# Four Color theorem for image segmentation 

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$$
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$$

## The original Munford-Shah

The Mumford-Shah (MS) model:

$$
\begin{equation*}
\min _{C, s} \mu|C|+\frac{\alpha}{2} \int_{\Omega}\left(s-s_{0}\right)^{2}+\frac{\gamma}{2} \int_{\Omega \backslash C}|\nabla s|^{2}, \tag{1}
\end{equation*}
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$$



## The piecewise constant Munford-Shah

The PC Mumford-Shah (MS) model:

$$
\begin{align*}
& \min _{r} \min _{\left\{\Gamma_{i}\right\}_{i=1}^{r}} \min _{\left\{c_{i}\right\}_{i=1}} \sum_{i=1}^{r} \mu\left|\partial \Gamma_{i}\right|+\frac{\alpha}{2} \int_{\Gamma_{i}}\left(c_{i}-s_{0}\right)^{2} \text { s.t. } \\
& \Omega=\cup_{i=1}^{r} \Gamma_{i} \text { and } \Gamma_{i} \cap \Gamma_{j}=\emptyset \forall i \neq j \tag{2}
\end{align*}
$$



## The Potts model

Given $\left\{f_{i}\right\}_{i=1}^{n}$ for a fixed number $n$, the Potts models needs to:

$$
\min _{\Gamma_{i}} \sum_{i=1}^{n} \mu\left|\partial \Gamma_{i}\right|+\int_{\Gamma_{i}} f_{i}, \quad \Omega=\cup_{i=1}^{n} \Gamma_{i}, \quad \Gamma_{i} \cap \Gamma_{j}=\emptyset, \forall i, j
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$$

So if the number $n$ and $c_{i}$ are known，the PC Mumford－Shah is reduced to the Potts model choosing $f_{i}=\left|c_{i}-u_{0}\right|^{2}$ ．

## Combining Mumford-Shah with GAC

It is possible to combine these two popular models together and it has been shown to have superior property with no extra computational cost:

$$
\min _{n, \Gamma_{i}, c_{i}} \sum_{i=1}^{n} \int_{\Gamma_{i}}\left(u_{0}-c_{i}\right)^{2}+\beta \int_{\partial \Gamma_{i}} g\left(\left|\nabla u_{\sigma}\right|\right) d s
$$

## Chan-Vese model - One of the most popular segmentation model

Given an input image $u_{0}$, the 2-phase level set representation is find $\phi$ and $c_{i}$ from:

$$
\min _{\Gamma, c_{1}, c_{2}} \alpha|\Gamma|+\int_{\Omega_{1}}\left|c_{1}-u_{0}\right|^{2}+\int_{\Omega_{2}}\left|c_{2}-u_{0}\right|^{2}
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$$
\min _{\phi, c_{1}, c_{2}} \int_{\Omega} \alpha|\nabla H(\phi)|+\left\{H(\phi)\left(c_{1}-u_{0}\right)^{2}+(1-H(\phi))\left(c_{2}-u_{0}\right)^{2}\right\} d x,
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- $H(\phi)=1$ if $\phi>0, H(\phi)=0$ if $\phi<0$
- From $\phi$, we get $\Omega_{1}=\{x \mid \phi>0\}, \Omega_{2}=\{x \mid \phi \leq 0\}$.


## More than two regions-multiple level-sets (Chan and Vese, 2000)



$$
\begin{array}{llll}
\Omega_{++}=\{x \in \Omega, & \phi_{1}>0, & \left.\phi_{2}>0\right\} \\
\Omega_{+-} & =\{x \in \Omega, & \phi_{1}>0, & \left.\phi_{2}<0\right\} \\
\Omega_{-+} & =\{x \in \Omega, & \phi_{1}<0, & \left.\phi_{2}>0\right\} \\
\Omega_{--} & =\{x \in \Omega, & \phi_{1}<0, & \left.\phi_{2}<0\right\}
\end{array}
$$

## Multiphase level set representation of CV model

$$
\min _{\phi^{1}, \phi^{2},\left\{c_{i}\right\}_{i=1}^{4}} \alpha \int_{\Omega}\left|\nabla H\left(\phi^{1}\right)\right|+\alpha \int_{\Omega}\left|\nabla H\left(\phi^{2}\right)\right|+E^{\text {data }}\left(\phi^{1}, \phi^{2}\right),
$$

where

$$
\begin{aligned}
& E^{\text {data }}\left(\phi^{1}, \phi^{2}\right)=\int_{\Omega}\left\{H\left(\phi^{1}\right) H\left(\phi^{2}\right)\left|c_{2}-u_{0}\right|^{\beta}+H\left(\phi^{1}\right)\left(1-H\left(\phi^{2}\right)\right)\left|c_{1}-u_{0}\right|^{\beta}\right. \\
& \left.+\left(1-H\left(\phi^{1}\right)\right) H\left(\phi^{2}\right)\left|c_{4}-u_{0}\right|^{\beta}+\left(1-H\left(\phi^{1}\right)\right)\left(1-H\left(\phi^{2}\right)\right)\left|c_{3}-u_{0}\right|^{\beta}\right\} d x .
\end{aligned}
$$

$$
\begin{aligned}
& \Omega_{1}=\left\{x \in \Omega \text { s.t. } \phi^{1}(x)>0, \phi^{2}(x)<0\right\} \\
& \Omega_{2}=\left\{x \in \Omega \text { s.t. } \phi^{1}(x)>0, \phi^{2}(x)>0\right\} \\
& \Omega_{3}=\left\{x \in \Omega \text { s.t. } \phi^{1}(x)<0, \phi^{2}(x)<0\right\} \\
& \Omega_{4}=\left\{x \in \Omega \text { s.t. } \phi^{1}(x)<0, \phi^{2}(x)>0\right\}
\end{aligned}
$$

## Chan-Vese model - Advantages

- Use the level set of Osher and Sethian (JCP1998): can handle very general geometries.
- Region based: robust with noise, images without edges.



## Chan－Vese model－disadvantages

－Slow in convergence．
－Each $\Omega_{i}$ can contain many disconnected subregions，we must have $u=c_{i}$ in $\Omega_{i}$ ．

（a）Input images

（b）Segmented image

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(a) Input images

(b) Segmented image
- If $u$ needs to take $n$ constant values, then we need $n$-phases for the segmentation.
- Our new model allows $u$ to take many constant values inside $\Omega_{i}$.


## Chan－Vese model－disadvantages

－Need to use many level set functions or labeling functions

（a）Input images

（b）Segmented image（8 phases）

Figure：Our new model never needs more than 4－phases to get the same segmentation．

## Chan-Vese model - disadvantages

- Slow in convergence.
- Non-convex minimization: may get stuck with local minimums, depends on initial value.
(a)
(b)


initial


segment


curves


Figure: Images from Brown-Chan-Bresson (IJCV 2011).

## The new 4-color model based on Munford-Shah

The New 4-Color Model Based On Munford-Shah

## The new 4-color theorem

Any planar graph (map) can be painted and separated by 4 colors.


## The new 4-color model based on Munford-Shah

The new model: Due to 4-color theorem:

$$
\begin{align*}
& \min _{\left\{\Omega_{i}\right\}_{i=1}^{4}} \min _{\left\{s_{i}\right\}_{i=1}^{4}} \sum_{i=1}^{4} \mu\left|\partial \Omega_{i}\right|+\frac{\alpha}{2} \int_{\Omega_{i}}\left(s_{i}-s_{0}\right)^{2} \text { s.t. } \\
& \Omega=\cup_{i=1}^{4} \Omega_{i}, \Omega_{i} \cap \Omega_{j}=\emptyset \forall i \neq j \\
& \text { and }\left|\nabla s_{i}(x)\right|^{2}=0 \text { in } \Omega_{i} . \tag{6}
\end{align*}
$$

$$
s_{i}: \Omega \mapsto R, i=1,2,3,4 .
$$

## The new 4-color model based on Munford-Shah

$$
\begin{gathered}
\nabla s_{i}=0 \text { in } \Omega_{i} \\
\mathbb{y} \\
s_{i}=\text { const in } \Omega_{i} .
\end{gathered}
$$

## The new 4-color model based on Munford-Shah

$$
s_{i}: \Omega \mapsto R,
$$

$$
\nabla s_{i}=0 \text { in } \Omega_{i}
$$

$s_{i}=$ const, but the constants can differ from one connected subregion to another connected subregion.


## Regulatrization of $s_{i}$

$$
\begin{array}{ll}
\min _{\left\{\Omega_{i}\right\}_{i=1}^{4}} \min _{\left\{s_{i}\right\}_{i=1}^{4}} \sum_{i=1}^{4} \mu\left|\partial \Omega_{i}\right|+\frac{\alpha}{2} \int_{\Omega_{i}}\left(s_{i}-s_{0}\right)^{2}+\frac{\sigma}{2} \int_{\Omega}\left|\nabla s_{i}\right|^{2} \text { s.t. } \\
\Omega=\cup_{i=1}^{4} \Omega_{i}, \quad \Omega_{i} \cap \Omega_{j}=\emptyset \forall i \neq j \text { and }\left|\nabla s_{i}(x)\right|^{2}=0 \text { in } \Omega_{i} .(7)
\end{array}
$$

Adding $\sigma$, we regularize the values of $s_{i}$ outside $\Omega_{i}$. In fact, the values of $s_{i}$ is the harmonic extensions of the piecewise constant values of $s_{i}$ inside $\Omega_{i}$.


## Representation of $\left\{\Omega_{i}\right\}_{i=1}^{4}$

- Using level set functions (slow, non-convex).


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－Using the characteristic function $u_{i}=\chi_{\Omega_{i}}, i=1,2,3,4$ ．

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－Using a single label：$u \in\{1,2,3,4\}$ ．
－Using the characteristic function $u_{i}=\chi_{\Omega_{i}}, i=1,2,3,4$ ．
All can be convexified and have fast solvers．

## Chan－Vese（product）binary labelling

－Use two binary functions：$u_{1}, u_{2} \in\{0,1\}$ ．
－Corresponding characteristic functions：

$$
\begin{aligned}
& \psi_{1}=u_{1} u_{2}, \psi_{2}=\left(1-u_{1}\right) u_{2}, \psi_{3}=u_{1}\left(1-u_{2}\right), \psi_{4}= \\
& \left(1-u_{1}\right)\left(1-u_{2}\right) .
\end{aligned}
$$

－Convex equivalence exists：Bae－T．（EMMCVPV09），Bae－T． （JMIV 2014），Goldluecke－Cremers ECCV（2010）．

## PCLS labelling

- Use a single labeling functions: $u \in\{1,2,3,4\}$.
- Corresponding characteristic functions:
$\psi_{i}=1$ when $u=i$, else $\psi_{i}=0$.
- Convex equivalence exists: Ishikawa, Darbon-Segelle, Pock-Bremer-Chambolle-et-al, Bae-T., Brown-Bresson-Chan, Golstein-Bresson-Osher.


## Characteristic function labelling

－Use labeling functions：$u_{i} \in\{0,1\}, \sum_{i=1}^{4} u_{i}=1$ ．
－Convex equivalence exists：Pock－Cremers－Chambolle－et－al （ICCV 2008，．．．），Bae－Yuan－T．（IJCV2010），Lellman－et－al （2010，2011，2012），Zach－et－al（2009）．

## Characteristc Labelling functions (PCLS)

$$
u_{i}(x)= \begin{cases}1, & \forall x \in \Omega_{i}  \tag{8}\\ 0, & \text { otherwise }\end{cases}
$$

The problem (7) can thus be rewritten as

$$
\begin{align*}
& \min _{\left\{u_{i} \in\{0,1\}\right\}_{i=1}^{4}} \min _{\left\{s_{i}\right\}_{i=1}^{4}} \sum_{i=1}^{4} \int_{\Omega} \mu(x)\left|\nabla u_{i}\right|+\frac{\alpha}{2} u_{i}\left(s_{i}-s_{0}\right)^{2}+\frac{\sigma}{2}\left|\nabla s_{i}\right|^{2} \\
& \sum_{i=1}^{4} u_{i}(x)=1 \forall x \in \Omega \\
& \text { and } u_{i}(x)\left|\nabla s_{i}(x)\right|^{2}=0 \forall x \in \Omega, i=1, \ldots, 4 . \tag{9}
\end{align*}
$$

## Lagrangian functional

We use Lagrangian method to deal with the constrain. The corresponding Augmented Lagrangian functional is:

$$
\begin{align*}
& L\left(\left\{u_{i}\right\}_{i=1}^{4},\left\{s_{i}\right\}_{i=1}^{4}, \lambda\right)= \\
& \sum_{i=1}^{4} \int_{\Omega} \mu\left|\nabla u_{i}\right|+\frac{\alpha}{2} \int_{\Omega} u_{i}\left(s_{i}-s_{0}\right)^{2}+\frac{\sigma}{2} \int_{\Omega}\left|\nabla s_{i}\right|^{2} \\
& +\int_{\Omega} \lambda(x) u_{i}(x)\left|\nabla s_{i}(x)\right|^{2}+\frac{r}{2} u_{i}(x)\left|\nabla s_{i}(x)\right|^{2} . \\
& u_{i}(x) \geq 0, \quad \sum_{i=1}^{4} u_{i}(x)=1 \forall x \in \Omega \tag{10}
\end{align*}
$$

## An algorithm

$$
\begin{align*}
& \left(u_{i}^{k+1}, s_{i}^{k+1}\right)=\arg \min _{\left\{u_{i} \in[0,1]\right\}_{i=1}^{4},\left\{s_{i}\right\}_{i=1}^{4}} \sum_{i=1}^{4} \int_{\Omega} w_{b}\left|\nabla u_{i}\right|+\frac{\alpha}{2} \int_{\Omega} u_{i}\left(s_{i}-s_{0}\right)^{2} \\
& \quad+\frac{\sigma}{2} \int_{\Omega}\left|\nabla s_{i}\right|^{2}+\int_{\Omega} u_{i}\left(\lambda_{i}^{k}\left|\nabla s_{i}\right|^{2}+\frac{r}{2}\left|\nabla s_{i}\right|^{2}\right) \text { s.t. } \sum_{i=1}^{4} u_{i}(x)=1 \\
& \lambda_{i}^{k+1}=\lambda_{i}^{k}+r u_{i}\left|\nabla s_{i}\right|^{2} \tag{11}
\end{align*}
$$

where $w_{b}(x)$ can be an edge detector s．a．$w_{b}(x)=\frac{1}{1+\mu\left|\nabla s_{0}(x)\right|^{2}}$ ．

## An algorithm: Subproblem I

$$
\begin{equation*}
\min _{u_{i} \in[0,1]} \int_{\Omega} w_{b}\left|\nabla u_{i}\right|+\int_{\Omega} u_{i} f_{i} \quad \text { s.t. } \sum_{i=1}^{4} u_{i}(x)=1 \tag{12}
\end{equation*}
$$

where $f_{i}=\frac{\alpha}{2}\left(s_{i}-s_{0}\right)^{2}+\left|\nabla s_{i}\right|^{2}\left(\lambda_{i}^{k}+\frac{r}{2}\right)$.

## An algorithm: Subproblem II

$$
\begin{equation*}
\min _{s_{i}} \int_{\Omega} \frac{h_{i}}{2}\left(s_{i}-s_{0}\right)^{2}+\frac{g_{i}}{2}\left|\nabla s_{i}\right|^{2} \tag{13}
\end{equation*}
$$

where $h_{i}=\alpha u_{i}$ and $g_{i}=\sigma+\left(2 \lambda_{i}^{k}+r\right) u_{i}$.

## The algorithm

Algorithm for the unsupervised image segmentation model（7）using the four color theorem（with a priori unknown number of regions）．
－Initialize the $u_{i}$（random initialization or k－mean）．While not converged
－$s_{i}^{k+1}$ computed with Algorithm for $s_{i}$
－$u_{i}^{k+1}$ computed with Algorithm for $u_{i}$
－$\lambda_{i}^{k+1}=\lambda_{i}^{k}+r u_{i}^{k+1}\left|\nabla s_{i}^{k+1}\right|^{2}$

## Numerical Experiments



Figure：（a）Original image．（b）segmentation into four phase $\left\{\Omega_{i}\right\}_{i=1}^{4}$（two distinct regions，central disk and upper right part are merged）．（c）segmentation result after segmenting each phase into four sub－phase（this produces sixteen sub－phases $\left\{\Omega_{i, j}\right\}_{i, j=1}^{4}$ ）and recoloring into four phases（correct result）．（d）piecewise constant approximation of（a）．

## Local minimizers and re-coloring

Two-level recursive algorithm for the unsupervised image segmentation model (2) using the four color theorem (with a priori unknown number of regions).

- Initialize the $u_{i}$ (random initialization or k-mean), select the scale parameter $\alpha$ which controls the number of regions. While not converged.
- Compute four phases $\left\{\Omega_{i}\right\}_{i=1}^{4}$ with Algorithm 1
- Partition each phase $\left\{\Omega_{i}\right\}_{i=1}^{4}$ into 4 sub-phases $\left\{\Omega_{i, j}\right\}_{i, j=1}^{4}$ with Algorithm 2
- Recolor the 16 sub-phases into 4 phases


## Numerical Experiments



Figure: Comparison between the standard recursive bi-partitioning method and our method: (a) Original image. (b) segmentation after 1st bi-partitioning. (c) segmentation after 2nd/final bi-partitioning (over-segmentation). (d) Our algorithm (correct segmentation).

## Numerical Experiments



Figure: Influence of the regularization parameter $\alpha$. First row is the original image. Second row is the four-color segmentation result. Third row is the piecewise constant approximation of the image. First column $\alpha=1.5 e 5 / 255^{2}$, second column $\alpha=3 e 4 / 255^{2}$, third column $\alpha=1 e 4 / 255^{2}$, fourth column $\alpha=1 e 3 / 255^{2}$.

## Numerical Experiments



Figure: First and fourth rows present the original image. Second and fifth rows show the four-color segmentation result. Third and last rows display the piecewise constant approximation of the image $s_{0}$. Each column present a different value of $\alpha$, which controls the number of final segmented regions.

## Numerical Experiments



Figure：First and fourth rows present the original image．Second and fifth rows show the four－color segmentation result．Third and last rows display the piecewise constant approximation of the image $s_{0}$ ．Each column present a different value of $\alpha$ ，which controls the number of final segmented regions．

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## Historical overview

- Vese-Chan (2002, IJCV) has proposed to use 4-color theorem for the original Mumford-Shal model.
- Hodneland-Tai-Gerdes (2009, IJCV), watersehd+levelset+4color.
- Liu-Tao (2011, PR), Tao-Tai (UCLA-CAM-09-13).

Ref: Four color theorem and convex relaxation for image segmentation with any number of regions. Inverse Problems \& Imaging 7 (3), 1099-1113.


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