case, n is the number of minimal prime ideals of aD by a series of lemmas.

**Lemma 1.10.** Let D be an integral domain. If  $v \in D$  is a finite product of valuation elements of D such that  $\sqrt{vD}$  is a prime ideal, then v is a valuation element.

Proof. Let  $k \in \mathbb{N}$  and let  $v \in D$  be such that  $\sqrt{vD}$  is a prime ideal of D and  $v = \prod_{i=1}^k v_i$  for some valuation elements  $v_i \in D$ . We have that  $\sqrt{vD} = \bigcap_{i=1}^k \sqrt{v_iD}$ . Since  $\sqrt{vD}$  is a prime ideal of D, it follows that  $\sqrt{vD} = \sqrt{v_jD}$  for some  $j \in [1, k]$ . It is an immediate consequence of Proposition 1.1(3) that v is a valuation element of D.

**Corollary 1.11.** Let D be a VFD. Then the valuation elements of D are precisely the elements  $a \in D$  for which  $\sqrt{aD}$  is a nonzero prime ideal of D.

*Proof.* This is an immediate consequence of Proposition 1.7(1) and Lemma 1.10.  $\Box$ 

Let I be an ideal of an integral domain D. Let  $\mathcal{P}(I)$  denote the set of minimal prime ideals of I.

**Lemma 1.12.** Let D be a VFD and let  $a \in D$  be a nonzero nonunit. Then  $\min\{k \in \mathbb{N} \mid a \text{ is a product of } k \text{ valuation elements of } D\} = |\mathcal{P}(aD)|.$ 

Proof. Let  $n = \min\{k \in \mathbb{N} \mid a \text{ is a product of } k \text{ valuation elements of } D\}$ . We have that  $a = \prod_{i=1}^n a_i$  for some valuation elements  $a_i$  of D. Let  $P \in \mathcal{P}(aD)$ . Set  $\Sigma_P = \{i \in [1,n] \mid P \subseteq \sqrt{a_iD}\}$ . Observe that  $\sqrt{a_jD} = P$  for some  $j \in \Sigma_P$ . This implies that  $\sqrt{\prod_{i \in \Sigma_P} a_i D} = \bigcap_{i \in \Sigma_P} \sqrt{a_i D} = P$ , and thus  $\prod_{i \in \Sigma_P} a_i$  is a valuation element of D by Corollary 1.11. Since n is minimal and  $\Sigma_P$  is nonempty, we infer that  $|\Sigma_P| = 1$ . Note that  $[1,n] = \bigcup_{Q \in \mathcal{P}(aD)} \Sigma_Q$ . Consequently, there is a bijection  $\varphi : \mathcal{P}(aD) \to [1,n]$  such that  $\Sigma_Q = \{\varphi(Q)\}$  for each  $Q \in \mathcal{P}(aD)$ .

**Proposition 1.13.** Let D be a VFD, let  $n \in \mathbb{N}$ , let  $(a_i)_{i=1}^n$  be a sequence of valuation elements of D and let  $a = \prod_{i=1}^n a_i$ . The following statements are equivalent.

- (1)  $a_i$  and  $a_j$  are incomparable for all distinct  $i, j \in [1, n]$ .
- (2)  $\sqrt{a_iD}$  and  $\sqrt{a_jD}$  are incomparable for all distinct  $i, j \in [1, n]$ .
- (3) A map  $f:[1,n] \to \mathcal{P}(aD)$  given by  $f(i) = \sqrt{a_iD}$  is a well-defined bijection.
- (4)  $n = |\mathcal{P}(aD)|$ .

Hence, every nonzero nonunit of D can be written as a finite product of incomparable valuation elements.

*Proof.* (1)  $\Rightarrow$  (2) This follows from Corollary 1.2(1).

- $(2)\Rightarrow (3)$  Note that if  $P\in \mathcal{P}(aD)$ , then  $a_i\in P$  for some  $i\in [1,n]$ , and hence  $P=\sqrt{a_iD}$ . Moreover, if  $j\in [1,n]$ , then  $a\in \sqrt{a_jD}$ , and thus  $Q\subseteq \sqrt{a_jD}$  for some  $Q\in \mathcal{P}(aD)$ . As shown before,  $Q=\sqrt{a_kD}$  for some  $k\in [1,n]$ . It follows that k=j, and hence  $\sqrt{a_jD}=Q\in \mathcal{P}(aD)$ . Thus, f is a well-defined bijection.
  - $(3) \Rightarrow (4)$  This is obvious.
- $(4) \Rightarrow (1)$  If there are distinct  $i, j \in [1, n]$  such that  $a_i D$  and  $a_j D$  are comparable, then  $a_i a_j$  is a valuation element, which contradicts Lemma 1.12.

Moreover, by Lemma 1.12 again, every nonzero nonunit of D can be written as a finite product of incomparable valuation elements.

Now let D be a VFD. It is an easy consequence of Proposition 1.13 that if  $n, m \in \mathbb{N}$  and  $(a_i)_{i=1}^n$  and  $(b_j)_{j=1}^m$  are two sequences of incomparable valuation elements of D with  $\prod_{i=1}^n a_i = \prod_{j=1}^m b_j$ , then n = m and  $\sqrt{a_i D} = \sqrt{b_i D}$  for each  $i \in [1, n]$  by reordering if necessary.

Corollary 1.14. Let D be a VFD and let  $\Omega = \{\sqrt{xD} \mid x \in D \setminus \{0\}, \sqrt{xD} \in \operatorname{Spec}(D)\}.$ 

- (1) The valuation elements of D are precisely the nonzero nonunits  $a \in D$  for which each two principal ideals of D that contain a are comparable.
- (2) If  $a \in D$  is a valuation element and  $P, Q \in \Omega$  are such that  $a \in P \cap Q$ , then P and Q are comparable.
- (3)  $\Omega = \bigcup_{a \in D \setminus \{0\}} \mathcal{P}(aD) = \{\sqrt{xD} \mid x \in D \text{ is a valuation element}\}.$

- *Proof.* (1) This is an easy consequence of Corollary 1.2(2) and Proposition 1.13.
- (2) Let  $a \in D$  be a valuation element and let  $P, Q \in \Omega$  be such that  $a \in P \cap Q$ . Then  $\sqrt{aD} \in \Omega$  and  $\sqrt{aD} \subseteq P \cap Q$ . Moreover  $P = \sqrt{pD}$  and  $Q = \sqrt{qD}$  for some  $p, q \in D$ . Without restriction let  $\sqrt{aD} \subseteq P$  and  $\sqrt{aD} \subseteq Q$ . Therefore,  $aD \subseteq pD \cap qD$  by Corollary 1.2(1), and thus pD and qD are comparable by (1). Consequently, P and Q are comparable.
- (3) ( $\subseteq$ ) First let  $P \in \Omega$ . There is some nonzero  $x \in D$  such that  $P = \sqrt{xD}$ . Observe that  $P \in \mathcal{P}(xD)$ . ( $\subseteq$ ) Next let  $a \in D$  be a nonzero nonunit and let  $Q \in \mathcal{P}(aD)$ . Then  $a \in yD \subseteq Q$  for some valuation element  $y \in D$ . It follows from Corollary 1.11 that  $\sqrt{yD}$  is a prime ideal of D, and hence  $Q = \sqrt{yD}$ . ( $\subseteq$ ) Finally, let  $z \in D$  be a valuation element of D. Set  $A = \sqrt{zD}$ . It follows from Corollary 1.11 that  $A \in \Omega$ .

#### 2. Schreier domains

Let D be an integral domain. Then D is called a *pre-Schreier domain* if for all nonzero  $x,y,z\in D$  with  $x\mid_D yz$ , there are some  $a,b\in D$  such that x=ab,  $a\mid_D y$  and  $b\mid_D z$ . Moreover, D is called a *Schreier domain* if D is an integrally closed pre-Schreier domain. Clearly, GCD-domains are Schreier domains. Schreier domains were introduced by Cohn [6], and later, in [14], Zafrullah introduced the notion of pre-Schreier domains.

(Pre-)Schreier domains are rather "nice" integral domains. Let D[X] be the polynomial ring over D. Recall that a polynomial  $f \in D[X]$  is called *primitive* if each common divisor of the coefficients of f is a unit of D. We say that D satisfies  $Gau\beta$ ' Lemma if the product of each two primitive polynomials over D is primitive. Clearly, UFDs satisfy Gauß' Lemma, and we use this fact to show that if D is a UFD, then D[X] is also a UFD. It is well known (cf. [4, Propositions 3.2 and 3.3]) that every (pre-)Schreier domain satisfies Gauß' Lemma.

# **Proposition 2.1.** A VFD is a Schreier domain.

*Proof.* Let D be a VFD. It follows from Corollary 1.5 that D is integrally closed. Next we show by induction that for each  $k \in \mathbb{N}$ , for each valuation element  $x \in D$  and for all nonzero  $y, z \in D$  such that  $x \mid_D yz$  and  $|\mathcal{P}(yD)| + |\mathcal{P}(zD)| = k$ , there are some  $a, b \in D$  such that x = ab,  $a \mid_D y$  and  $b \mid_D z$ .

Let  $k \in \mathbb{N}$ , let  $x \in D$  be a valuation element and let  $y, z \in D$  be nonzero such that  $x \mid_D yz$  and  $|\mathcal{P}(yD)| + |\mathcal{P}(zD)| = k$ . Observe that  $yz \in xD \subseteq \sqrt{xD} \in \operatorname{Spec}(D)$ , and hence  $y \in \sqrt{xD}$  or  $z \in \sqrt{xD}$ . Without restriction let  $y \in \sqrt{xD}$ . Note that  $y = \prod_{P \in \mathcal{P}(yD)} y_P$  for some incomparable valuation elements  $y_P \in D$  with  $\sqrt{y_PD} = P$  for each  $P \in \mathcal{P}(yD)$  by Proposition 1.13. Consequently, there is some  $P \in \mathcal{P}(yD)$  such that  $\sqrt{y_PD} \subseteq \sqrt{xD}$ . It follows from Corollary 1.2(1) that  $y_PD$  and xD are comparable.

CASE 1:  $y_P D \subseteq xD$ . Then  $y \in xD$ . Set a = x and b = 1. Then x = ab,  $a \mid_D y$  and  $b \mid_D z$ .

CASE 2:  $xD \subsetneq y_PD$ . There is some nonunit  $w \in D$  such that  $x = y_Pw$ . We infer by Proposition 1.1(2) that w is a valuation element of D. There is some  $y' \in D$  such that  $y = y_Py'$ . Note that  $\mathcal{P}(y'D) = \mathcal{P}(yD) \setminus \{P\}$ , and hence  $|\mathcal{P}(y'D)| + |\mathcal{P}(zD)| < k$ .

Moreover,  $y_P w = x \mid_D yz = y_P y'z$ , and thus  $w \mid_D y'z$ . It follows by the induction hypothesis that w = a'b,  $a' \mid_D y'$  and  $b \mid_D z$  for some  $a', b \in D$ . Set  $a = y_P a'$ . Then x = ab and  $a \mid_D y$ .

We infer that for each valuation element  $x \in D$  and for all nonzero  $y, z \in D$  with  $x \mid_D yz$  there are some  $a, b \in D$  such that x = ab,  $a \mid_D y$  and  $b \mid_D z$ . Now it is straightforward to show by induction that for each  $n \in \mathbb{N}$ , for each  $x \in D$  which is a product of n valuation elements of n and for each nonzero n, n and n and n are some n, n and n and n are some n and n and n and n and n and n and n are some n are some n and n are some n are some n and n are some n are some n and n are some n are some n and n and n are some n are some n and n are some n are some n and n are some n and n are some n are some n and n are some n and n are some n and n are some n are some n and n are some n are some n and n are some n are some n and n are some n and n are some n and n are some n and n are some n are some n and n are some n and n are some n are some n are some n are some n and n are some n are some n and n are some n are some n are some n are some n and n are some n and n are some n are some n and n are some n and n are some n are some n are some n and n are some n are some n

However, Schreier domains need not be VFDs. For example, it is known that a Prüfer domain is a Schreier domain if and only if it is a Bézout domain [7, Proposition 2], while a VFD that is a Prüfer domain is an h-local Prüfer domain (by Corollary 3.7). Hence, if  $D = \mathbb{Z}_{\mathbb{Z}\setminus(2)\cup(3)} + X\mathbb{Q}[\![X]\!]$ , then D is a Schreier domain but not a VFD.

**Corollary 2.2.** Let D be an integral domain. The following statements are equivalent.

- (1) D is a VFD.
- (2) D is a Schreier domain and every nonzero prime t-ideal of D contains a valuation element of D.
- (3) D is a pre-Schreier domain and every nonzero prime t-ideal of D contains a valuation element of D.

*Proof.* (1)  $\Rightarrow$  (2) It follows from Proposition 2.1 that D is a Schreier domain. It is obvious that every nonzero prime t-ideal of D contains a valuation element of D.

- $(2) \Rightarrow (3)$  This is obvious.
- $(3) \Rightarrow (1)$  Let  $\Sigma$  be the set of finite products of units and valuation elements of D. Observe that  $\Sigma$  is a multiplicatively closed subset of D. Next we show that  $\Sigma$  is divisor-closed. Let  $x \in D$  be such that  $x \mid_D y$  for some  $y \in \Sigma$ . There are some  $n \in \mathbb{N}$  and some elements  $y_i \in D$  for each  $i \in [1,n]$  which are either units or valuation elements of D such that  $y = \prod_{i=1}^n y_i$ . Therefore,  $x = \prod_{i=1}^n x_i$  for some elements  $x_i \in D$  such that  $x_i \mid_D y_i$  for each  $i \in [1,n]$ . It follows from Proposition 1.1(2) that  $x_i$  is a unit or a valuation element of D for each  $i \in [1,n]$ . Therefore,  $x \in \Sigma$ .

It is sufficient to show that  $D \setminus \{0\} \subseteq \Sigma$ . Assume that there is some  $z \in D \setminus (\Sigma \cup \{0\})$ . Then  $zD \cap \Sigma = \emptyset$ , because  $\Sigma$  is divisor-closed by the previous paragraph. Consequently, there is some prime t-ideal P of D such that  $zD \subseteq P$  and  $P \cap \Sigma = \emptyset$ . On the other hand, P contains a valuation element of D, and hence  $P \cap \Sigma \neq \emptyset$ , a contradiction.

Let I be a t-ideal of an integral domain D. Then I is said to be t-finite if  $I = J_t$  for some  $J \in f(D)$ . It is known that I is t-invertible if and only if I is t-finite and  $I_P$  is principal for all  $P \in t$ -Max(D) [11, Corollary 2.7].

Corollary 2.3. Let D be a VFD.

- (1)  $Cl_t(D) = \{0\}.$
- (2) Every atom of D is a prime element.
- (3) If D is a t-finite conductor domain, i.e., the intersection of each two principal ideals of D is t-finite, then D is a GCD-domain.

*Proof.* This follows directly from Proposition 2.1 and [7, Proposition 2 and Corollary 6].  $\Box$ 

A  $Mori\ domain$  is an integral domain which satisfies the ascending chain condition on integral t-ideals, equivalently, each t-ideal is of finite type. Mori domains include Noetherian domains, Krull domains and UFDs.

Corollary 2.4. Let D be a VFD. The following statements are equivalent.

- (1) D is atomic.
- (2) D is a Mori domain.
- (3) D is a Krull domain.
- (4) D is a UFD.

*Proof.* Clearly, every UFD is a Krull domain and every Krull domain is a Mori domain. By definition, a Mori domain satisfies the ascending chain condition on principal ideals and is, therefore, atomic. If D is atomic, then every nonzero nonunit of D is a finite product of prime elements by Corollary 2.3(2), and thus D is a UFD.

Observe that if D is a Krull domain which is not a UFD (e.g.,  $D = \mathbb{Z}[\sqrt{-5}]$ ), then D and D[X] are both examples of Krull domains that fail to be VFDs. Furthermore, if V is a nondiscrete valuation domain (e.g.,  $V = \overline{\mathbb{Z}}_M$ , where  $\overline{\mathbb{Z}}$  is the ring of algebraic integers and M is a maximal ideal of  $\overline{\mathbb{Z}}$ ), then V is a VFD and yet V is not atomic. We next study when D[X] is a VFD.

**Lemma 2.5.** Let D[X] be the polynomial ring over an integral domain D and let  $a \in D$  be a nonzero nonunit. Then a is a valuation element of D if and only if a is a valuation element of D[X].

*Proof.* Let K be the quotient field of D.

- (⇒) By assumption,  $aV \cap D = aD$  for some valuation overring V of D. Note that if M is a maximal ideal of V, then  $V(X) := V[X]_{M[X]}$  is a valuation overring of D[X] and  $V(X) \cap K[X] = V[X]$ ; hence if  $u \in aV(X) \cap D[X]$ , then u = af for some  $f \in V[X]$ . Hence,  $aV \cap D = aD$  implies  $af \in aD[X]$ , and thus  $f \in D[X]$ . Therefore,  $aV(X) \cap D[X] = aD[X]$ .
- $(\Leftarrow)$  Let W be a valuation overring of D[X] such that  $aW \cap D[X] = aD[X]$  and let  $V = W \cap K$ . Then V is a valuation overring of D and

$$\begin{array}{lcl} aD & = & aD[X] \cap K = aW \cap D[X] \cap K \\ & = & (aW \cap aK) \cap (D[X] \cap K) = a(W \cap K) \cap D \\ & = & aV \cap D. \end{array}$$

Thus, a is a valuation element of D.

Let D[X] be the polynomial ring over D. For  $f \in D[X]$ , let c(f) denote the ideal of D generated by the coefficients of f. A nonzero prime ideal Q of D[X] is called an *upper to zero* in D[X] if  $Q \cap D = (0)$ . Following [10], we say that D is a UMT-domain if each upper to zero in D[X] is a maximal t-ideal. Then D is an integrally closed UMT-domain if and only if D is a PvMD [10, Proposition 3.2].

**Proposition 2.6.** Let D[X] be the polynomial ring over an integral domain D. Then D[X] is a VFD if and only if D is a VFD and every upper to zero in D[X] contains a valuation element of D[X].

*Proof.* ( $\Rightarrow$ ) Let D[X] be a VFD. Let  $a \in D$  be a nonzero nonunit. Then a is a nonzero nonunit of D[X], and hence a is a finite product of valuation elements of D[X]. Clearly, each of these valuation elements is contained in D, and thus a is a finite product of valuation elements of D by Lemma 2.5. Therefore, D is a VFD. It is clear that every upper to zero in D[X] contains a valuation element of D[X].

( $\Leftarrow$ ) Let D be a VFD and let every upper to zero in D[X] contain a valuation element of D[X]. Then D is a Schreier domain by Proposition 2.1. It follows from [6, Theorem 2.7] (or from [4, Theorem 4.8]) that D[X] is a Schreier domain. Let P be a nonzero prime t-ideal of D[X]. If  $P \cap D = (0)$ , then P contains a valuation element of D[X] by assumption. Now let  $P \cap D \neq (0)$ . It is clear that  $P \cap D$  contains a valuation element of D[X]. Consequently, D[X] is a VFD by Corollary 2.2. □

**Corollary 2.7.** Let D[X] be the polynomial ring over a UMT-domain D. Then D is a VFD if and only if D[X] is a VFD.

*Proof.* By Proposition 2.6 it is sufficient to show that if D is a VFD, then every upper to zero in D[X] contains a valuation element of D[X]. Let D be a VFD. Then D is integrally closed by Corollary 1.5, and hence D is a PvMD because D is a UMT-domain. Hence, D is a GCD-domain by Corollary 2.3(1). Let P be an upper to zero in D[X]. Since D is a GCD-domain, it follows that P = fD[X] for some prime element  $f \in D[X]$ . Since every prime element of D[X] is a valuation element, it follows that P contains a valuation element of D[X].

# 3. VFDs which are HoFDs

An integral domain D is called a weakly Matlis domain if (i) D is of finite t-character and (ii) D is independent, i.e., no two distinct maximal t-ideals of D contain a common nonzero prime ideal. It is easy to see that if D is not a field, then D is a weakly factorial GCD-domain if and only if D is a weakly Matlis GCD-domain with t-dim(D) = 1.

Let D be an integral domain. We say that  $a, b \in D$  are t-comaximal if  $(a, b)_v = D$ . Two elements  $a, b \in D$  are said to be *coprime* if for each  $c \in D$  with  $aD \cup bD \subseteq cD$ , it follows that c is a unit of D. Hence, if  $a, b \in D$  are t-comaximal, then a, b are coprime. Note that if  $a, b \in D$  are two homogeneous elements that are not t-comaximal, then ab is also a homogeneous element of D. Thus, every nonzero nonunit of an HoFD can be written as a finite product of t-comaximal homogeneous elements. It is known that D is an HoFD if and only if D is a weakly Matlis domain with trivial t-class group [5, Theorem 2.2]. We first study when VFDs are HoFDs.

**Proposition 3.1.** Let D be a VFD. The following statements are equivalent.

- (1) D is an HoFD.
- (2) D is a weakly Matlis domain.
- (3) Every nonzero prime t-ideal of D is contained in a unique maximal t-ideal.
- (4) Every valuation element of D is homogeneous.

- (5) D is of finite t-character.
- (6) Every valuation element of D is contained in only finitely many maximal t-ideals.

If every maximal t-ideal of D is the radical of a principal ideal, then these equivalent conditions are satisfied.

*Proof.* (1)  $\Rightarrow$  (2) This follows from [5, Theorem 2.2].

- $(2) \Rightarrow (3)$  This is clear.
- $(3)\Rightarrow (4)$  Let  $a\in D$  be a valuation element of D. Then  $\sqrt{aD}$  is a nonzero prime t-ideal of D by Proposition 1.7(1). Consequently,  $|\{M\in t\text{-Max}(D)\mid a\in M\}|=|\{M\in t\text{-Max}(D)\mid \sqrt{aD}\subseteq M\}|=1$ , and hence a is homogeneous.
  - $(4) \Rightarrow (1)$  This is obvious.
  - $(2) \Rightarrow (5) \Rightarrow (6)$  This is clear.
- $(6)\Rightarrow (4)$  Let  $a\in D$  be a valuation element. Set  $\Sigma=\{Q\in t\text{-Max}(D)\mid a\in Q\}$ . Assume that  $|\Sigma|\geq 2$ . Then there are some distinct  $M,N\in\Sigma$ . Since  $\Sigma$  is finite, there are some  $b\in M\setminus\bigcup_{Q\in\Sigma\setminus\{M\}}Q$  and  $c\in N\setminus\bigcup_{Q\in\Sigma\setminus\{N\}}Q$ . Note that a and b are not t-comaximal. We infer by Proposition 2.1 and [4, Proposition 3.3] that  $aD\cup bD\subseteq dD$  for some nonunit  $d\in D$ . It follows by analogy that  $aD\cup cD\subseteq eD$  for some nonunit  $e\in D$ . Since  $a\in dD\cap eD$ , we have that dD and eD are comparable by Corollary 1.2(2). Without restriction let  $eD\subseteq dD$ . There is some  $A\in t\text{-Max}(D)$  such that  $dD\subseteq A$ , and hence  $aD\cup bD\cup cD\subseteq A$ . This implies that M=A=N, a contradiction.

Now let every maximal t-ideal of D be the radical of a principal ideal. We infer by Corollary 1.14(2) that every valuation element of D is homogeneous.

We say that D is a t-treed domain if the set of prime t-ideals of D is treed under inclusion. The class of t-treed domains includes PvMDs and integral domains of t-dimension one. We next study VFDs that are t-treed. We first need a lemma.

**Lemma 3.2.** Let D be a t-treed domain. Then every valuation element of D is homogeneous.

*Proof.* Let  $a \in D$  be a valuation element. Assume to the contrary that a is not homogeneous. Hence, there are at least two distinct maximal t-ideals  $M_1$  and  $M_2$  of D containing a. Let  $S = D \setminus (M_1 \cup M_2)$ , and note that  $a \in S^{-1}D$  is a valuation element by Proposition 1.7(4). Hence, by replacing D with  $S^{-1}D$ , we assume that D is a treed domain with two maximal ideals  $M_1$  and  $M_2$ .

Since a is a valuation element, there is a valuation overring V of D such that  $aV \cap D = aD$ . Note that if M is the maximal ideal of V, then  $M \cap D$  is a proper prime ideal of D, and hence, without loss of generality, we may assume that  $M \cap D \subseteq M_1$ . Thus,  $aD \subseteq aD_{M_1} \cap D \subseteq aV \cap D = aD$ , whence  $aD_{M_1} \cap D = aD$ . Choose  $b \in M_2 \setminus M_1$ . Then  $\sqrt{aD} \subsetneq \sqrt{bD}$  because  $\operatorname{Spec}(D)$  is treed. Thus, by Proposition 1.1(3),  $bD = bD_{M_1} \cap D = D_{M_1} \cap D = D$ , a contradiction. Therefore, a is contained in a unique maximal t-ideal of D.

Next we want to point out that a weaker form of Lemma 3.2 can be proved without relying on prime avoidance.

**Remark 3.3.** Let D be a VFD. If each two valuation elements of D that are incomparable are t-comaximal, then every valuation element of D is homogeneous.

*Proof.* Let  $a \in D$  be a valuation element. Assume to the contrary that a is not homogeneous. Then there are distinct maximal t-ideals M and Q of D containing a. Since D is a VFD, there are valuation elements  $b, c \in D$  such that  $b \in M \setminus Q$  and  $c \in Q \setminus M$ . Since aD and bD are contained in M, we infer that aD and bD are comparable, and hence  $aD \subseteq bD$ . It follows by analogy that  $aD \subseteq cD$ . Therefore, bD and cD are comparable by Corollary 1.2(2), a contradiction.

A PvMD is a ring of Krull type if it is of finite t-character, and a PvMD is an independent ring of Krull type if it is weakly Matlis. Recall that a PvMD D is an HoFD if and only if D[X] is an HoFD, if and only if D is an independent ring of Krull type with  $Cl_t(D) = \{0\}$  [5, Corollary 2.6].

**Theorem 3.4.** The following statements are equivalent for a t-treed domain D.

- (1) D is a VFD.
- (2) D is an HoFD and a PvMD.
- (3) D is an independent ring of Krull type and  $Cl_t(D) = \{0\}$ .
- (4) D[X] is a VFD.
- (5) D is a weakly Matlis GCD-domain.

*Proof.* (1)  $\Rightarrow$  (2) By Lemma 3.2, it suffices to show that D is a PvMD. Let M be a maximal t-ideal of D. Then  $D_M$  is a VFD by Corollary 1.8(1) and  $\operatorname{Spec}(D_M)$  is linearly ordered by assumption. Let  $a \in D_M$  be a nonzero nonunit. Then, since  $\operatorname{Spec}(D_M)$  is linearly ordered,  $\sqrt{aD_M}$  is a prime ideal, and hence a is a valuation element of  $D_M$  by Corollary 1.11. Thus,  $D_M$  is a valuation domain by Corollary 1.4.

 $(2) \Rightarrow (1)$  Let  $a \in D$  be a homogeneous element. Then there is a unique maximal t-ideal M of D with  $a \in M$ . Hence,

$$aD = \bigcap_{P \in t\text{-}\operatorname{Max}(D)} aD_P = aD_M \cap \Big(\bigcap_{P \in t\text{-}\operatorname{Max}(D) \setminus \{M\}} D_P\Big) = aD_M \cap D,$$

and since  $D_M$  is a valuation domain, a is a valuation element of D. Thus, D is a VFD.

- $(2) \Leftrightarrow (3)$  This follows from [5, Corollary 2.6].
- $(1) \Rightarrow (4)$  If D is a VFD, then D is a PvMD by the proof of  $(1) \Rightarrow (2)$ . Thus, D[X] is a VFD by Corollary 2.7.
  - $(4) \Rightarrow (1)$  This is an immediate consequence of Proposition 2.6.
- (3)  $\Leftrightarrow$  (5) This follows because a GCD-domain is a PvMD with trivial t-class group.

Next we want to point out that even a Schreier domain with a unique maximal t-ideal need not be a VFD. In particular, weakly Matlis Schreier domains and Schreier domains which are HoFDs need not be VFDs. Recall that a quasi-local integral domain D with maximal ideal M is a pseudo-valuation domain (PVD) if for all ideals A and B of D, it follows that  $A \subseteq B$  or  $BM \subseteq AM$  [9, Theorem 1.4].

**Example 3.5.** [4, Example 2.10] Let  $T = \mathbb{C}[X]$ , let K be a quotient field of T and let S be the integral closure of T in an algebraic closure  $\overline{K}$  of K. Let Q be a maximal ideal of S, let  $\overline{\mathbb{Q}} \subseteq \overline{K}$  be the algebraic closure of  $\mathbb{Q}$  and let  $D = \overline{\mathbb{Q}} + Q_Q$ . Then D is a Schreier domain with a unique maximal t-ideal and yet D is not a VFD.

*Proof.* It follows from [4, Example 2.10] and its proof that D is a Schreier domain and a PVD, but not a Bézout domain. Since D is a PVD, we have that  $\operatorname{Spec}(D)$  is linearly ordered, and thus D is t-treed. In particular, D has a unique maximal t-ideal. Since D is not a Bézout domain, it follows that D is not a valuation domain, and thus D is not a GCD-domain. Therefore, D is not a VFD by Theorem 3.4.  $\square$ 

Corollary 3.6. A PvMD D is a VFD if and only if D is an HoFD.

*Proof.* It is well known that a PvMD is a t-treed domain. Thus, the result follows directly from Theorem 3.4.  $\Box$ 

In [5, Section 4], Chang studied HoFDs that are PvMDs and he also constructed several examples of such kind of integral domains. An integral domain D has finite character if each nonzero element of D is contained in at most finitely many maximal ideals of D. The domain D is said to be h-local if D has finite character and each nonzero prime ideal of D is contained in a unique maximal ideal. Hence, D is an h-local domain if D is a weakly Matlis domain whose maximal ideals are t-ideals.

**Corollary 3.7.** A Prüfer domain D is a VFD if and only if D is an h-local Prüfer domain with  $Pic(D) = \{0\}$ .

*Proof.* It is clear that a Prüfer domain is an independent ring of Krull type if and only if it is an h-local Prüfer domain. Thus, the result follows directly from Theorem 3.4.

Let D be a UMT-domain or a t-treed domain. Then D is a VFD if and only if D[X], the polynomial ring over D, is a VFD by Corollary 2.7 and Theorem 3.4. However, we don't know if this is true in general.

**Question 3.8.** Let D[X] be the polynomial ring over a VFD D. Is D[X] a VFD?

4. Unique valuation factorization domains

For  $n \in \mathbb{N}$  let  $S_n$  be the symmetric group on n letters.

**Definition 4.1.** Let D be an integral domain. We say that D is a unique VFD (UVFD) if the following two conditions are satisfied.

- (1) Every nonzero nonunit of D is a finite product of incomparable valuation elements of D.
- (2) If  $n, m \in \mathbb{N}$  and  $(a_i)_{i=1}^n$  and  $(b_j)_{j=1}^m$  are two sequences of incomparable valuation elements of D with  $\prod_{i=1}^n a_i = \prod_{j=1}^m b_j$ , then n = m and there is some  $\sigma \in \mathcal{S}_n$  such that  $a_i D = b_{\sigma(i)} D$  for each  $i \in [1, n]$ .

Clearly, UVFDs are VFDs by Proposition 1.13. Moreover, by the remark after Proposition 1.13, it follows that a VFD D is a UVFD if and only if for all  $n \in \mathbb{N}$  and all sequences  $(a_i)_{i=1}^n$  and  $(b_i)_{i=1}^n$  of incomparable valuation elements of D with  $\prod_{i=1}^n a_i = \prod_{i=1}^n b_i$  and  $\sqrt{a_jD} = \sqrt{b_jD}$  for each  $j \in [1, n]$ , it follows that  $a_jD = b_jD$  for each  $j \in [1, n]$ .

**Theorem 4.2.** Let D be a VFD and let  $\Omega = \{\sqrt{xD} \mid x \in D \setminus \{0\}, \sqrt{xD} \in \operatorname{Spec}(D)\}$ . The following statements are equivalent.

- (1) D is a UVFD.
- (2) Each two incomparable valuation elements of D are coprime.
- (3) D is a PvMD.
- (4)  $D_P$  is a valuation domain for each  $P \in \Omega$ .
- (5) For all  $A, B, C \in \Omega$  with  $A \cup B \subseteq C$ , A and B are comparable.
- Proof. (1)  $\Rightarrow$  (2) Let  $a, b \in D$  be incomparable valuation elements of D and let  $c \in D$  be such that  $aD \cup bD \subseteq cD$ . Set v = ac and w = bc. Then  $\sqrt{vD} = \sqrt{aD}$ ,  $\sqrt{wD} = \sqrt{bD}$  and vb = aw. It follows from Corollary 1.11 that v and w are valuation elements of D. Note that  $\sqrt{aD}$  and  $\sqrt{bD}$  are incomparable by Corollary 1.2(1). Therefore, v and b are incomparable and a and w are incomparable. We infer that vD = aD by assumption, and hence c is a unit of D.
- $(2)\Rightarrow (3)$  By Theorem 3.4, it is sufficient to show that D is t-treed. Assume to the contrary that there is some  $M\in t$ -Max(D) and some incomparable prime t-ideals P and Q of D that are contained in M. Observe that there are some valuation elements  $a,b\in D$  such that  $a\in P\setminus Q$  and  $b\in Q\setminus P$ . It is clear that a and b are incomparable. Therefore, a and b are coprime. It follows from Proposition 2.1 and [4, Proposition 3.3] that a and b are t-comaximal, a contradiction.
  - $(3) \Rightarrow (4)$  This is clear.
- $(4) \Rightarrow (5)$  Let  $A, B, P \in \Omega$  be such that  $A \cup B \subseteq P$ . Then  $D_P$  is a valuation domain and  $A_P$  and  $B_P$  are prime ideals of  $D_P$ . Hence,  $A_P$  and  $B_P$  are comparable, and thus  $A = A_P \cap D$  and  $B = B_P \cap D$  are comparable.
- $(5) \Rightarrow (1)$  Let  $n \in \mathbb{N}$  and let  $(a_i)_{i=1}^n$  and  $(b_i)_{i=1}^n$  be two sequences of incomparable valuation elements of D such that  $\prod_{i=1}^n a_i = \prod_{i=1}^n b_i$  and  $\sqrt{a_iD} = \sqrt{b_iD}$  for each  $i \in [1,n]$ . Let  $i \in [1,n]$ . Since  $a_i \mid_D \prod_{j=1}^n b_j$  we infer by Proposition 2.1 that  $a_i = \prod_{j=1}^n b_j'$  for some elements  $b_j'$  of D such that  $b_j' \mid_D b_j$  for each  $j \in [1,n]$ . If  $j \in [1,n] \setminus \{i\}$ , then  $\sqrt{a_iD}$  and  $\sqrt{b_jD}$  are incomparable, and since  $\sqrt{a_iD} \cup \sqrt{b_jD} \subseteq \sqrt{b_j'D}$ , we infer that  $b_j'$  is a unit of D. This implies that  $a_iD = b_i'D \supseteq b_iD$ . It follows by analogy that  $b_iD \supseteq a_iD$ , and hence  $a_iD = b_iD$ . Thus, D is a UVFD.  $\square$

**Corollary 4.3.** Let D be a UVFD and let S be a multiplicatively closed subset of D. Then  $S^{-1}D$  is a UVFD.

*Proof.* It follows from Theorem 4.2 that D is a VFD and a PvMD. It is clear that  $S^{-1}D$  is a PvMD. Moreover,  $S^{-1}D$  is a VFD by Corollary 1.8(1). Therefore,  $S^{-1}D$  is a UFVD by Theorem 4.2.

**Corollary 4.4.** Let D[X] be the polynomial ring over an integral domain D. Then D is a UVFD if and only if D[X] is a UVFD.

*Proof.* Note that D is a PvMD if and only if D[X] is a PvMD [11, Theorem 3.7]. Thus, the result is an immediate consequence of Corollary 2.7 and Theorem 4.2.  $\square$ 

**Corollary 4.5.** An integral domain is a UVFD if and only if it is a weakly Matlis GCD-domain.

*Proof.* This is an immediate consequence of Theorems 3.4 and 4.2.

Let D be an integral domain with quotient field K. We say that D satisfies the *Principal Ideal Theorem* if each minimal prime ideal of each nonzero principal ideal

of D is of height one. It is well known that Noetherian domains satisfy the Principal Ideal Theorem. Recall that an element  $x \in K$  is said to be almost integral over D if there exists some nonzero  $c \in D$  such that  $cx^n \in D$  for each  $n \in \mathbb{N}$ . We say that D is completely integrally closed if for all  $x \in K$  such that x is almost integral over D, it follows that  $x \in D$ . Moreover, D is said to be archimedean if for each nonunit  $x \in D$ ,  $\bigcap_{n \in \mathbb{N}} x^n D = (0)$ . Observe that if t-dim(D) = 1, then D satisfies the Principal Ideal Theorem. Moreover, if D is completely integrally closed or D satisfies the Principal Ideal Theorem, then D is archimedean.

**Proposition 4.6.** Let D be a VFD that is not a field. The following statements are equivalent.

- (1) D is a weakly factorial GCD-domain.
- (2) t-dim(D) = 1.
- (3) D is completely integrally closed.
- (4) D is archimedean.
- (5) D satisfies the Principal Ideal Theorem.

*Proof.* Set  $\Omega = \{\sqrt{xD} \mid x \in D \setminus \{0\}, \sqrt{xD} \in \operatorname{Spec}(D)\}$ . By Corollary 1.14(3), we have that  $\Omega = \bigcup_{a \in D \setminus \{0\}} \mathcal{P}(aD) = \{\sqrt{xD} \mid x \in D \text{ is a valuation element}\}$ .

- $(1) \Leftrightarrow (2)$  This follows from Corollary 1.9.
- $(1) \Rightarrow (3)$  Note that D is an intersection of one-dimensional valuation overrings of D, and hence D is an intersection of completely integrally closed overrings of D. Therefore, D is completely integrally closed.
  - $(3) \Rightarrow (4)$  This is clear.
- $(4) \Rightarrow (5)$  Let  $P \in \Omega$ . Then  $P = \sqrt{pD}$  for some valuation element  $p \in D$ . It remains to show that P is of height one. Let Q be a prime ideal of D such that  $Q \subseteq P$ . We infer by Remark 1.3 that  $Q \subseteq \bigcap_{n \in \mathbb{N}} p^n D = (0)$ , and thus Q = (0).
- $(5)\Rightarrow (2)$  Note that  $\Omega$  is the set of height-one prime ideals of D. It remains to show that each maximal t-ideal of D is an element of  $\Omega$ . It follows by Corollary 1.8(2) that  $D_P$  is a valuation domain for each  $P\in\Omega$ . Thus, D is a PvMD by Theorem 4.2. Let  $M\in t\text{-Max}(D)$ . Then  $M=\bigcup_{P\in\Omega,P\subseteq M}P$ . Observe that D is t-treed, and hence  $M\in\Omega$ .

Note that if V is a two-dimensional valuation domain (e.g.,  $V = \operatorname{Int}(\mathbb{Z})_M$ , where  $\operatorname{Int}(\mathbb{Z}) = \{ f \in \mathbb{Q}[X] \mid f(\mathbb{Z}) \subseteq \mathbb{Z} \}$  is the ring of integer-valued polynomials and M is a height-two prime ideal of  $\operatorname{Int}(\mathbb{Z})$ ), then V and V[X] are both examples of non-archimedean VFDs.

Let D be an integral domain. We say that a nonzero element  $a \in D$  has prime radical if  $\sqrt{aD}$  is a prime ideal of D. Next we study VFDs in which each minimal prime ideal of a nonzero t-finite t-ideal is minimal over a t-invertible t-ideal (i.e., for each nonzero t-finite t-ideal I of D and every  $P \in \mathcal{P}(I)$  there is some t-invertible t-ideal I of D such that  $I \in \mathcal{P}(I)$ . In other words, we study VFDs I0 for which

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\bigcup \quad \{\mathcal{P}(I) \mid I \text{ is a nonzero } t\text{-finite } t\text{-ideal of } D\}
= \quad \bigcup \quad \{\mathcal{P}(I) \mid I \text{ is a } t\text{-invertible } t\text{-ideal of } D\}.
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Suppose that D satisfies one of the following conditions.

- (1) D is a PvMD.
- (2) D is of t-dimension one.

- (3) D has finitely many prime ideals.
- (4) For each t-finite t-ideal I of D there is some  $a \in D$  such that  $\sqrt{I} = \sqrt{aD}$ . Then every minimal prime ideal of a nonzero t-finite t-ideal of D is minimal over a t-invertible t-ideal of D.

**Lemma 4.7.** Let D be an integral domain in which every nonzero nonunit is a finite product of elements with prime radical and let I be a t-invertible t-ideal of D. Then  $\mathcal{P}(I)$  is finite and for each  $\emptyset \neq \mathcal{L} \subseteq \mathcal{P}(I)$ ,  $\bigcap_{Q \in \mathcal{L}} Q$  is the radical of a principal ideal of D.

Proof. First we show that every prime ideal in  $\mathcal{P}(I)$  is the radical of a principal ideal. Let  $P \in \mathcal{P}(I)$ . Then P is a prime t-ideal, and hence  $I_P$  is a principal ideal, i.e.,  $I_P = aD_P$  for some  $a \in P$ . There is some  $b \in P$  such that  $a \in bD$  and  $\sqrt{bD} \in \operatorname{Spec}(D)$ . We have that  $P_P = \sqrt{I_P} = \sqrt{aD_P} \subseteq \sqrt{bD_P} \subseteq P_P$ . Consequently,  $P_P = \sqrt{bD_P} = (\sqrt{bD})_P$ , and hence  $P = \sqrt{bD}$ .

Thus, by [12, Lemma 2.5],  $\mathcal{P}(I)$  is finite. Let  $\emptyset \neq \mathcal{L} \subseteq \mathcal{P}(I)$ . If  $Q \in \mathcal{L}$ , then  $Q = \sqrt{a_Q D}$  for some  $a_Q \in D$ . This implies that  $\bigcap_{Q \in \mathcal{L}} Q = \bigcap_{Q \in \mathcal{L}} \sqrt{a_Q D} = \sqrt{(\prod_{Q \in \mathcal{L}} a_Q)D}$ .

**Remark 4.8.** Let D be a VFD in which each minimal prime ideal of a nonzero t-finite t-ideal is minimal over a t-invertible t-ideal and let I be a nonzero t-finite t-ideal of D. Then  $\mathcal{P}(I)$  is finite and for each  $\emptyset \neq \mathcal{L} \subseteq \mathcal{P}(I)$ ,  $\bigcap_{Q \in \mathcal{L}} Q$  is the radical of a principal ideal of D.

*Proof.* First we show that every minimal prime ideal of I is the radical of a principal ideal of D. Let  $P \in \mathcal{P}(I)$ . There is some t-invertible t-ideal J of D such that  $P \in \mathcal{P}(J)$ . Consequently, P is the radical of a principal ideal of D by Lemma 4.7. Thus, by the proof of Lemma 4.7,  $\mathcal{P}(I)$  is finite and  $\bigcap_{Q \in \mathcal{L}} Q$  is the radical of a principal ideal of D for all  $\emptyset \neq \mathcal{L} \subseteq \mathcal{P}(I)$ .

**Proposition 4.9.** Let D be a VFD in which each minimal prime ideal of a nonzero t-finite t-ideal is minimal over a t-invertible t-ideal. Then every t-finite t-ideal I of D with  $\sqrt{I} \in \operatorname{Spec}(D)$  is principal.

*Proof.* It is sufficient to show by induction that for every  $m \in \mathbb{N}$  and every finite  $E \subseteq D \setminus \{0\}$  such that  $\sum_{e \in E} |\mathcal{P}(eD)| = m$  and  $\sqrt{(E)_t} \in \operatorname{Spec}(D)$ , it follows that  $(E)_t$  is principal.

Let  $m \in \mathbb{N}$  and  $E \subseteq D \setminus \{0\}$  be such that E is finite,  $\sum_{e \in E} |\mathcal{P}(eD)| = m$  and  $\sqrt{(E)_t} \in \operatorname{Spec}(D)$ . Set  $I = (E)_t$ ,  $Q = \sqrt{I}$  and  $\Sigma = \{e \in E \mid Q \in \mathcal{P}(eD)\}$ . By Remark 4.8, there is some  $a \in D$  such that  $Q = \sqrt{aD}$ . Without restriction we can assume that  $a \in I$ . It follows from Proposition 1.13 that for each  $e \in E$ ,  $e = \prod_{P \in \mathcal{P}(eD)} e_P$ , where  $e_A$  is a valuation element of D with  $\sqrt{e_A D} = A$  for each  $A \in \mathcal{P}(eD)$ .

CASE 1:  $\Sigma = \emptyset$ . Let  $e \in E$ . Since  $e \in Q$ , there is some  $P \in \mathcal{P}(eD)$  such that  $P \subsetneq Q$ . This implies that  $\sqrt{e_P D} \subsetneq \sqrt{aD}$ , and hence  $e \in e_P D \subseteq aD$  by Corollary 1.2(1). Consequently,  $E \subseteq aD$ , and thus I = aD.

CASE 2:  $\Sigma \neq \emptyset$ . Observe that if  $g,h \in \Sigma$ , then  $\sqrt{g_Q D} = \sqrt{h_Q D} = Q$ , and thus  $g_Q D$  and  $h_Q D$  are comparable by Corollary 1.2(1). Since  $\Sigma$  is finite and nonempty, there is some  $f \in \Sigma$  such that  $e_Q D \subseteq f_Q D$  for all  $e \in \Sigma$ . Set  $c = f_Q$ .

Next we show that  $e \in cD$  and  $|\mathcal{P}(ec^{-1}D)| \leq |\mathcal{P}(eD)|$  for each  $e \in E$ . Let  $e \in E$ . Then  $P \subseteq Q$  for some  $P \in \mathcal{P}(eD)$ . If  $e \in \Sigma$ , then P = Q and  $e \in e_PD \subseteq cD$ . If  $e \notin \Sigma$ , then  $\sqrt{e_PD} \subsetneq \sqrt{cD}$ , and thus  $e \in e_PD \subseteq cD$  by Corollary 1.2(1). In any case we have that  $e_P \in cD$ . Set  $d = e_Pc^{-1}$ . Note that  $d \mid_D e_P$  and  $e_P$  is a valuation element of D. It follows from Proposition 1.1(2) that d is either a unit or a valuation element of D. Note that  $e = e_Pb$ , where  $b \in D$  is a product of  $|\mathcal{P}(eD)| - 1$  valuation elements of D. Consequently,  $ec^{-1} = db$  is a unit or a product of at most  $|\mathcal{P}(eD)|$  valuation elements of D. It follows from Lemma 1.12 that  $|\mathcal{P}(ec^{-1}D)| \leq |\mathcal{P}(eD)|$ .

We infer that  $I \subseteq cD$ . Set  $F = \{ec^{-1} \mid e \in E\}$  and  $J = (F)_t$ . Clearly,  $F \subseteq D \setminus \{0\}$  is finite, J is a t-finite t-ideal of D and I = cJ. Note that  $\mathcal{P}(fc^{-1}D) = \mathcal{P}(fD) \setminus \{Q\}$ , and thus  $|\mathcal{P}(fc^{-1}D)| < |\mathcal{P}(fD)|$ . Therefore,  $\sum_{g \in F} |\mathcal{P}(gD)| = \sum_{e \in E} |\mathcal{P}(ec^{-1}D)| < \sum_{e \in E} |\mathcal{P}(eD)| = m$ . It follows by Remark 4.8 that  $\sqrt{J} = \sqrt{bD}$  for some  $b \in D$ . Without restriction let  $J \neq D$ . Since  $\sqrt{cD} \subseteq \sqrt{bD}$ , it follows by Proposition 1.1(3) that b is a valuation element of D, and hence  $\sqrt{J} \in \operatorname{Spec}(D)$ . It follows by the induction hypothesis that J is principal. Consequently, I is principal.

**Proposition 4.10.** Let D be a VFD in which each minimal prime ideal of a nonzero t-finite t-ideal is minimal over a t-invertible t-ideal. Then D is a GCD-domain.

*Proof.* By Remark 4.8 it is sufficient to show by induction that for each  $n \in \mathbb{N}$  and every nonzero t-finite t-ideal I of D with  $|\mathcal{P}(I)| = n$ , it follows that I is principal.

Let  $n \in \mathbb{N}$  and let I be a nonzero t-finite t-ideal of D such that  $|\mathcal{P}(I)| = n$ . Without restriction let  $n \geq 2$  and let  $P \in \mathcal{P}(I)$ . By Remark 4.8 there are some  $c, d \in D$  such that  $P = \sqrt{cD}$  and  $\bigcap_{Q \in \mathcal{P}(I) \setminus \{P\}} Q = \sqrt{dD}$ . Observe that  $\sqrt{I} = \sqrt{cdD}$ , and hence  $c^k d^k \in I$  for some  $k \in \mathbb{N}$ . Set  $a = c^k$  and  $b = d^k$ . Then  $P = \sqrt{aD}$ ,  $\bigcap_{Q \in \mathcal{P}(I) \setminus \{P\}} Q = \sqrt{bD}$  and  $ab \in I$ . Set  $J = (I + aD)_t$ . Then J is a t-finite t-ideal of D such that  $\sqrt{J} = P$ , and hence J is principal by Proposition 4.9. Consequently, there is some t-finite t-ideal L of D such that I = JL.

Next we show that  $\mathcal{P}(L) = \mathcal{P}(I) \setminus \{P\}$ . First let  $A \in \mathcal{P}(I) \setminus \{P\}$ . Then  $JL = I \subseteq A$ . If  $J \subseteq A$ , then  $P \subseteq A$ , and hence P = A, a contradiction. Therefore,  $L \subseteq A$ , and since  $I \subseteq L$ , we infer that  $A \in \mathcal{P}(L)$ . Now let  $B \in \mathcal{P}(L)$ . Since  $ab \in I$ , we have that  $Jb \subseteq I$ , and hence  $b \in L \subseteq B$ . Consequently,  $\sqrt{bD} \subseteq B$ . This implies that  $C \subseteq B$  for some  $C \in \mathcal{P}(I) \setminus \{P\}$ . We have that  $C \in \mathcal{P}(L)$  (as shown before), and thus  $B = C \in \mathcal{P}(I) \setminus \{P\}$ . By the induction hypothesis, L is principal.  $\Box$ 

We do not know whether every VFD is a weakly Matlis GCD-domain, but we do know this is affirmative under certain additional assumptions. In what follows, we summarize a variety of conditions that force a VFD to be a weakly Matlis GCD-domain.

**Theorem 4.11.** The following statements are equivalent for a VFD D.

- (1) D is a weakly Matlis GCD-domain.
- (2) D is a UVFD.
- (3) D is a PvMD.
- (4) D is a t-treed domain.

- (5) Each minimal prime ideal of each nonzero t-finite t-ideal of D is minimal over a t-invertible t-ideal.
- (6) D is a t-finite conductor domain.
- (7) D is a UMT-domain.

*Proof.* (1)  $\Leftrightarrow$  (2) This is an immediate consequence of Corollary 4.5.

- $(2) \Leftrightarrow (3)$  This follows from Theorem 4.2.
- $(3) \Rightarrow (4), (5), (6), (7)$  This is clear.
- $(4) \Rightarrow (3)$  This follows from Theorem 3.4.
- $(5) \Rightarrow (3)$  This is an immediate consequence of Proposition 4.10.
- $(6) \Rightarrow (3)$  This follows from Corollary 2.3.
- $(7) \Rightarrow (3)$  Note that every integrally closed UMT-domain is a PvMD, and thus the statement follows by Corollary 1.5.

**Proposition 4.12.** Let D be a VFD and let  $\Omega = \{\sqrt{xD} \mid x \in D \setminus \{0\}, \sqrt{xD} \in \operatorname{Spec}(D)\}$ . Let one of the following conditions be satisfied.

- (1) For all  $P \in \Omega$ , each nonzero prime t-ideal of D contained in P is in  $\Omega$ .
- (2) For all  $P \in \Omega$  there is a unique height-one prime ideal Q of D with  $Q \subseteq P$ .
- (3) t-dim $(D) \le 2$ .

Then D is a weakly Matlis GCD-domain.

- Proof. (1) By Theorems 4.2 and 4.11 it remains to show that  $D_P$  is a valuation domain for each  $P \in \Omega$ . Let  $P \in \Omega$ . Then  $D_P$  is a VFD by Corollary 1.8(1). Moreover,  $P_P$  is both the unique maximal t-ideal of  $D_P$  and the radical of a principal ideal of  $D_P$ . Next we show that every nonzero prime t-ideal of  $D_P$  is the radical of a principal ideal of  $D_P$ . Let Q be a nonzero prime t-ideal of  $D_P$ . Then  $Q \cap D$  is a nonzero prime t-ideal of D contained in P. Therefore,  $Q \cap D$  is the radical of a principal ideal of  $D_P$ . Consequently,  $D_P$  satisfies (5) in Theorem 4.11, and thus  $D_P$  is a PvMD again by Theorem 4.11. We infer that  $D_P$  is a valuation domain (since  $P_P$  is a maximal t-ideal of  $D_P$ ).
- (2) By Theorems 4.2 and 4.11 it is sufficient to show that for all  $A, B, C \in \Omega$  with  $A \cup B \subseteq C$ , A and B are comparable. Let  $A, B, C \in \Omega$  be such that  $A \cup B \subseteq C$ . There are some height-one prime ideals P and Q of D such that  $P \subseteq A$  and  $Q \subseteq B$ . Since  $P \cup Q \subseteq C$ , it follows that P = Q, and hence  $P \subseteq A \cap B$ . Therefore, A and B are comparable by Corollary 1.14(2).
- (3) Note that  $\Omega$  contains the set of height-one prime ideals of D, and thus D is a weakly Matlis GCD-domain by (1).

A weakly Matlis GCD-domain is a VFD by Corollary 4.5. Moreover, if D is a t-treed domain (e.g., PvMD or t-dim(D) = 1), then D is a VFD if and only if D is a weakly Matlis GCD-domain by Theorem 3.4. We end this paper with a question.

**Question 4.13.** Let *D* be a VFD. Is *D* a weakly Matlis GCD-domain?

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