# COMMUTATIVE RINGS WITH ONE-ABSORBING FACTORIZATION 

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#### Abstract

Let $R$ be a commutative ring with nonzero identity. A. Yassine et al. defined in the paper (Yassine, Nikmehr and Nikandish, 2020), the concept of 1-absorbing prime ideals as follows: a proper ideal $I$ of $R$ is said to be a 1-absorbing prime ideal if whenever $x y z \in I$ for some nonunit elements $x, y, z \in R$, then either $x y \in I$ or $z \in I$. We use the concept of 1-absorbing prime ideals to study those commutative rings in which every proper ideal is a product of 1-absorbing prime ideals (we call them $O A F$-rings). Any $O A F$-ring has dimension at most one and local $O A F$-domains $(D, M)$ are atomic such that $M^{2}$ is universal.


## 1. Introduction

Throughout this paper, all rings are commutative with nonzero identity and all modules are unital. Let $\mathbb{N}$ denote the set of positive integers. For $m \in \mathbb{N}$, let $[1, m]=\{n \in \mathbb{N} \mid 1 \leq n \leq m\}$. Let $R$ be a ring. An ideal $I$ of $R$ is said to be proper if $I \neq R$. The radical of $I$ is denoted by $\sqrt{I}=\{x \in$ $R \mid x^{n} \in I$ for some $\left.n \in \mathbb{N}\right\}$. We denote by $\operatorname{Min}(I)$ the set of minimal prime ideals over the ideal $I$. The concept of prime ideals plays an important role in ideal theory and there are many ways to generalize it.

In [9] Badawi introduced and studied the concept of 2-absorbing ideals which is a generalization of prime ideals. An ideal $I$ of $R$ is a 2 -absorbing ideal if whenever $a, b, c \in R$ and $a b c \in I$, then $a b \in I$ or $a c \in I$ or $b c \in I$. In this case $\sqrt{I}=P$ is a prime ideal with $P^{2} \subseteq I$ or $\sqrt{I}=P_{1} \cap P_{2}$ where $P_{1}, P_{2}$ are incomparable prime ideals with $P_{1} P_{2} \subseteq I$, cf. [9, Theorem 2.4]. In [8] Anderson and Badawi introduced the concept of $n$-absorbing ideals as a generalization of prime ideals where $n$ is a positive integer. An ideal $I$ of $R$ is called an $n$-absorbing ideal of $R$, if whenever $a_{1}, a_{2}, \ldots, a_{n+1} \in R$ and $\prod_{i=1}^{n+1} a_{i} \in I$, then there are $n$ of the $a_{i}$ 's whose product is in $I$. In this case, due to Choi and Walker [13, Theorem 1], $(\sqrt{I})^{n} \subseteq I$.

In [23] M. Mukhtar et al. studied the commutative rings whose ideals have a $T A$-factorization. A proper ideal is called a $T A$-ideal if it is a 2 -absorbing ideal. By a $T A$-factorization of a proper ideal $I$ we mean an expression of $I$ as a product $\prod_{i=1}^{r} J_{i}$ of $T A$-ideals. M. Mukhtar et al. prove that any $T A F$ ring has dimension at most one and the local $T A F$-domains are atomic pseudo-valuations domains. Recently in [1], M. T. Ahmed et al. studied commutative rings whose proper ideals have an $n$-absorbing factorization.

[^0]Let $I$ be a proper ideal of $R$. By an $n$-absorbing factorization of $I$ we mean an expression of $I$ as a product $\prod_{i=1}^{r} I_{i}$ of proper $n$-absorbing ideals of $R$. M. T. Ahmed et al. called $A F-\operatorname{dim}(R)$ (absorbing factorization dimension) the minimum positive integer $n$ such that every ideal of $R$ has an $n$-absorbing factorization. If no such $n$ exists, set $A F-\operatorname{dim}(R)=\infty$. An $F A F-$ ring (finite absorbing factorization ring) is a ring such that $A F-\operatorname{dim}(R)<\infty$. Recall that a general ZPI-ring is a ring whose proper ideals can be written as a product of prime ideals. Therefore, $A F-\operatorname{dim}(R)$ measures, in some sense, how far $R$ is from being a general $Z P I$-ring, cf. [1, Proposition 3]. By $\operatorname{dim}(R)$ we denote the Krull dimension of $R$.

In [25], A. Yassine et al. introduced the concept of a 1 -absorbing prime ideal which is a generalization of a prime ideal. A proper ideal $I$ of $R$ is a 1-absorbing prime ideal (our abbreviation $O A$-ideal) if whenever we take nonunit elements $a, b, c \in R$ with $a b c \in I$, then $a b \in I$ or $c \in I$. In this case $\sqrt{I}=P$ is a prime ideal, cf. [25, Theorem 2.3]. And if $R$ is a ring in which exists an $O A$-ideal that is not prime, then $R$ is a local ring, that is a ring with one maximal ideal.

Let $I$ be a proper ideal of $R$. By an $O A$-factorization of $I$ we mean an expression of $I$ as a product $\prod_{i=1}^{n} J_{i}$ of $O A$-ideals. The aim of this note is to study the commutative rings whose proper ideals (resp., proper principal ideals, resp., proper 2-generated ideals) have an $O A$-factorization.

We call $R$ a 1-absorbing prime factorization ring ( $O A F$-ring) if every proper ideal has an $O A$-factorization. An $O A F$-domain is a domain which is an $O A F$-ring. Our paper consists of five sections (including the introduction).

In the next section, we characterize $O A$-ideals (Lemma 2.1) and we prove that if $I$ is an $O A$-ideal, then $I$ is a primary ideal. We also show that the $O A F$-ring property is stable under factor ring (resp., fraction ring) formation (Propositions 2.2 and 2.3). Furthermore, we investigate $O A F$-rings with respect to direct products (Corollary 2.5) and polynomial ring extensions (Corollary 2.6). We prove that the general ZPI-rings are exactly the arithmetical $O A F$-rings (Theorem 2.8).

The third section consists of a collection of preparational results which will be of major importance in the fourth section. For instance, we show that the Krull dimension of an $O A F$-ring is at most one (Theorem 3.5).

The fourth section contains the main results of our paper. Among other results, we provide characterizations of $O A F$-rings (Theorem 4.2), rings whose proper principal ideals have an $O A$-factorization (Corollary 4.3) and rings whose proper (principal) ideals are $O A$-ideals (Proposition 4.5).

In the last section, we study the transfer of the various $O A$-factorization properties to the trivial ring extension.

## 2. Characterization of $O A$-ideals and simple facts

We start with a characterization of $O A$-ideals. Recall that a ring $R$ is a $Q$-ring (cf. [3]) if every proper ideal of $R$ is a product of primary ideals.

Lemma 2.1. Let $R$ be a ring with Jacobson radical $M$ and $I$ be an ideal of $R$.
(1) If $R$ is not local, then $I$ is an $O A$-ideal if and only if $I$ is a prime ideal.
(2) If $R$ is local, then $I$ is an $O A$-ideal if and only if $I$ is a prime ideal or $M^{2} \subseteq I \subseteq M$.
(3) Every $O A$-ideal is a primary $T A$-ideal. In particular, every $O A F$ ring is both a $Q$-ring and a TAF-ring.

Proof. (1) This follows from [25, Theorem 2.4].
(2) Let $R$ be local. Then $M$ is the maximal ideal of $R$.
$(\Rightarrow)$ Let $I$ be an $O A$-ideal such that $I$ is not a prime ideal. Since $I$ is proper, we infer that $I \subseteq M$. Since $I$ is not prime, there are $a, b \in M \backslash I$ such that $a b \in I$. To prove that $M^{2} \subseteq I$, it suffices to show that $x y \in I$ for all $x, y \in M$. Let $x, y \in M$. Then $x y a b \in I$. Since $x y, a, b \in M, b \notin I$ and $I$ is an $O A$-ideal, it follows that $x y a \in I$. Again, since $x, y, a \in M, a \notin I$ and $I$ is an $O A$-ideal, we have that $x y \in I$.
$(\Leftarrow)$ Clearly, if $I$ is a prime ideal, then $I$ is an $O A$-ideal. Now let $M^{2} \subseteq$ $I \subseteq M$. Then $I$ is proper. Let $a, b, c \in M$ be such that $a b c \in I$. Then $a b \in M^{2} \subseteq I$. Therefore, $I$ is an $O A$-ideal.
(3) Let $I$ be an $O A$-ideal. It is an immediate consequence of (1) and (2) that $I$ is a primary ideal. Now let $a, b, c \in R$ be such that $a b c \in I$. We have to show that $a b \in I$ or $a c \in I$ or $b c \in I$.

First let $a$ or $b$ or $c$ be a unit of $R$. Without restriction let $a$ be unit of $R$. Since $a b c \in I$, we infer that $b c \in I$.

Now let $a, b$ and $c$ be nonunits. Then $a b \in I$ or $c \in I$. If $c \in I$, then $a c \in I$. The in particular statement is clear.

Proposition 2.2. Let $R$ be an $O A F$-ring and $I$ be a proper ideal of $R$. Then $R / I$ is an $O A F$-ring.

Proof. Let $J$ be a proper ideal of $R$ which contains $I$. Let $J=\prod_{i=1}^{m} J_{i}$ be an $O A$-factorization. Then $J / I=\prod_{i=1}^{m}\left(J_{i} / I\right)$. It suffices to show that $J_{i} / I$ is an $O A$-ideal for each $i \in[1, m]$. Let $i \in[1, m]$ and let $a, b, c \in R$ be such that $\bar{a}, \bar{b}, \bar{c}$ are three nonunit elements of $R / I$ and $\bar{a} \bar{b} \bar{c} \in J_{i} / I$. Clearly, $a, b, c$ are nonunit elements of $R$ and $a b c \in J_{i}$. Since $J_{i}$ is an $O A$-ideal of $R$, we get that $a b \in J_{i}$ or $c \in J_{i}$ which implies that $\bar{a} \bar{b} \in J_{i} / I$ or $\bar{c} \in J_{i} / I$. Therefore, $R / I$ is an $O A F$-ring.
Proposition 2.3. Let $S$ be a multiplicatively closed subset of $R \backslash \mathbf{0}$. If $R$ is an $O A F$-ring, then $S^{-1} R$ is an $O A F$-ring. In particular, $R_{M}$ is an $O A F$-ring for every maximal ideal $M$ of $R$.

Proof. Let $J$ be a proper ideal of $S^{-1} R$. Then $J=S^{-1} I$ for some proper ideal $I$ of $R$ with $I \cap S=\varnothing$. Let $I=\prod_{i=1}^{m} I_{i}$ be an $O A$-factorization. Then $J=\prod_{i=1}^{m}\left(S^{-1} I_{i}\right)$ where each $S^{-1} I_{i}$ which is proper is an $O A$-ideal by [25, Theorem 2.18]. Thus $S^{-1} R$ is an $O A F$-ring. The in particular statement is clear.

Let $R$ be a ring. Then $R$ is said to be a $\pi$-ring if every proper principal ideal of $R$ is a product of prime ideals. We say that $R$ is a unique factorization ring (in the sense of Fletcher, cf. [4]) if every proper principal ideal of $R$ is a product of principal prime ideals. A unique factorization domain is an integral domain which is a unique factorization ring.

Remark 2.4. Let $R$ be a non local ring.
(1) $R$ is a general $Z P I$-ring if and only if $R$ is an $O A F$-ring.
(2) $R$ is a $\pi$-ring if and only if each proper principal ideal of $R$ has an $O A$-factorization.
(3) $R$ is a unique factorization ring if and only if each proper principal ideal of $R$ is a product of principal $O A$-ideals.

Proof. This is an immediate consequence of Lemma 2.1(1).
In the light of the above remark we give the next result.
Corollary 2.5. Let $R_{1}$ and $R_{2}$ be two rings and $R=R_{1} \times R_{2}$ be their direct product. The following statements are equivalent.
(1) $R$ is an OAF-ring.
(2) $R$ is a general ZPI-ring.
(3) $R_{1}$ and $R_{2}$ are general ZPI-rings.

Proof. This follows from Remark 2.4(1) and [21, Exercise 6(g), page 223].

Let $R$ be a ring. Then $R$ is called a von Neumann regular ring if for each $x \in R$ there is some $y \in R$ with $x=x^{2} y$. The ring $R$ is von Neumann regular if and only if $R$ is a zero-dimensional reduced ring (see [19, Theorem 3.1, page 10]).

Corollary 2.6. Let $R$ be a ring. The following statements are equivalent.
(1) $R[X]$ is an OAF-ring.
(2) $R$ is a Noetherian von Neumann regular ring.
(3) $R$ is a finite direct product of fields.

Proof. Observe that the polynomial ring $R[X]$ is never local, since $X$ and $1-$ $X$ are nonunit elements of $R[X]$, but their sum is a unit. Consequently, $R[X]$ is an $O A F$-ring if and only if $R[X]$ is a general $Z P I$-ring by Remark 2.4(1). The rest is now an easy consequence of [2, Theorem 6 and Corollary 6.1], [21, Exercise 10, page 225] and Hilbert's basis theorem.

Let $R$ be a ring and $I$ be an ideal of $R$. Then $I$ is called divided if $I$ is comparable to every ideal of $R$ (or equivalently, $I$ is comparable to every principal ideal of $R$ ).

Lemma 2.7. Let $R$ be a local ring with maximal ideal $M$ such that $M^{2}$ is divided. The following statements are equivalent.
(1) Each two principal $O A$-ideals which contain $M^{2}$ are comparable.
(2) For each $O A$-ideal $I$ of $R$, we have that $I$ is a prime ideal or $I=M^{2}$.

Proof. (1) $\Rightarrow(2)$ : Let $I$ be an $O A$-ideal of $R$ such that $I$ is not a prime ideal of $R$. Then $M^{2} \subseteq I \subset M$ by Lemma 2.1(2). Assume that $M^{2} \subset I$. Let $x \in I \backslash M^{2}$ and let $y \in M \backslash I$. Then $x, y \notin M^{2}$, and thus $M^{2} \subseteq x R, y R$ (since $M^{2}$ is divided). It follows that $x R$ and $y R$ are (principal) $O A$-ideals of $R$ by Lemma 2.1(2). Since $y \notin x R$ and $x R$ and $y R$ are comparable, we infer that $x R \subset y R$. Consequently, there is some $z \in M$ such that $x=y z$, and hence $x \in M^{2}$, a contradiction. Therefore, $I=M^{2}$.
$(2) \Rightarrow(1)$ : This is obvious.

Let $R$ be a ring. An ideal $I$ of $R$ is called 2-generated if $I=x R+y R$ for some (not necessarily distinct) $x, y \in R$. Note that every principal ideal of $R$ is 2 -generated. We say that $R$ is a chained ring if each two ideals of $R$ are comparable under inclusion. Moreover, $R$ is said to be an arithmetical ring if $R_{M}$ is a chained ring for each maximal ideal $M$ of $R$.

Theorem 2.8. Let $R$ be a ring. The following statements are equivalent.
(1) $R$ is a general ZPI-ring
(2) $R$ is an arithmetical OAF-ring.
(3) $R$ is an arithmetical ring and each proper principal ideal of $R$ has an $O A$-factorization.

Proof. First we show that if $R$ is an arithmetical $\pi$-ring, then $R$ is a general $Z P I$-ring. Let $R$ be an arithmetical $\pi$-ring and let $M$ be a maximal ideal of $R$. It is straightforward to show that $R_{M}$ is a $\pi$-ring. Moreover, $R_{M}$ is a chained ring, and hence every 2-generated ideal of $R_{M}$ is principal. Therefore, every proper 2-generated ideal of $R_{M}$ is a product of prime ideals of $R_{M}$. Consequently, $R_{M}$ is a general $Z P I$-ring by [22, Theorem 3.2]. This implies that $\operatorname{dim}\left(R_{M}\right) \leq 1$ by [21, page 205]. We infer that $\operatorname{dim}(R) \leq 1$, and thus $R$ is a general $Z P I$-ring by [16, Theorems 39.2, 46.7, and 46.11].
$(1) \Rightarrow(2) \Rightarrow(3)$ : This is obvious.
$(3) \Rightarrow(1)$ : It is sufficient to show that $R$ is a $\pi$-ring. If $R$ is not local, then $R$ is a $\pi$-ring by Remark 2.4(2). Therefore, we can assume that $R$ is local with maximal ideal $M$. Since $R$ is local, we have that $R$ is a chained ring. Therefore, $M^{2}$ is divided and each two $O A$-ideals of $R$ are comparable. We infer by Lemma 2.7 that each $O A$-ideal of $R$ is a product of prime ideals. Now it clearly follows that $R$ is a $\pi$-ring.

## 3. Preparational results

From Lemma 2.1(3), we have that $|\operatorname{Min}(I)|=1$ for every $O A$-ideal $I$ of $R$. In view of this remark, we obtain the following result.

Proposition 3.1. Let $R$ be a ring and $I$ be a proper ideal of $R$. If $I$ has an $O A$-factorization, then $\operatorname{Min}(I)$ is finite.

Proof. Let $I=\prod_{i=1}^{n} I_{i}$ be an $O A$-factorization. It follows that $\operatorname{Min}(I) \subseteq$ $\bigcup_{i=1}^{n} \operatorname{Min}\left(I_{i}\right)$, and thus $|\operatorname{Min}(I)| \leq n$.

Let $R$ be a ring and $I$ be an ideal of $R$. Then $I$ is called a multiplication ideal of $R$ if for each ideal $J$ of $R$ with $J \subseteq I$, there is some ideal $L$ of $R$ such that $J=I L$.

Lemma 3.2. Let $R$ be a local ring such that each proper principal ideal of $R$ has an $O A$-factorization. Then each nonmaximal minimal prime ideal of $R$ is principal.

Proof. Let $P$ be a nonmaximal minimal prime ideal of $R$. By [2, Theorem 1] it is sufficient to show that $P$ is a multiplication ideal.

Let $x \in P$ and let $x R=\prod_{i=1}^{n} I_{i}$ be an $O A$-factorization. There is some $j \in[1, n]$ such that $I_{j} \subseteq P$. By Lemma 2.1(2) we have that $P=I_{j}$, and hence $x R=P J$ for some ideal $J$ of $R$. We infer that $x R=P(x R: P)$.

Now let $I$ be an ideal of $R$ such that $I \subseteq P$. Then $I=\sum_{y \in I} y R=$ $\sum_{y \in I} P(y R: P)=P \sum_{y \in I}(y R: P)$, and thus $P$ is a multiplication ideal.

The next result is a generalization of [16, Theorem 46.8] and its proof is based on the proof of the same result.

Proposition 3.3. Let $R$ be a local ring with maximal ideal $M$ such that $\operatorname{dim}(R) \geq 1$ and every proper principal ideal of $R$ has an $O A$-factorization. Then $R$ is an integral domain and if $\operatorname{dim}(R) \geq 2$, then $R$ is a unique factorization domain.

Proof. Let $N$ be the nilradical of $R$. It follows from Proposition 3.1 and Lemma 3.2 that $\operatorname{Min}(\mathbf{0})$ is finite and each $P \in \operatorname{Min}(\mathbf{0})$ is principal.

Claim: Every proper principal ideal of $R / N$ has an $O A$-factorization. Let $I$ be a proper principal ideal of $R / N$. Then $I=(x R+N) / N$ for some $x \in M$. Let $x R=\prod_{i=1}^{n} I_{i}$ be an $O A$-factorization. We infer that $I=(x R) / N=\left(\prod_{i=1}^{n} I_{i}\right) / N=\prod_{i=1}^{n}\left(I_{i} / N\right)$. It suffices to show that $I_{i} / N$ is an $O A$-ideal of $R / N$ for each $i \in[1, n]$. Let $i \in[1, n]$. If $I_{i}$ is a prime ideal of $R$, then $N \subseteq I_{i}$, and hence $I_{i} / N$ is a prime ideal of $R / N$. Now let $I_{i}$ be not a prime ideal of $R$. By Lemma 2.1(2), we have that $M^{2} \subseteq I_{i} \subseteq M$. Note that $R / N$ is local with maximal ideal $M / N$. Since $(M / N)^{2}=M^{2} / N \subseteq I_{i} / N \subseteq$ $M / N$, it follows by Lemma 2.1(2) that $I_{i} / N$ is an $O A$-ideal of $R / N$. This proves the claim.

CASE 1: $R$ is one-dimensional. We prove that $R$ is an integral domain. If every $O A$-ideal of $R$ is a prime ideal, then $R$ is $\pi$-ring, and hence $R$ is an integral domain by [16, Theorem 46.8]. Now let not every $O A$-ideal of $R$ be a prime ideal. It follows from Lemma 2.1(2) that $M$ is not idempotent. Set $L=M^{2} \cup \bigcup_{Q \in \operatorname{Min}(\mathbf{0})} Q$. Next we prove that $M^{2} \subseteq x R$ for each $x \in R \backslash L$. Let $x \in R \backslash L$. Without restriction let $x$ be a nonunit. Note that $x R$ cannot be a product of more than one $O A$-ideal, and hence $x R$ is an $O A$-ideal. By Lemma 2.1(2) we have that $M^{2} \subseteq x R$.

Now we show that $P \subseteq M^{2}$ for each $P \in \operatorname{Min}(\mathbf{0})$. Let $P \in \operatorname{Min}(\mathbf{0})$. Assume that $P \nsubseteq M^{2}$. Let $w \in R \backslash P$. Then $P+w R \nsubseteq L$ by the prime avoidance lemma, and thus there is some $v \in(P+w R) \backslash L$. It follows that $M^{2} \subseteq v R \subseteq P+w R$. Since $P$ is a nonmaximal prime ideal, we have that $R / P$ has no simple $R / P$-submodules, and hence $\bigcap_{y \in R \backslash P}(P+y R)=P$. (Note that if $\bigcap_{y \in R \backslash P}(P+y R) \neq P$, then $\bigcap_{y \in R \backslash P}(P+y R) / P$ is a simple $R / P$-submodule of $R / P$.) This implies that $M^{2} \subseteq \bigcap_{y \in R \backslash P}(P+y R)=P$, and thus $P=M$, a contradiction.

Let $Q \in \operatorname{Min}(\mathbf{0})$. By the prime avoidance lemma, there is some $z \in M \backslash L$. We infer that $Q \subset M^{2} \subset z R$. Consequently, $Q=z Q$. Since $Q$ is principal, it follows that $Q=\mathbf{0}$ (e.g. by Nakayama's lemma), and hence $R$ is an integral domain.

Case 2: $\operatorname{dim}(R) \geq 2$ and $R$ is reduced. We show that $R$ is a unique factorization domain. There is some nonmaximal nonminimal prime ideal $Q$ of $R$. By the prime avoidance lemma, there is some $x \in Q \backslash \bigcup_{P \in \operatorname{Min}(\mathbf{0})} P$. Since $R$ is reduced, we have that $\bigcap_{L \in \operatorname{Min}(\mathbf{0})} L=\mathbf{0}$. If $y \in R$ is nonzero with $x y=0$, then $y \notin L$ and $x y \in L$ for some $L \in \operatorname{Min}(\mathbf{0})$, and hence $x \in L$, a
contradiction. We infer that $x$ is a regular element of $R$. Let $x R=\prod_{i=1}^{n} I_{i}$ be an $O A$-factorization. Then $I_{j} \subseteq Q$ for some $j \in[1, n]$. Since $x$ is regular, $I_{j}$ is invertible, and hence $I_{j}$ is a regular principal ideal (because invertible ideals of a local ring are regular principal ideals). Since $I_{j} \subseteq Q$ and $Q \neq M$, we have that $I_{j}$ is a prime ideal by Lemma 2.1(2). Consequently, $P \subseteq I_{j}$ for some $P \in \operatorname{Min}(\mathbf{0})$. Since $I_{j}$ is regular, we infer that $P \subset I_{j}$, and hence $P=P I_{j}$ (since $I_{j}$ is principal). It follows (e.g. from Nakayama's lemma) that $P=\mathbf{0}$ (since $P$ is principal). We obtain that $R$ is an integral domain.

To show that $R$ is a unique factorization domain, it suffices to show by [4, Theorem 2.6] that every nonzero prime ideal of $R$ contains a nonzero principal prime ideal. Since $\operatorname{dim}(R) \geq 2$ and $R$ is local, we only need to show that every nonzero nonmaximal prime ideal of $R$ contains a nonzero principal prime ideal. Let $L$ be a nonzero nonmaximal prime ideal of $R$ and let $z \in L$ be nonzero. Let $z R=\prod_{k=1}^{m} J_{k}$ be an $O A$-factorization. Then $J_{\ell} \subseteq L$ for some $\ell \in[1, m]$. Since $R$ is an integral domain, $z R$ is invertible, and hence $J_{\ell}$ is invertible. Therefore, $J_{\ell}$ is nonzero and principal (since $R$ is local). Since $L \neq M$, it follows from Lemma 2.1(2) that $J_{\ell}$ is a prime ideal.

CASE 3: $\operatorname{dim}(R) \geq 2$. We have to show that $R$ is a unique factorization domain. Note that $R / N$ is a reduced local ring with maximal ideal $M / N$ and $\operatorname{dim}(R / N) \geq 2$. Moreover, each proper principal ideal of $R / N$ has an $O A$-factorization by the claim. It follows by Case 2 that $R / N$ is a unique factorization domain, and thus $N$ is the unique minimal prime ideal of $R$. Since $R / N$ is a unique factorization domain and $\operatorname{dim}(R / N) \geq 2$, $R / N$ possesses a nonzero nonmaximal principal prime ideal. We infer that there is some nonminimal nonmaximal prime ideal $Q$ of $R$ such that $Q / N$ is a principal ideal of $R / N$. Consequently, there is some $q \in Q$ such that $Q=q R+N$. Let $q R=\prod_{i=1}^{n} I_{i}$ be an $O A$-factorization. Then $I_{j} \subseteq Q$ for some $j \in[1, n]$. Since $Q \neq M$, we infer by Lemma 2.1(2) that $I_{j}$ is a prime ideal of $R$. Therefore, $Q=q R+N \subseteq I_{j} \subseteq Q$, and hence $I_{j}=Q$.

Assume that $Q \neq q R$. Then $q R=Q J$ for some proper ideal $J$ of $R$. It follows that $q \in q R=(q R+N) J \subseteq q J+N$, and thus $q(1-a) \in N$ for some $a \in J$. Since $a$ is a nonunit of $R$, we obtain that $q \in N$. This implies that $Q=q R+N=N$, a contradiction. We infer that $Q=q R$. Since $N \subset Q$ and $N$ is a prime ideal of $R$, we have that $N=N Q$. Consequently, $N=\mathbf{0}$ (e.g. by Nakayama's lemma, since $N$ is principal), and thus $R \cong R / N$ is a unique factorization domain.
Proposition 3.4. Let $R$ be a local ring with maximal ideal $M$ such that each proper 2-generated ideal of $R$ has an $O A$-factorization. Then $\operatorname{dim}(R) \leq 2$ and each nonmaximal prime ideal of $R$ is principal.
Proof. First we show that $\operatorname{dim}\left(R_{P}\right) \leq 1$ for each nonmaximal prime ideal $P$ of $R$. Let $P$ be a nonmaximal prime ideal and let $I$ be a proper 2generated ideal of $R_{P}$. Observe that $I=J_{P}$ for some 2-generated ideal $J$ of $R$ with $J \subseteq P$. Let $J=\prod_{i=1}^{n} J_{i}$ be an $O A$-factorization. Then $I=J_{P}=\prod_{i=1}^{n}\left(J_{i}\right)_{P}=\prod_{i=1, J_{i} \subseteq P}^{n}\left(J_{i}\right)_{P}$. If $i \in[1, n]$ is such that $J_{i} \subseteq P$, then $J_{i}$ is a prime ideal of $R$ by Lemma 2.1(2), and thus $\left(J_{i}\right)_{P}$ is a prime ideal of $R_{P}$. We infer that $I$ is a product of prime ideals of $R_{P}$. It follows from [22, Theorem 3.2], that $R_{P}$ is a general $Z P I$-ring. It is an easy consequence of [21, page 205] that $\operatorname{dim}\left(R_{P}\right) \leq 1$.

This implies that $\operatorname{dim}(R) \leq 2$. It remains to show that every nonmaximal prime ideal of $R$ is principal. Without restriction let $\operatorname{dim}(R) \geq 1$. It follows from Proposition 3.3 that $R$ is either a one-dimensional domain or a twodimensional unique factorization domain. In any case we have that each nonmaximal prime ideal of $R$ is principal.

In the next result we will prove a generalization of the fact that every $O A F$-ring has Krull dimension at most one.

Theorem 3.5. Let $R$ be a ring such that every proper 2 -generated ideal of $R$ has an $O A$-factorization. Then $\operatorname{dim}(R) \leq 1$.

Proof. If every $O A$-ideal of $R$ is a prime ideal, then $R$ is a general $Z P I$-ring by $[22$, Theorem 3.2], and hence $\operatorname{dim}(R) \leq 1$ by [21, page 205]. Now let not every $O A$-ideal of $R$ be a prime ideal. We infer by Lemma 2.1 that $R$ is local and the maximal ideal of $R$ is not idempotent. Let $M$ be the maximal ideal of $R$. It suffices to show that if $Q$ is a nonmaximal prime ideal of $R$, then $Q=\mathbf{0}$. Let $Q$ be a nonmaximal prime ideal of $R$.

Assume that $Q \nsubseteq M^{2}$. Since $\operatorname{dim}(R) \leq 2$ by Proposition 3.4, there is some prime ideal $P$ of $R$ such that $Q \subseteq P$ and $\operatorname{dim}(R / P)=1$. Next we show that $M^{2} \subseteq P+y R$ for each $y \in R \backslash P$. Let $y \in R \backslash P$ and set $J=P+y R$. Without restriction let $J \subset M$. Note that $J$ is 2-generated by Proposition 3.4. Since $J \nsubseteq M^{2}$, $J$ cannot be a product of more than one $O A$-ideal, and thus $J$ is an $O A$-ideal of $R$. Since $P \subset J \subset M$, we have that $J$ is not a prime ideal of $R$, and thus $M^{2} \subseteq J$ by Lemma 2.1(2). Moreover, $R / P$ is an integral domain that is not a field. Consequently, $R / P$ does not have any simple $R / P$-submodules, which implies that $P=\bigcap_{x \in R \backslash P}(P+x R)$. (Observe that if $\bigcap_{x \in R \backslash P}(P+x R) \neq P$, then $\bigcap_{x \in R \backslash P}(P+x R) / P$ is a simple $R / P$-submodule of $R / P$.) Therefore, $M^{2} \subseteq \bigcap_{x \in R \backslash P}(P+x R)=P$, and hence $P=M$, a contradiction. We infer that $Q \subseteq M^{2}$.

There is some $z \in M \backslash M^{2}$ (since $M$ is not idempotent). Since $z R$ is a product of $O A$-ideals, we have that $z R$ is an $O A$-ideal of $R$. As shown before, $L \subseteq M^{2}$ for each nonmaximal prime ideal $L$ of $R$, and thus $z R$ is not a nonmaximal prime ideal. Consequently, $Q \subset M^{2} \subset z R$ by Lemma 2.1(2), and hence $Q=z Q$. Since $Q$ is principal by Proposition 3.4, it follows (e.g. by Nakayama's lemma) that $Q=\mathbf{0}$.

Lemma 3.6. Let $D$ be a local domain with maximal ideal $M$. Then each proper principal ideal of $D$ has an $O A$-factorization if and only if $D$ is atomic and each irreducible element generates an OA-ideal. If these equivalent conditions are satisfied, then $\bigcap_{n \in \mathbb{N}} P^{n}=\mathbf{0}$ for each height-one prime ideal $P$ of $D$.

Proof. $(\Rightarrow)$ Let each proper principal ideal of $D$ have an $O A$-factorization. If $D$ is a unique factorization domain, then $D$ is atomic and each irreducible element generates a prime ideal. Now let $D$ be not a unique factorization domain. Then $\operatorname{dim}(D)=1$ by Proposition 3.3.

Assume that $M^{2}$ is principal. Then $M$ is invertible, and hence $M$ is principal (since $D$ is local). Note that $D$ is a $D V R($ since $\operatorname{dim}(D)=1)$, and hence $D$ is a unique factorization domain, a contradiction.

We infer that $M^{2}$ is not principal. We show that $D$ is atomic. Let $y \in D$ be a nonzero nonunit. Then $y D=\prod_{i=1}^{n} I_{i}$ for some principal $O A$-ideals $I_{i}$. There are nonzero nonunits $x_{i} \in D$ such that $y=\prod_{i=1}^{n} x_{i}$ and $I_{j}=x_{j} D$ for each $j \in[1, n]$. Let $i \in[1, n]$. If $I_{i}$ is a prime ideal, then $x_{i}$ is a prime element, and thus $x_{i}$ is irreducible. Now let $I_{i}$ not be a prime ideal. It follows from Lemma 2.1(2) that $M^{2} \subseteq I_{i}$. Since $M^{2}$ is not principal, we have that $x_{i} \notin M^{2}$. Therefore, $x_{i}$ is irreducible.

Finally, let $z \in D$ be irreducible. Then $z D=\prod_{j=1}^{m} J_{j}$ for some principal $O A$-ideals $J_{j}$. Since $z D$ is maximal among the proper principal ideals of $D$, we obtain that $z D=J_{j}$ for some $j \in[1, n]$.
$(\Leftarrow)$ Let $D$ be atomic such that each irreducible element generates an $O A$-ideal. Let $I$ be a proper principal ideal of $D$. Without restriction let $I$ be nonzero. Then $I=x D$ for some nonzero nonunit $x \in D$. Observe that $x=\prod_{i=1}^{n} x_{i}$ for some irreducible elements $x_{i} \in D$. It follows that $\prod_{i=1}^{n} x_{i} D$ is an $O A$-factorization of $I$.

Now let the equivalent conditions be satisfied and let $P$ be a height-one prime ideal of $D$. First let $P \neq M$. Then $D$ is a unique factorization domain by Proposition 3.3, and hence $P$ is principal. Therefore, $\bigcap_{n \in \mathbb{N}} P^{n}$ is a prime ideal of $D$ by [5, Theorem 2.2(1)]. Since $\bigcap_{n \in \mathbb{N}} P^{n} \subset P$, we infer that $\bigcap_{n \in \mathbb{N}} P^{n}=\mathbf{0}$.

Now let $P=M$. Assume that $\bigcap_{n \in \mathbb{N}} M^{n} \neq \mathbf{0}$ and let $x \in \bigcap_{n \in \mathbb{N}} M^{n}$ be nonzero. Then $x D$ is a product of $m O A$-ideals of $D$ for some positive integer $m$. We infer by Lemma 2.1(2) that $M^{2 m} \subseteq x D$, and hence $M^{2 m} \subseteq$ $x D \subseteq M^{4 m} \subseteq M^{2 m}$. This implies that $x D=M^{2 m}=M^{4 m}=x^{2} D$, and thus $x$ is a unit of $D$, a contradiction. Therefore, $\bigcap_{n \in \mathbb{N}} M^{n}=\mathbf{0}$.

Lemma 3.7. Let $R$ be a local ring with maximal ideal $M$ such that $M^{2}$ is divided and such that either $M$ is nilpotent or $R$ is an integral domain with $\bigcap_{n \in \mathbb{N}} M^{n}=\mathbf{0}$. Then $R$ is an OAF-ring and every proper principal ideal of $R$ is a product of principal $O A$-ideals.

Proof. If $M$ is idempotent, then $M=\mathbf{0}$, and hence $R$ is a field and both statements are clearly satisfied. Now let $M$ be not idempotent. There is some $x \in M \backslash M^{2}$. In what follows, we freely use the fact that if $N$ is an ideal of $R$ and $z \in R$ such that $N \subseteq z R$, then $N=z(N: z R)$, and hence $N=z J$ for some ideal $J$ of $R$.
Next we prove that $M^{2}=x M$ and $x R$ is an $O A$-ideal of $R$. Since $x \notin M^{2}$ and $M^{2}$ is divided, we have that $M^{2} \subseteq x R \subseteq M$. Therefore, $x R$ is an $O A$-ideal by Lemma 2.1(2). Since $M^{2} \subset x R$, there is some proper ideal $J$ of $R$ with $M^{2}=x J$, and thus $M^{2} \subseteq x M$. Obviously, $x M \subseteq M^{2}$, and hence $M^{2}=x M$.

Now we show that $R$ is an $O A F$-ring. Let $I$ be a proper ideal of $R$. First let $I=\mathbf{0}$. If $M$ is nilpotent, then $I$ is obviously a product of $O A$-ideals. If $R$ is an integral domain, then $I$ is an $O A$-ideal. Now let $I$ be nonzero. In any case there is a largest positive integer $n$ such that $I \subseteq M^{n}$. Observe that $I \subseteq M^{n}=x^{n-1} M \subseteq x^{n-1} R$. Consequently, $I=x^{n-1} L=(x R)^{n-1} L$ for some proper ideal $L$ of $R$. Assume that $L \subseteq M^{2}$. Note that $L \subseteq M^{2}=$ $x M \subseteq x R$. This implies that $L=x A$ for some proper ideal $A$ of $R$, and hence $I=x^{n} A \subseteq x^{n} M=M^{n+1}$, a contradiction. We infer that $M^{2} \subseteq L$
(since $M^{2}$ is divided). It follows from Lemma 2.1(2) that $L$ is an $O A$-ideal. In any case, $I$ is a product of $O A$-ideals.

Finally, we prove that every proper principal ideal of $R$ is a product of principal $O A$-ideals. Let $y \in M$. First let $y=0$. If $M$ is nilpotent, then $x^{k}=0$ for some $k \in \mathbb{N}$, and thus $y R=(x R)^{k}$ is a product of principal $O A$ ideals. If $R$ is an integral domain, then $y R$ is a principal $O A$-ideal. Now let $y$ be nonzero. There is some greatest $\ell \in \mathbb{N}$ such that $y \in M^{\ell}$. Therefore, $y=x^{\ell-1} z$ for some $z \in M$. If $z \in M^{2}$, then $z=x v$ for some $v \in M$, and hence $y=x^{\ell} v \in M^{\ell+1}$, a contradiction. We infer that $z \notin M^{2}$, and thus $M^{2} \subseteq z R \subseteq M$. It follows from Lemma 2.1(2) that $z R$ is an $O A$-ideal of $R$. Consequently, $y R=(x R)^{\ell-1}(z R)$ is a product of principal $O A$-ideals.

## 4. Characterization of $O A F$-rings and related concepts

First we recall several definitions and discuss the factorization theoretical properties of local one-dimensional $O A F$-domains. Let $D$ be an integral domain with quotient field $K$. Then $\widehat{D}=\{x \in K \mid$ there is some nonzero $c \in D$ such that $c x^{n} \in D$ for all $\left.n \in \mathbb{N}\right\}$ is called the complete integral closure of $D$. Let $(D: \widehat{D})=\{x \in D \mid x \widehat{D} \subseteq D\}$ be the conductor of $D$ in $\widehat{D}$. The domain $D$ is called completely integrally closed if $D=\widehat{D}$ and $D$ is said to be seminormal if for all $x \in K$ such that $x^{2}, x^{3} \in D$, it follows that $x \in D$. Note that every completely integrally closed domain is seminormal. We say that $D$ is a finitely primary domain of rank one if $D$ is a local one-dimensional domain such that $\widehat{D}$ is a $D V R$ and $(D: \widehat{D}) \neq \mathbf{0}$. For each subset $X \subseteq K$ let $X^{-1}=\{x \in K \mid x X \subseteq D\}$ and $X_{v}=\left(X^{-1}\right)^{-1}$. An ideal $I$ of $D$ is called divisorial if $I_{v}=I$. Moreover, $D$ is called a Mori domain if $D$ satisfies the ascending chain condition on divisorial ideals. It is well known that every unique factorization domain and every Noetherian domain is a Mori domain (see [14, Corollary 2.3.13] and [11, page 57$]$ ). We say that $D$ is half-factorial if $D$ is atomic and each two factorizations of each nonzero element of $D$ into irreducible elements are of the same length. Finally, $D$ is called a $C$-domain if the monoid of nonzero elements of $D$ (i.e., $D \backslash \mathbf{0}$ ) is a $C$-monoid. For the precise definition of $C$-monoids we refer to [14, Definition 2.9.5].

Let $D$ be a local domain with quotient field $K$ and maximal ideal $M$. Set $(M: M)=\{x \in K \mid x M \subseteq M\}$. Then ( $M: M$ ) is called the ring of multipliers of $M$. Moreover, $M^{2}$ is said to be universal if $M^{2} \subseteq u D$ for each irreducible element $u \in D$.

Theorem 4.1. Let $D$ be a local domain with maximal ideal $M$ such that $D$ is not a field. The following statements are equivalent.
(1) $D$ is an $O A F$-domain.
(2) $D$ is a TAF-domain.
(3) $D$ is one-dimensional and every proper principal ideal has an $O A$ factorization.
(4) $D$ is one-dimensional and atomic and every irreducible element generates an $O A$-ideal.
(5) $D$ is atomic such that $M^{2}$ is universal.
(6) $(M: M)$ is a $D V R$ with maximal ideal $M$.
(7) $D$ is a seminormal finitely primary domain of rank one.

If these equivalent conditions are satisfied, then $D$ is a half-factorial $C$ domain and a Mori domain.

Proof. (1) $\Rightarrow(2)$ : This follows from Lemma 2.1(3).
$(1) \Rightarrow(3)$ : By Theorem 3.5, $D$ is one-dimensional. The rest of assertion (3) is clear.
$(2) \Leftrightarrow(5) \Leftrightarrow(6)$ : This follows from [23, Theorem 4.3].
$(3) \Leftrightarrow(4)$ : This is an immediate consequence of Lemma 3.6.
$(4) \Rightarrow(5)$ : Let $y \in D$ be an irreducible element. Since $y D$ is an $O A$-ideal and $\sqrt{y D}=M$, we deduce from Lemma 2.1(2) that $M^{2} \subseteq y D$. Hence $M^{2}$ is universal.
$(5)+(6) \Rightarrow(1)$ : It follows from $\left[6\right.$, Theorem 5.1] that $M^{2}$ is comparable to every principal ideal of $D$, and thus $M^{2}$ is divided. Since $(M: M)$ is a $D V R$ with maximal ideal $M$, we have that $\bigcap_{n \in \mathbb{N}} M^{n}=\mathbf{0}$. Consequently, $D$ is an $O A F$-domain by Lemma 3.7.
$(5)+(6) \Rightarrow(7)$ : First we show that $D$ is finitely primary of rank one. Let $P$ be a nonzero prime ideal of $D$. Then $P$ contains an irreducible element $y \in D$, and hence $M^{2} \subseteq y D \subseteq P$. Therefore, $P=M$, and thus $D$ is onedimensional. It remains to show that $\widehat{D}$ is a $D V R$ and $(D: \widehat{D}) \neq \mathbf{0}$. Since $(M: M)$ is a $D V R$, we have that $(M: M)$ is completely integrally closed. Observe that $D \subseteq(M: M) \subseteq \widehat{D}$, and hence $\widehat{D} \subseteq(\widehat{M: M})=(M: M)$. Therefore, $\widehat{D}=(M: M)$ is a $D V R$. Since $M \widehat{D}=M(M: M) \subseteq M \subseteq D$ and $M \neq \mathbf{0}$, we infer that $(D: \widehat{D}) \neq \mathbf{0}$.

Next we show that $D$ is seminormal. Let $V$ be the group of units of $\widehat{D}$. Let $K$ be the field of quotients of $D$ and let $x \in K$ be such that $x^{2}, x^{3} \in D$. Then $x^{2}, x^{3} \in \widehat{D}$. Since $\widehat{D}$ is a $D V R, \widehat{D}$ is seminormal, and thus $x \in \widehat{D}$. In particular, $x \in M$ or $x \in V$. If $x \in M$, then $x \in D$. Now let $x \in V$. Note that $V \cap D$ is the group of units of $D$ (by [24, Corollary 1.4] and [12, Proposition 2.1]), and thus $x^{2}$ and $x^{3}$ are units of $D$. Therefore, $x=x^{-2} x^{3}$ is a unit of $D$, and hence $x \in D$.
$(7) \Rightarrow(6):$ By [15, Lemma 3.3.3], we have that $M$ is the maximal ideal of $\widehat{D}$. If $x \in \widehat{D}$, then $x M \subseteq M$ (since $M$ is an ideal of $\widehat{D}$ ). It is straightforward to show that $(M: M) \subseteq \widehat{D}$. We infer that $(M: M)=\widehat{D}$ is a $D V R$.

Now let the equivalent statements of Theorem 4.1 be satisfied. It remains to show that $D$ is a half-factorial $C$-domain and a Mori domain. It follows from [6, Theorem 6.2] that $D$ is a half-factorial domain. Obviously, $V$ is a subgroup of finite index of $V$ and $V M \subseteq \widehat{D} M=(M: M) M \subseteq M$. It follows from [18, Corollary 2.8] and [14, Corollary 2.9.8] that $D$ is a $C$ domain. Moreover, $D$ is a Mori domain by [18, Proposition 2.5.1].

We want to point out that a local one-dimensional $O A F$-domain need not be Noetherian. Let $K \subseteq L$ be a field extension such that $[L: K]=\infty$ and let $D=K+X L \llbracket X \rrbracket$. Then $D$ is a local one-dimensional domain with maximal ideal $M=X L \llbracket X \rrbracket$ and $(M: M)=L \llbracket X \rrbracket$ is a $D V R$ with maximal ideal $M$. Consequently, $D$ is an $O A F$-domain by Theorem 4.1. Since $[L: K]=\infty$, it follows that $D$ is not Noetherian.

An integral domain $D$ is called a Cohen-Kaplansky domain if $D$ is atomic and $D$ has only finitely many irreducible elements up to associates. It follows from [6, Example 6.7] that there exists a local half-factorial CohenKaplansky domain with maximal ideal $M$ for which $M^{2}$ is not universal. We infer by Theorem 4.1 that the aforementioned domain is not an $O A F$ domain.
Theorem 4.2. Let $R$ be a ring with Jacobson radical M. The following statements are equivalent.
(1) $R$ is an OAF-ring.
(2) Each proper 2-generated ideal of $R$ has an $O A$-factorization.
(3) $\operatorname{dim}(R) \leq 1$ and each proper principal ideal has an $O A$-factorization.
(4) $R$ satisfies one of the following conditions.
(A) $R$ is a general ZPI-ring.
(B) $R$ is a local domain, $M^{2}$ is divided and $\bigcap_{n \in \mathbb{N}} M^{n}=\mathbf{0}$.
(C) $R$ is local, $M^{2}$ is divided and $M$ is nilpotent.

Proof. (1) $\Rightarrow$ (2): This is obvious.
$(2) \Rightarrow(3)$ : This is an immediate consequence of Theorem 3.5.
$(3) \Rightarrow(4)$ : First let each $O A$-ideal of $R$ be a prime ideal. Then $R$ is a $\pi$-ring. By [16, Theorems 39.2, 46.7, and 46.11], $R$ is a general $Z P I$-ring. Now let there be an $O A$-ideal of $R$ which is not a prime ideal. It follows from Lemma 2.1 that $R$ is local with maximal ideal $M$ and $M$ is not idempotent. Note that if $x \in M \backslash M^{2}$, then $x R$ cannot be a product of more than one $O A$-ideal, and hence $x R$ is an $O A$-ideal.

CASE 1: $R$ is zero-dimensional. Let $x \in M \backslash M^{2}$. Then $x R$ is an $O A$-ideal. We infer by Lemma 2.1(2) that $M^{2} \subseteq x R$. Consequently, $M^{2}$ is divided. It follows from Lemma 2.1 that $M^{2} \subseteq I$ for each $O A$-ideal $I$ of $R$. Since $\mathbf{0}$ is a product of $O A$-ideals, we have that $\mathbf{0}$ contains a power of $M$. This implies that $M$ is nilpotent.

CASE 2: $R$ is one-dimensional. It follows from Proposition 3.3 that $R$ is an integral domain, and hence $\bigcap_{n \in \mathbb{N}} M^{n}=\mathbf{0}$ by Lemma 3.6. It remains to show that $M^{2}$ is divided. Let $x \in R \backslash M^{2}$. Without restriction let $x$ be a nonunit. Then $x R$ is an $O A$-ideal. By Lemma 2.1(2) we have that $M^{2} \subseteq x R$.
(4) $\Rightarrow$ (1): Clearly, every general $Z P I$-ring is an $O A F$-ring. The rest follows from Lemma 3.7.
Corollary 4.3. Let $R$ be a ring with Jacobson radical M. The following statements are equivalent.
(1) Each proper principal ideal of $R$ has an $O A$-factorization.
(2) $R$ is a $\pi$-ring or an OAF-ring.
(3) $R$ satisfies one of the following conditions.
(A) $R$ is a $\pi$-ring.
(B) $R$ is a local domain, $M^{2}$ is divided and $\bigcap_{n \in \mathbb{N}} M^{n}=\mathbf{0}$.
(C) $R$ is local, $M^{2}$ is divided and $M$ is nilpotent.

Proof. (1) $\Rightarrow(2)$ : If $R$ is not local, then $R$ is a $\pi$-ring by Remark 2.4(2). Now let $R$ be local. If $\operatorname{dim}(R) \geq 2$, then $R$ is a unique factorization domain by Proposition 3.3, and hence $R$ is a $\pi$-ring. If $\operatorname{dim}(R) \leq 1$, then $R$ is an $O A F$-ring by Theorem 4.2.
$(2) \Rightarrow(1)$ : This is obvious.
$(2) \Leftrightarrow(3)$ : This is an immediate consequence of Theorem 4.2 and the fact that every general $Z P I$-ring is a $\pi$-ring.
Corollary 4.4. Let $R$ be a ring with Jacobson radical M. The following statements are equivalent.
(1) Each proper principal ideal of $R$ is a product of principal $O A$-ideals.
(2) $R$ satisfies one of the following conditions.
(A) $R$ is a unique factorization ring.
(B) $R$ is a local domain, $M^{2}$ is divided and $\bigcap_{n \in \mathbb{N}} M^{n}=\mathbf{0}$.
(C) $R$ is local, $M^{2}$ is divided and $M$ is nilpotent.

Proof. (1) $\Rightarrow$ (2): If $R$ is not local, then $R$ is a unique factorization ring by Remark 2.4(3). If $R$ is local, then the statement follows from Corollary 4.3 and the fact that every local $\pi$-ring is a unique factorization ring ([4, Corollary 2.2]).
$(2) \Rightarrow(1)$ : Obviously, if $R$ is a unique factorization ring, then each proper principal ideal of $R$ is a product of principal $O A$-ideals. The rest is an immediate consequence of Lemma 3.7.

In Lemma 2.1, we saw that if $R$ is a local ring with maximal ideal $M$ and $I$ is an ideal of $R$ such that $M^{2} \subseteq I$, then $I$ is an $O A$-ideal of $R$. Now we will give a characterization of the rings for which every proper (principal) ideal is an $O A$-ideal.

Proposition 4.5. Let $R$ be a ring with Jacobson radical $M$. The following statements are equivalent.
(1) Every proper ideal of $R$ is an $O A$-ideal.
(2) Every proper principal ideal of $R$ is an $O A$-ideal.
(3) $R$ is local and $M^{2}=\mathbf{0}$.

Proof. (1) $\Rightarrow$ (2): This is obvious.
$(2) \Rightarrow(3)$ : Assume that $R$ is not local. Then every proper principal ideal of $R$ is a prime ideal by Lemma 2.1(1). Consequently, $R$ is an integral domain. If $x \in R$ is a nonunit, then $x^{2} R$ is a prime ideal, and hence $x^{2} R=x R$ and $x=0$. Therefore, $R$ is a field, a contradiction. This implies that $R$ is local with maximal ideal $M$. We infer by Lemma 2.1(2) that $\mathbf{0}$ is a prime ideal or $M^{2}=\mathbf{0}$.

Assume that $M^{2} \neq \mathbf{0}$. Then $R$ is an integral domain and there is some nonzero $x \in M^{2}$. It follow from Lemma 2.1(2) that $x^{2} R$ is a prime ideal or $M^{2} \subseteq x^{2} R$. If $x^{2} R$ is a prime ideal, then $x^{2} R=x R$. If $M^{2} \subseteq x^{2} R$, then $M^{2} \subseteq x^{2} R \subseteq x R \subseteq M^{2}$, and thus $x^{2} R=x R$. In any case we have that $x^{2} R=x R$, and hence $x$ is a unit (since $x$ is regular), a contradiction.
$(3) \Rightarrow(1)$ : This is an immediate consequence of Lemma 2.1(2).

## 5. $O A$-factorization properties and trivial ring extensions

Let $A$ be a ring and $E$ be an $A$-module. Then $A \propto E$, the trivial (ring) extension of $A$ by $E$, is the ring whose additive structure is that of the external direct sum $A \oplus E$ and whose multiplication is defined by $(a, e)(b, f)=(a b, a f+b e)$ for all $a, b \in A$ and all $e, f \in E$. (This construction is also known by other terminology and other notation, such as
the idealization $A(+) E$.) The basic properties of trivial ring extensions are summarized in the textbooks [17, 19]. Trivial ring extensions have been studied or generalized extensively, often because of their usefulness in constructing new classes of examples of rings satisfying various properties (cf. $[7,10,20])$. We say that $E$ is divisible if $E=a E$ for each regular element $a \in A$.

We start with the following lemma.
Lemma 5.1. Let $A$ be a ring, $I$ be an ideal of $A$ and $E$ be an $A$-module. Let $R=A \propto E$ be the trivial ring extension of $A$ by $E$.
(1) $I \propto E$ is an $O A$-ideal of $R$ if and only if $I$ is an $O A$-ideal of $A$.
(2) Assume that $A$ contains a nonunit regular element and $E$ is a divisible $A$-module. Then the $O A$-ideals of $R$ have the form $L \propto E$ where $L$ is an $O A$-ideal of $A$.

Proof. (1) This follows immediately from [25, Theorem 2.20].
(2) Let $J$ be an $O A$-ideal of $R$. Our aim is to show that $\mathbf{0} \propto E \subseteq J$. Let $e \in E$ and let $a \in A$ be a nonunit regular element. Then $e=a f$ for some $f \in E$ and thus $(a, 0)(0, f)(0, e)=(0,0) \in J$. Since $J$ is an $O A$-ideal, we conclude that $(a, 0)(0, f)=(0, e) \in J$ or $(0, e) \in J$ which implies that $\mathbf{0} \propto E \subseteq J$. Therefore, $J=L \propto E$ with $L=\{b \in A \mid(b, g) \in J$ for some $g \in E\}$ and $L$ is an ideal of $A$ by [7, Theorems 3.1 and 3.3(1)]. Now the result follows from (1).

Corollary 5.2. Let $A$ be an integral domain that is not a field, $E$ be a divisible $A$-module and $R=A \propto E$. Then the $O A$-ideals of $R$ have the form $I \propto E$ where $I$ is an $O A$-ideal of $A$.

Next, we study the transfer of the $O A F$-ring property to the trivial ring extension.

Theorem 5.3. Let $A$ be a ring with Jacobson radical $M, E$ be an $A$-module and $R=A \propto E$.
(1) $R$ is an $O A F-$ ring if and only if one of the following conditions is satisfied.
(A) $A$ is a general ZPI-ring, $E$ is cyclic and the annihilator of $E$ is $a$ (possibly empty) product of idempotent maximal ideals of $A$.
(B) $A$ is local, $M^{2}$ is divided, $E=\mathbf{0}$ and either $M$ is nilpotent or $A$ is a domain with $\bigcap_{n \in \mathbb{N}} M^{n}=\mathbf{0}$.
(C) $A$ is local, $M^{2}=\mathbf{0}, M E=a E$ for each nonzero $a \in M$ and $M E=M x$ for each $x \in E \backslash M E$.
In particular, if $R$ is an $O A F$-ring, then $A$ is an $O A F$-ring.
(2) Every proper ideal of $R$ is an $O A$-ideal if and only if $A$ is local, $M^{2}=\mathbf{0}$ and $M E=\mathbf{0}$.

Proof. $(1)(\Rightarrow)$ First let $R$ be an $O A F$-ring. By Theorem 4.2, it follows that (a) $R$ is a general $Z P I$-ring or (b) $R$ is local with maximal ideal $N, N^{2}$ is divided and ( $N$ is nilpotent or $R$ is a domain such that $\bigcap_{n \in \mathbb{N}} N^{n}=\mathbf{0}$ ). If $R$ is a general $Z P I$-ring, then condition (A) is satisfied by [7, Theorem 4.10].

From now on let $R$ be local with maximal ideal $N$ such that $N^{2}$ is divided. Observe that $A$ is local with maximal ideal $M$ and $N=M \propto E$ by [7,

Theorem 3.2(1)]. If $R$ is a domain such that $\bigcap_{n \in \mathbb{N}} N^{n}=\mathbf{0}$, then $E=\mathbf{0}$ (for if $z \in E$ is nonzero, then $(0, z)$ is a nonzero zero-divisor of $R$ ), and hence $A \cong R$ is a domain, $M^{2}$ is divided and $\bigcap_{n \in \mathbb{N}} M^{n}=\mathbf{0}$.

Now let $N$ be nilpotent. If $E=\mathbf{0}$, then $A \cong R$, and thus $M^{2}$ is divided and $M$ is nilpotent. From now on let $E$ be nonzero. There is some $k \in \mathbb{N}$ such that $N^{k}=\mathbf{0}$. Note that $N^{2}=M^{2} \propto M E$ and $N^{k}=M^{k} \propto M^{k-1} E$, and thus $M^{k}=\mathbf{0}$. Since $N^{2}$ is divided, we have that $\mathbf{0} \propto E \subseteq N^{2}$ or $N^{2} \subseteq \mathbf{0} \propto E$. If $\mathbf{0} \propto E \subseteq N^{2}$, then $E=M E$, and hence $E=M^{k} E=\mathbf{0}$, a contradiction. Therefore, $N^{2} \subseteq \mathbf{0} \propto E$, which implies that $M^{2}=\mathbf{0}$.

Let $a \in M$ be nonzero. Then $(a, 0) \notin N^{2}$, and hence $N^{2} \subseteq(a, 0) R=$ $a A \propto a E$. Consequently, $M E \subseteq a E$, and thus $M E=a E$. Finally, let $x \in E \backslash M E$. Then $(0, x) \notin N^{2}$. We infer that $N^{2} \subseteq(0, x) R=\mathbf{0} \propto A x$. This implies that $M E \subseteq A x$. If $M E \nsubseteq M x$, then $b x \in M E$ for some unit $b \in A$, and hence $x \in M E$, a contradiction. It follows that $M E \subseteq M x$, which clearly implies that $M E=M x$.
$(\Leftarrow)$ Next we prove the converse. If condition (A) is satisfied, then $R$ is a general $Z P I$-ring by [7, Theorem 4.10], and thus $R$ is an $O A F$-ring. If condition (B) is satisfied, then $A$ is an $O A F$-ring by Theorem 4.2, and hence $R \cong A$ is an $O A F$-ring. Now let condition (C) be satisfied. Set $N=M \propto E$. Then $R$ is local with maximal ideal $N$ by [7, Theorem 3.2(1)]. By Theorem 4.2, it suffices to show that $N$ is nilpotent and $N^{2}$ is divided. Since $M^{2}=\mathbf{0}$, we obtain that $N^{3}=M^{3} \propto M^{2} E=\mathbf{0}$, and thus $N$ is nilpotent. It remains to show that $N^{2} \subseteq(a, x) R$ for each $(a, x) \in R \backslash N^{2}$. Let $a \in A$ and $x \in E$ be such that $(a, x) \notin N^{2}$. Since $N^{2}=\mathbf{0} \propto M E$, we have to show that $\mathbf{0} \propto M E \subseteq(a, x) R$. If $a$ is a unit of $A$, then $(a, x)$ is a unit of $R$ by [7, Theorem 3.7] and the statement is clearly true. Let $z \in \mathbf{0} \propto M E$. Then $z=(0, y)$ for some $y \in M E$.

CASE 1: $a$ is a nonzero nonunit. Since $M E=a E$, there is some $v \in E$ such that $y=a v$. Observe that $z=(0, a v)=(a, x)(0, v) \in(a, x) R$.

Case 2: $a=0$. Then $x \in E \backslash M E$ (since $\left.(a, x) \notin N^{2}\right)$. Since $M E=M x$, there is some $b \in M$ such that $y=b x$. It follows that $z=(0, b x)=$ $(a, x)(b, 0) \in(a, x) R$.

The in particular statement now follows from Theorem 4.2.
(2) First let every proper ideal of $R$ be an $O A$-ideal. By Proposition 4.5, we have that $R$ is local with maximal ideal $N$ and $N^{2}=\mathbf{0}$. It follows that $A$ is local with maximal ideal $M$ and $N=M \propto E$ by [7, Theorem 3.2(1)]. Moreover, $\mathbf{0}=N^{2}=M^{2} \propto M E$, and hence $M^{2}=\mathbf{0}$ and $M E=\mathbf{0}$.

Conversely, let $A$ be local, $M^{2}=\mathbf{0}$ and $M E=\mathbf{0}$. Set $N=M \propto E$. Then $R$ is local with maximal ideal $N$ by [7, Theorem 3.2(1)] and $N^{2}=M^{2} \propto$ $M E=0$. We infer by Proposition 4.5 that each proper ideal of $R$ is an $O A$-ideal.

Corollary 5.4. Let $A$ be an integral domain, $E$ be a nonzero $A$-module and $R=A \propto E$. The following statements are equivalent.
(1) $R$ is an OAF-ring.
(2) $A$ is a field.
(3) Every proper ideal of $R$ is an $O A$-ideal.

Proof. (1) $\Rightarrow(2)$ : It follows from Theorem $5.3(1)$ that $A$ is a general $Z P I$ ring and the annihilator of $E$ is a product of idempotent maximal ideals of $A$ or that $A$ is local with maximal ideal $M$ such that $M^{2}=\mathbf{0}$.

First let $A$ be a general $Z P I$-ring such that the annihilator of $E$ is a product of idempotent maximal ideals of $A$. Note that $A$ is a Dedekind domain, and thus the only proper idempotent ideal of $A$ is the zero ideal. Since $E$ is nonzero, the annihilator of $E$ is a proper ideal of $A$, and hence $A$ must possess an idempotent maximal ideal. We infer that the zero ideal is a maximal ideal of $A$, and thus $A$ is a field.

Now let $A$ be local with maximal ideal $M$ such that $M^{2}=\mathbf{0}$. Since $A$ is an integral domain, it follows that $M=\mathbf{0}$, and hence $A$ is a field.
$(2) \Rightarrow(3):$ Set $M=\mathbf{0}$. Then $A$ is local with maximal ideal $M, M^{2}=\mathbf{0}$ and $M E=\mathbf{0}$. Now the statement follows from Theorem 5.3(2).
$(3) \Rightarrow(1)$ : This is obvious.
Remark 5.5. In general, if $A$ is an $O A F$-ring and $E$ is an $A$-module, then $A \propto E$ need not be an $O A F$-ring. Indeed, let $A$ be an $O A F$-domain that is not a field and let $E$ be a nonzero $A$-module. By Corollary 5.4, $A \propto E$ is not an $O A F$-ring.

Corollary 5.6. Let $A$ be a local ring with maximal ideal $M$ and $E$ be a nonzero $A$-module such that $M E=\mathbf{0}$. Set $R=A \propto E$. The following statements are equivalent.
(1) $R$ is an $O A F$-ring.
(2) $M^{2}=\mathbf{0}$.
(3) Every proper ideal of $R$ is an $O A$-ideal.

Proof. (1) $\Rightarrow(2)$ : Assume that $M^{2} \neq \mathbf{0}$. By Theorem $5.3(1), A$ is a local general ZPI-ring and $M$ is idempotent (since the annihilator of $E$ is a nonempty product of idempotent maximal ideals of $A$ and $M$ is the only maximal ideal of $A$ ). We infer by [21, Corollary 9.11] that $A$ is a Dedekind domain or each proper ideal of $A$ is a power of $M$ (because local rings are indecomposable). If $A$ is a Dedekind domain, then clearly $M^{2}=M=\mathbf{0}$ (since $M$ is idempotent and a Dedekind domain has no nonzero proper idempotent ideals). Moreover, if every proper ideal of $A$ is a power of $M$, then again $M^{2}=M=\mathbf{0}$ (since $M$ is idempotent). In any case, we obtain that $M^{2}=\mathbf{0}$, a contradiction.
$(1) \Leftarrow(2) \Leftrightarrow(3)$ : This follows from Theorem 5.3.
Example 5.7. Let $A$ be a local principal ideal ring with maximal ideal $M$ such that $A$ is not a field and $M^{2}=\mathbf{0}$ (e.g. $A=\mathbb{Z} / 4 \mathbb{Z}$ ). Set $R=A \propto A$. Then $R$ is an $O A F$-ring, and yet not every proper ideal of $R$ is an $O A$-ideal.

Proof. Since $M \neq \mathbf{0}$, it follows from Theorem 5.3(2) that not every proper ideal of $R$ is an $O A$-ideal. By Theorem 5.3(1) it remains to show that $M=a A$ for each nonzero $a \in M$ and $M=M x$ for each $x \in A \backslash M$. Note that $M=z A$ for some $z \in M$. If $a \in M$ is nonzero, then $a=z b$ for some $b \in A$. Clearly, $b \notin M$, and thus $b$ is a unit of $A$, which clearly implies that $M=z A=a A$. Finally, if $x \in A \backslash M$, then $x$ is a unit of $A$, and thus $M=M x$.

Remark 5.8. Let $A$ be a ring with Jacobson radical $M, E$ be an $A$ module and $R=A \propto E$. Then each proper principal ideal of $R$ has an $O A$-factorization if and only if one of the following conditions is satisfied.
(1) $A$ is a $\pi$-ring, $E$ is cyclic and the annihilator of $E$ is a (possibly empty) product of idempotent maximal ideals of $A$.
(2) $A$ is local, $M^{2}$ is divided, $E=\mathbf{0}$ and either $M$ is nilpotent or $A$ is a domain with $\bigcap_{n \in \mathbb{N}} M^{n}=\mathbf{0}$.
(3) $A$ is local, $M^{2}=\mathbf{0}, M E=a E$ for each nonzero $a \in M$ and $M E=$ $M x$ for each $x \in E \backslash M E$.

Proof. This can be proved along similar lines as Theorem $5.3(1)$ by using Corollary 4.3 and [7, Theorems $3.2(1)$ and 4.10].

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