

# From Hall algebras to legendrian skein algebras

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# Introduction

## Main point:

There is a fruitful interplay between

- Knot theory (and topology more generally), and
- Representation theory (e.g. quantum groups)

However, it turns out **legendrian knot theory** also appears naturally, in particular when studying **derived categories**.

Talk based on preprints [arXiv:1908.10358](https://arxiv.org/abs/1908.10358), [arXiv:1910.04182](https://arxiv.org/abs/1910.04182), and ongoing joint work with Ben Cooper.

# Outline

## (1) Local theory

- Representation theory of  $GL(n, \mathbb{F}_q)$  and  $\text{Core}(D^b(\mathbb{F}_q))$
- Braids and legendrian tangles

## (2) Global theory

- Fukaya categories of surfaces and their Hall algebras
- Legendrian skein algebras

# Representation theory of $GL(n, \mathbb{F}_q)$

“Philosophy of cusp forms”, case  $G_n := GL(n, \mathbb{F}_q)$

- (1) **Cuspidal representations** of  $GL(n, \mathbb{F}_q)$  correspond to characters

$$\mathbb{F}_{q^n}^\times \rightarrow \mathbb{C}^\times$$

not factoring through  $\mathbb{F}_{q^{n-1}}^\times$ .

- (2) From cuspidals, get everything else by **parabolic induction**:  
partition  $n = n_1 + \dots + n_k$ ,  $V_i$  representation of  $G_{n_i}$ , then  
pull-push along the span

$$G_{n_1} \times \dots \times G_{n_k} \longleftarrow \{\text{block upper-triangular matrices}\} \longrightarrow G_n$$

is representation  $V_1 \circ \dots \circ V_k$  of  $G_n$ .

# Unipotent representations

Take trivial representation  $\mathbb{C}$  of  $GL(1, \mathbb{F}_q)$  ... simplest cuspidal representation

Parabolic induction gives

$$\mathbb{C} \circ \dots \circ \mathbb{C} = \mathbb{C}^{G_n/B}$$

where

- $B \subset G_n$  subgroup of upper triangular matrices
- $G_n/B$  = complete flags in  $\mathbb{F}_q^n$
- $\mathbb{C}^{G_n/B}$  = functions  $G_n/B \rightarrow \mathbb{C}$

Taking summands & direct sums  $\longrightarrow$  **unipotent representations**

## Iwahori–Hecke algebra of type $A_{n-1}$ : Generators

Endomorphisms of representation  $\mathbb{C}^{G_n/B}$ :

$$\text{End}_{G_n}(\mathbb{C}^{G_n/B}) = \mathbb{C}^{B \backslash G_n/B}$$

Bruhat decomposition:  $B \backslash G_n/B \cong S_n$

Transposition  $(i, i+1) \in S_n \leftrightarrow$  operator  $T_i$  on  $\mathbb{C}^{G/B}$  mapping flag

$$0 = E_0 \subset E_1 \subset \dots \subset E_i \subset \dots \subset E_n = \mathbb{F}_q^n$$

to sum of  $q$  flags

$$0 = E_0 \subset E_1 \subset \dots \subset E_{i-1} \subset E'_i \subset E_{i+1} \subset \dots \subset E_n = \mathbb{F}_q^n$$

with  $E'_i \neq E_i$ .

## Iwahori–Hecke algebra of type $A_{n-1}$ : Relations

Complete set of relations among  $T_i$ :

- **Skein relation:**

$$T_i^2 = (q - 1)T_i + q, \quad 1 \leq i \leq n - 1$$

- **Braid relations:**

$$\begin{aligned} T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1}, & 1 \leq i \leq n - 2 \\ T_i T_j &= T_j T_i, & 1 \leq i, j \leq n - 1, |i - j| > 1 \end{aligned}$$

Relations polynomial in  $q \implies \exists$  **generic Iwahori–Hecke algebra** over  $\mathbb{C}[q]$

Specialization  $q = 1$  gives group algebra  $\mathbb{C}[S_n]$

# Categorical reformulation

Embedding of monoidal category of braids/skein relations:

- **Objects:** finite subsets of  $\mathbb{R}$  modulo isotopy =  $\mathbb{Z}_{\geq 0}$
- **Morphisms**  $n \rightarrow n$ :  $\mathbb{C}$ -linear combinations of braids of  $n$  strands modulo isotopy & skein relation
- **Composition:** concatenation of braids
- **Monoidal product:** stacking of braids

into category of functors

$$\text{Core} \left( \text{Vect}_{\mathbb{F}_q}^{\text{fd}} \right) \longrightarrow \text{Vect}_{\mathbb{C}}^{\text{fd}}$$

from underlying groupoid of  $\text{Vect}_{\mathbb{F}_q}^{\text{fd}}$ ,  
monoidal product = parabolic induction



## Categorical reformulation — remarks

Functor from braids/skein relations to representations of  
 $\text{Core} \left( \text{Vect}_{\mathbb{F}_q}^{\text{fd}} \right)$

- Target category is semisimple (representations of finite groups)
- Source category is  $\mathbb{C}$ -linear, but does not have sums & summands
- Closure of embedded image in target category is category of unipotent representations
- Irreducible unipotent representations indexed by partitions (c.f. irreducible representations of symmetric group)

## Extension to complexes

Replace  $\text{Vect}_{\mathbb{F}_q}^{\text{fd}}$  by its bounded derived category

$$\mathcal{D} := D^b \left( \text{Vect}_{\mathbb{F}_q}^{\text{fd}} \right)$$

and consider category of functors

$$\text{Core}(\mathcal{D}) \longrightarrow \text{Vect}_{\mathbb{C}}^{\text{fd}}$$

Monoidal product is pull-push along span of  $\infty$ -groupoids (homotopy types):

$$\text{Core}(\mathcal{D}) \times \text{Core}(\mathcal{D}) \longleftarrow \text{Core}(\text{Fun}(\bullet \rightarrow \bullet, \mathcal{D})) \longrightarrow \text{Core}(\mathcal{D})$$

$$(A, C) \quad \longleftarrow \quad A \rightarrow B \rightarrow C \rightarrow A[1] \quad \longrightarrow \quad B$$

# Complexes and legendrian tangles

For representations of  $\text{Core}(D^b(\mathbb{F}_q))$ , turns out we need *legendrian* tangles!

$\text{Vect}_{\mathbb{F}_q}^{\text{fd}}$	braids
$D^b(\mathbb{F}_q)$	graded legendrian tangles

## Local picture of legendrian curves

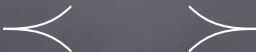
**Legendrian curve:** 1-form  $dz - ydx$  vanishes along tangent direction

Under  $xz$ -projection (front)  $y = dz/dx \implies$

- downward branch over upward branch at crossing



- slope never vertical
- front of generic legendrian curve can have left & right cusps



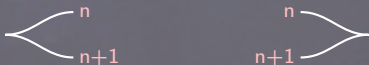
# Legendrian Reidemeister moves (front projection)



# Grading of legendrian curves

Assignment of **integer** to each strand ending at cusps

Condition at cusp: increase by 1 on lower strand



Equivalently: choice of  $\text{Arg}(dx + idy)$  along curve ( $\implies$  image in  $xy$ -plane should have total winding number 0)

Generalizes to contact 3-fold  $M$  with given rank 1 subbundle of contact bundle  $\subset TM$

# Legendrian skein relations (front projection)

A skein relation involving crossings of two strands. The left side shows two diagrams in dashed circles: the first has a crossing with strands labeled  $n$  and  $m-1$ ; the second has a crossing with strands labeled  $n$  and  $m$ . This is equal to  $\delta_{m,n} z$  times a diagram with a crossing of strands  $n$  and  $m-1$ , minus  $\delta_{m,n+1} z$  times a diagram with a crossing of strands  $n$  and  $m$ .

$$\text{Diagram 1} - \text{Diagram 2} = \delta_{m,n} z \text{Diagram 3} - \delta_{m,n+1} z \text{Diagram 4}$$

A skein relation for a loop with two crossings. The left side shows a loop with two crossings, equal to  $z^{-1}$  times an empty dashed circle.

$$\text{Diagram 5} = z^{-1} \text{Diagram 6}$$

A skein relation for a strand with a crossing. The left side shows a strand with a crossing, equal to 0.

$$\text{Diagram 7} = 0$$

$$z := q^{\frac{1}{2}} - q^{-\frac{1}{2}}, \quad \delta_{m,n} = \text{Kronecker delta}$$

## Category of graded legendrian tangles

- **Objects:** finite  $\mathbb{Z}$ -graded subsets  $X$  of  $\mathbb{R}$  up to isotopy (grading = function  $\text{deg} : X \rightarrow \mathbb{Z}$ )
- **Morphisms:**  $\text{Hom}(X, Y) =$  vector space  $/\mathbb{C}$  generated by isotopy classes of tangles  $L$  with left boundary  $\partial_0 L = Y$  and right boundary  $\partial_1 L = X$  modulo the skein relations ( $q =$  prime power).
- **Composition:** horizontal composition (concatenation) of tangles
- **Monoidal product:** vertical composition (stacking) of tangles



## Mapping graded subsets of $\mathbb{R}$ to representations

Notation:  $\mathbb{C}_G =$  trivial 1-dim representation of  $G$

Mapping a singleton:

$$\bullet^n \mapsto \mathbb{C}_{\text{Aut}(\mathbb{F}_q[-n])}$$

For larger graded  $X \subset \mathbb{R}$  determined by compatibility with  $\otimes$ :

$$X \mapsto \bigoplus_{\delta} \mathbb{C}_{\text{Aut}(H^\bullet(\mathbb{F}_q X, \delta))}$$

where sum is over **combinatorial differentials**: injective maps

$$X \supset \text{Dom}(\delta) \xrightarrow{\delta} X \setminus \text{Dom}(\delta)$$

of degree 1, decreasing with respect to order induced from  $\mathbb{R}$

# Mapping graded legendrian tangles to intertwiners



$$\mapsto q^{-\frac{1}{2}}T : \mathbb{C}^{\mathbb{P}^1(\mathbb{F}_q)} \rightarrow \mathbb{C}^{\mathbb{P}^1(\mathbb{F}_q)}$$



$$\mapsto \text{projection to } \mathbb{C}_{\text{Aut}(\mathbb{F}_q[-n] \oplus \mathbb{F}_q[-n-1])}$$



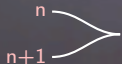
$$\mapsto \text{inclusion of } \mathbb{C}_{\text{Aut}(\mathbb{F}_q[-n] \oplus \mathbb{F}_q[-n-1])}$$



$$\mapsto \text{identity on } \mathbb{C}_{\text{Aut}(\mathbb{F}_q[-m] \oplus \mathbb{F}_q[-n])}, |m-n| > 1$$



$$\mapsto z^{-1} \cdot \text{projection to } \mathbb{C}_{\text{Aut}(0)}$$



$$\mapsto \text{inclusion of } \mathbb{C}_{\text{Aut}(0)}$$

## Main theorem of local theory

**Theorem:** The mapping defined above gives a well defined fully faithful functor from the category of graded legendrian tangles modulo skein relations to the category of representations of the underlying groupoid of  $D^b(\mathbb{F}_q)$ .

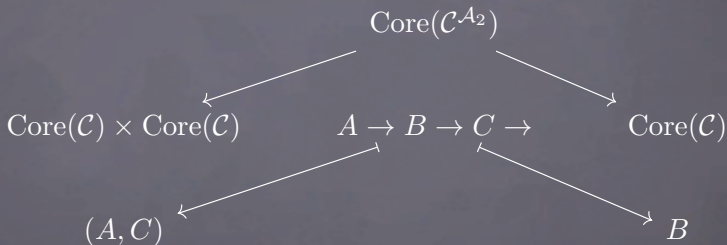
- This was proven, in a somewhat different formulation, in *Flags and Tangles* [arXiv:1910.04182].
- The functor extends the prototypical functor from braids (in degree 0) to representations of the underlying groupoid of  $\text{Vect}_{\mathbb{F}_q}^{\text{fd}}$  discussed before, the same remarks apply.

## From local to global

- Disk with two marked points on the boundary (implicitly the setting above)  $\rightsquigarrow$  surface with marked points
- Goal: Show graded legendrian skein algebra appears as subalgebra of Hall algebra of Fukaya category
- Strategy: Glue (form coend) along categories considered in local theory

# Hall correspondence

$\mathcal{C}$  — triangulated DG-category



Various versions of Hall algebra obtained by applying pull-push functors to this span of  $\infty$ -groupoids (point of view advocated by Dyckerhoff–Kapranov in *Higher Segal Spaces*)

# Homotopy cardinality

**$\pi$ -finite space**:  $\pi_i(X)$  finite for  $i \geq 0$  and vanishes for  $i \gg 0$ , has **homotopy cardinality** (Baez–Dolan):

$$|X|_h := \sum_{x \in \pi_0(X)} \prod_{i=1}^{\infty} |\pi_i(X, x)|^{(-1)^i}$$

Given map  $\phi : X \rightarrow Y$  of  $\pi$ -finite spaces get

$$\mathbb{Q}\pi_0(X)_c \begin{array}{c} \xrightarrow{\phi_!} \\ \xleftarrow{\phi_*} \end{array} \mathbb{Q}\pi_0(Y)_c$$

$$\phi^* f := f \circ \pi_0(\phi), \quad (\phi_! f)(y) := \sum_{\substack{x \in \pi_0(X) \\ \phi(x)=y}} |\mathrm{hofib}(\phi|_x)|_h f(x)$$

where  $\mathbb{Q}\pi_0(X)_c :=$  functions  $f : \pi_0(X) \rightarrow \mathbb{Q}$  with finite support

## Hall algebra of triangulated DG-category (Toen)

Apply homotopy cardinality formalism to Hall correspondence of triangulated DG-category  $\mathcal{C}$  (satisfying finiteness conditions):

$\text{Hall}(\mathcal{C}) =$  finite  $\mathbb{Q}$ -linear combinations of isomorphism classes of objects of  $\mathcal{C}$

Explicit formula for structure constants:

$$g_{A,C}^B = \frac{|\text{Ext}^0(A, B)_C| \cdot \prod_{i=1}^{\infty} |\text{Ext}^{-i}(A, B)|^{(-1)^i}}{|\text{Aut}(A)| \cdot \prod_{i=1}^{\infty} |\text{Ext}^{-i}(A, A)|^{(-1)^i}}$$

where  $\text{Ext}^0(A, B)_C :=$  morphisms  $A \rightarrow B$  with cone  $C$

# Surfaces with Liouville and grading structure

- (1)  $S$  — compact surface with boundary
- (2)  $N \subset \partial S$  — finite set of marked points
- (3)  $\theta$  — Liouville 1-form on  $S$ :
  - $d\theta$  nowhere vanishing (area form)
  - vector field  $Z$  with  $i_Z d\theta = \theta$  points outwards along  $\partial S$
- (4)  $\eta \in \Gamma(S, \mathbb{P}(TS))$  — grading structure on  $S$  (foliation)

From this data construct:

- Fukaya category  $\mathcal{F}(S, N, \theta, \eta; \mathbb{F})$  — linear  $A_\infty$ /DG-category over field  $\mathbb{F}$ , triangulated
- Contact 3-fold  $S \times \mathbb{R}$  with contact form  $dz + \theta$  and its (graded, legendrian) skein algebra



## Fukaya category of a disk

$$\mathcal{F}(\text{disk with } n + 1 \text{ marked points on boundary}) \cong \mathcal{A}_n$$

where

$$\mathcal{A}_n := D^b(\underbrace{\bullet \rightarrow \bullet \rightarrow \dots \rightarrow \bullet}_{n \text{ vertices}})$$

is the bounded derived category of representations of  $A_n$ -type quiver over  $\mathbb{F}$  (independent of orientation of arrows)

Equivalently, an object of  $\mathcal{A}_n$  can be described as filtered acyclic complex

$$0 = F_0C \subset F_1C \subset \dots \subset F_nC \subset F_{n+1}C = C \sim 0$$

and the  $i$ -th **boundary functor**  $\mathcal{A}_n \rightarrow \mathcal{A}_1$  sends this to the chain complex  $F_iC/F_{i-1}C$ ,  $1 \leq i \leq n + 1$ .

## Fukaya category of a surface — gluing

Surface glued to itself along pair of marked points on the boundary:



then  $\mathcal{F}(S')$  can be computed (or defined inductively) as homotopy equalizer of DG-categories:

$$\mathcal{F}(S') \longrightarrow \mathcal{F}(S) \rightrightarrows \mathcal{A}_1$$

where pair of parallel arrows are boundary functors corresponding to pair of marked points

## Fukaya category of a surface — example

Example:  $\mathcal{F}(S) =$  annulus with marked point on each boundary component



$\mathcal{F}(S)$  computed as coequalizer of DG-categories:

$$\mathcal{F}(S) = D^b(\bullet \rightrightarrows \bullet) \longrightarrow \mathcal{A}_2 \oplus \mathcal{A}_2 \rightrightarrows \mathcal{A}_1 \oplus \mathcal{A}_1$$

Note that  $\mathcal{F}(S) \cong D^b(\text{Coh}(\mathbb{P}^1(\mathbb{F}_q)))$  — simple example of homological mirror symmetry

## Skein algebra of $S \times \mathbb{R}$

- Generated by graded Legendrian links in  $S \times \mathbb{R}$ , allowed to have endpoints in  $N \times \mathbb{R}$
- Impose same skein relations as for tangles before, if  $N \neq \emptyset$  also have boundary versions of the skein relation
- Algebra product given by stacking links on top of each other
- For our purposes, coefficient ring is  $\mathbb{C}$  and  $q$  is a fixed prime power, but could also define with  $q^{\frac{1}{2}}$  a formal variable

## Skein algebra — gluing

- Skein algebra itself does to satisfy same gluing axiom as Fukaya category, need variant with **frozen boundary condition** at subset of  $N \subset \partial S$ : boundary of link is fixed graded subset  $X \subset \mathbb{R}$
- Varying  $X$  gives lax monoidal functor from category of graded Legendrian tangles,  $\mathcal{S}$ , to  $\text{Vect}_{\mathbb{C}}^{fd}$  (i.e.  $\mathcal{S}$ -module)
- For boundary condition at several points in  $N$ , get functor from  $\otimes$ -product of copies of  $\mathcal{S}$
- Gluing pair of boundary marked points corresponds to taking coend of bifunctor ( $\otimes$ -product of  $\mathcal{S}$ -module with itself)

## Hall algebra — gluing

- As for skein algebra, need to use variant of Hall algebra with boundary condition: framing (i.e. isomorphism with fixed object  $X$ ) of image under boundary functor
- Varying  $X$  gives lax monoidal functor from category of representations of  $\text{Core}(D^b(\mathbb{F}_q))$ , to  $\text{Vect}_{\mathbb{C}}^{fd}$  (i.e.  $\mathcal{S}$ -module)
- Gluing (equalizer) corresponds to taking coend
- Semisimplicity of category of representations makes coend very computable!

## Main theorem

- $(S, N)$  — marked Surface with Liouville form  $\theta$  and grading  $\eta$  as before
- $\mathbb{F}_q$  — finite field

**Theorem:** There is an injective homomorphism of associative algebras

$$\text{Skein}(S, N, \eta, \theta, q) \hookrightarrow \text{Hall}(\mathcal{F}(S, N, \eta, \theta, \mathbb{F}_q))$$

from the legendrian skein algebra to the Hall algebra of the Fukaya category.

The homomorphism was already constructed in *Legendrian skein algebras and Hall algebras* [arXiv:1908.10358], the injectivity part is work in progress jointly with Ben Cooper

## Open problems and further directions

- (1)  $\mathbb{Z}/n$  grading — issue with homotopy cardinality
- (2) More sophisticated variants of Hall algebra:  
motivic/cohomological
- (3)  $q = 1$  limit, categories “over  $\mathbb{F}_1$ ”?
- (4) categorification of skein algebra
- (5) higher dimensional contact manifolds (simplest case:  
 $J^1M = T^*M \times \mathbb{R}$ )

— end —