

Recall: Composition series + module of f. length.

Lemma 1.2.11: Let  $M$  be an  $R$ -module. Then each two finite chains of submodules have equivalent refinements.

Pf: Omitted [G-W, Thm 4.10 "Schröder's thm"]

Theorem 1.2.12 (Jordan-Hölder) Let  $M$  be an  $R$ -module. If  $M$  has finite length, then any two composition series are equivalent. In part. all composition series have the same length. i.e. each chain (\*) can be refined to a comp. series

Pf: [See G-W, Thm 4.11] Sketch:

∃  $M$  has 2 decomp. series  $M = M_0 \supset M_1 \supset \dots \supset M_e = 0$

$M = N_0 \supset N_1 \supset \dots \supset N_k = 0$

then by 1.2.11 ∃ equiv. refinement  $M = M'_0 \supset \dots \supset M'_m = 0$   
 $M = N'_0 \supset \dots \supset N'_m = 0$

and ∃  $\sigma \in S_m$  s.t.  $M'_{i-1}/M'_i \cong N'_{\sigma(i)-1}/N'_{\sigma(i)}$ . Then, because  $M'_{i-1}/M'_i$  is simple, the quotients  $M'_{i-1}/M'_i$  are in order, but with possible repetitions. Now argument for permutation (!) ◻

Thm 1.2.13 Let  $M$  be an  $R$ -module.

(1) TFAE: (a)  $M$  has a composition series.

(b)  $l_R(M) < \infty$ .

(c)  $M$  is noetherian and artinian.

(2) If  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  is a s.e.s., then  $l(L) + l(N) = l(M)$ .

Pf: (1) (a) ⇔ (b): Jordan-Hölder

[Sketch] (a) ⇒ (c): DCC ✓ if DCC is satisfied, then also ACC.

(2) We show special case  $L \subseteq M \Rightarrow 0 \rightarrow L \hookrightarrow M \rightarrow M/L \rightarrow 0$ .

If  $L=0$  or  $L=M$ , then  $\checkmark$ .

So assume  $0 \subsetneq L \subsetneq M$ . [G-W, 4, 12]

Choose composition series:

$$L_m = 0 \subsetneq L_{m-1} \subsetneq \dots \subsetneq L_0 = L$$

$$M_n/L = 0 \subsetneq M_{n-1}/L \subsetneq \dots \subsetneq M_n/L = M/L \quad \text{for } L \text{ and } M/L.$$

Since the chain  $L_m = 0 \subsetneq \dots \subsetneq L_0 \subsetneq M_{n-1} \subsetneq \dots \subsetneq M_0 = M$

is a composition series for  $M$ , the result follows.  $\square$    
 $M_{n-1}/L_0 \leftarrow$  part of comp. series of  $M/L_0$    
 simple

We end this section with some important facts from module theory that we will not prove:

Rmk 1.2.14

(1) A ring  $R$  is said to be local if the set of non-invertible elements is an ideal.

Ex:  $R = k$  a field, or  $R = k[x]$ ,  $k[x]_{(x)}$  commutative, then

$m = \{ \text{non-invertible elements} \} \stackrel{= \langle x \rangle}{\rightarrow}$  is unique max. ideal

(2) If  $M$  is an indecomposable module of finite length, then  $\text{End}_R M$  is local and the non-invertible elements are nilpotent.

Ex:  $R = M_2(k)$ , then  $\begin{pmatrix} k & 0 \\ 0 & 0 \end{pmatrix}$  is simple  $(R, \mathcal{J} \cdot R) = S_1$    
 $\text{End}_R(S_1) = \{ \varphi: \begin{pmatrix} k & 0 \\ 0 & 0 \end{pmatrix} \cdot A \rightarrow \begin{pmatrix} k & 0 \\ 0 & 0 \end{pmatrix} \}$    
 $\leftarrow$  jacobson rad  $\rightarrow$  see later

$A$  is of the form  $\begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$  (otherwise  $\text{im } A \not\subseteq S_1$ )   
 $(A \text{ inv} \Leftrightarrow ad \neq 0) \Rightarrow (A \text{ not inv.} \Leftrightarrow A = \begin{pmatrix} 0 & b \\ 0 & 0 \end{pmatrix})$

Thm 1.2.15

Let  $M$  be a noetherian module.

(1) There are indec. submodules  $M_1, \dots, M_n$  of  $M$  s.t.  $M = M_1 \oplus \dots \oplus M_n$ .

(2) (Thm of Krull-Remak-Schmidt-Azumaya aka "Krull-Schmidt")

If  $M$  is artinian (i.e.  $M$  is of finite length), then the decomp. (1) of (1) is unique up to reordering the summands:

If  $M = N_1 \oplus \dots \oplus N_s$ , then  $r=s$  and  $\exists \sigma \in S_n$  s.t.  $M_i \cong N_{\sigma(i)}$   
 $\forall i \in \{1, \dots, r\}$ .

(2) can be stated for objects  $M$  in an additive cat.  $\mathcal{A}$

Prop 1.2.16 (2) is important in comm. algebra (CM-represent. theory), in part. Krull-Schmidt property for noetherian modules  $M$  holds if base ring  $R$  is Henselian. (f.g.)

KRS does not hold for  $R = k[x, y]$ . Ex:  $\mathfrak{m} = \langle x, y \rangle$ ,  $\mathfrak{n} = \langle x-1, y \rangle$   
 can show:  $\mathfrak{m} \oplus \mathfrak{n} \cong R \oplus (\mathfrak{m} \cap \mathfrak{n})$   
 but neither  $\mathfrak{m}, \mathfrak{n}$  is  $\cong R$ !

See Book [Leuschke-Wiegand, Chapter 1] for more on KRS.

### 1.3. Simple, semisimple, and artinian rings

Def 1.3.1 A ring  $R$  is called

- simple if  $R \neq 0$  and  $0$  and  $R$  are the only two-sided ideals.
- semi-simple if  $R$  is a semi-simple left-module.

Ex: •  $R = \mathbb{D}$  division ring is simple

•  $R = \mathbb{Z}/n\mathbb{Z}$  is simple  $\Leftrightarrow n$  is prime  
 is s.s.  $\Leftrightarrow n$  is squarefree

•  $R = \mathbb{C}\langle x, \partial_x \rangle / \langle \partial_x x - x \partial_x - 1 \rangle$  WEYL-ALGEBRA is simple

With relation,  
 idea: any diff. op is of form  $\sum_{i=0}^{n-1} p_i(x) \partial_x^i + p_n(x) \partial_x^n$ .  
 Induct: If  $\sum_{i=0}^n p_i(x) \partial_x^i \in I$ , then  $1 \in I$ .  
 If  $\partial_x \in I$ , then  $1 \in I$ .

### Prop 1.3.2

(1) A simple ring does not need to be a simple left  $R$ -module and it doesn't need to be semisimple.

Ex: Weyl Algebra  $A$ , has left ideals: e.g.  $\langle \partial_x \rangle$   
 $A \cdot \partial_x$

(2) Let  $R_1$  and  $R_2$  be semi-simple rings. Then their product  $R_1 \times R_2$  is a semi-simple ring.  $\Rightarrow$  Finite direct products of semi-simple rings are semi-simple. (4)

Pf (of (2)) As  $(R_1 \times R_2)$ -module, we have:

$$(R_1 \times R_2) = (R_1 \times \{0\}) \times (\{0\} \times R_2).$$

The  $(R_1 \times R_2)$ -submodules of  $(R_1 \times \{0\})$  are precisely of the form  $M \times \{0\}$ , where  $M$  is an  $R_1$ -submodule of  $R_1$ .  $\square$

$$ab = 0 \Rightarrow a = 0 \text{ or } b = 0$$

(3) Let  $R$  be a domain, but not a division ring. Then  $R$  has no simple submodules, in part,  $R$  is not semi-simple.

Pf (of (3)): Choose a  $0 \neq I \neq R$  submod. (= <sup>left</sup> ideal of  $R$ ). Let  $a \in I$ .

Then  $0 \neq Ra \subseteq I$ . If  $Ra \neq I$ , then  $I$  is not simple and we are done.

If  $Ra = I$ , then consider  $Ra^2 = (Ra)a \subseteq I$ . If  $Ra^2 \neq Ra$ , then there would be a  $b \in R$  s.t.  $a = ba^2 \Rightarrow (ba - 1)a = 0$ . Since  $R$  is a domain and  $a \neq 0$ , we must have  $ba = 1$ . Thus  $\forall c \in R: c = cba \in Ra \Rightarrow Ra = R$   $\nrightarrow$  to  $Ra = I \neq R$ .

(4) The  $R$ -submodules of  $R$  are precisely the left ideals of  $R$  (by def.). Thus, by Thm 1.2.4,  $R$  is s.-s.  $\Leftrightarrow$  every left ideal is a direct summand of  $R$ .

Thm 1.3.3 TFAE:

(a) Every  $R$ -module is semi-simple.

(b)  $R$  is a semi-simple ring.

(c)  $R$  is a direct sum of finitely many minimal left ideals.

In part, if  $R$  is semi-simple, then  $R$  is left artinian.

Pf: (a)  $\Rightarrow$  (b): Clear (def!)

(b)  $\Rightarrow$  (c) Since simple submodules are precisely the min. left ideals of  $R$ , we write  $R = \bigoplus_{i \in I} L_i$  min. left ids def of  $\oplus$ : any alt. is finite sum! (5)

We have to show that this sum is finite: There is a finite  $J \subset I$  such that  $1 = \sum_{j \in J} x_j$  for  $x_j \neq 0 \in L_j$ . Then  $\forall a \in R: a = a \cdot 1 = \sum_{j \in J} a x_j \in \sum_{j \in J} L_j$

$\Rightarrow R \subset \sum_{j \in J} L_j \Rightarrow R = \bigoplus_{j \in J} L_j$ .

(c)  $\Rightarrow$  (b): Clear.

(b)  $\Rightarrow$  (a): Every free  $R$ -module is s.s. (since it is a  $\bigoplus$  of simples of  $R$ ). Since any module  $M$  can be written as a factor module of a free module, it is s.s. (Cor 1.2.5).

For the best statement, if  $R$  is s.s., then  $R = \bigoplus_{i=1}^t L_i$ . So  $R$  has a composition series  $0 \subset L_1 \subset L_1 \oplus L_2 \subset \dots \subset L_1 \oplus \dots \oplus L_t$ , so it is of finite length and hence left-artinian.  $\square$

Cor. 1.3.4. Let  $R$  be semi-simple. Then there are only finitely many isomorphism classes of simple modules.

Pf: By the Thm, we can write  $R = \bigoplus_{i=1}^n L_i$ , where  $L_i$  are minimal left ideals of  $R$ . Let  $N$  be a simple  $R$ -module. Choose  $x \neq 0 \in N$  and consider the epimorphism  $\rightarrow$  because Rmk 1.2.2 (1):  $N = Rx$

$g: R \xrightarrow{x} N: r \mapsto r \cdot x$

$\Rightarrow \exists i \in \{1, \dots, n\}$  s.t.  $g(L_i) \neq 0$ . Then  $g|_{L_i}: L_i \rightarrow N$  is a  $\neq 0$  homomorphism  $\Rightarrow L_i \cong N$ .  $\Rightarrow N \in \{L_1, \dots, L_n\}$ .  $\square$   
Schur's Lemma the  $L_i$  are the isom. classes

Ex. 1.3.5 Let  $D$  be a division ring and  $n \geq 1$ . Then  $M_n(D)$  is simple and semi-simple with centre  $Z(M_n(D)) = Z(D) \cdot \mathbb{1}_n$   
 $\begin{bmatrix} \lambda & & \\ & \lambda & \\ & & \lambda \end{bmatrix}, \lambda \in D$ .

Pf: [Sketch] 3 Steps:

(1) Let  $0 \neq I \subseteq M_n(D)$  be a two sided ideal. We show  $I = M_n(D)$ .

Let  $E_{ij}$  be the matrix:  $\begin{bmatrix} 0 & \dots & 1 & \dots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \dots & 0 & \dots & 0 \end{bmatrix}$

Since  $I \neq 0$ ,  $\exists A = (a_{ij})_{i,j \in \{1, \dots, n\}}$  with  $A \in I$  and some indices  $k, l$ , s.t.  $a_{ke} \neq 0$ . Now for all  $i \in \{1, \dots, n\}$ , set

$B_i = E_{ik} A E_{ei}$

$\begin{bmatrix} 0 & \dots & a_{ke} & \dots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \dots & 0 & \dots & 0 \end{bmatrix}$   
i-th column  
 $\begin{bmatrix} 0 & \dots & 1 & \dots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \dots & 0 & \dots & 0 \end{bmatrix}$

$\begin{bmatrix} 0 & 1 \\ c & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & a \\ 0 & c \end{bmatrix}$

$\begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} E_{ij} = \begin{cases} 1: \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \\ 2: \begin{bmatrix} 0 & a_{21} & a_{22} \\ 0 & 0 & 0 \\ 0 & a_{31} & a_{32} \end{bmatrix} \\ 3: \begin{bmatrix} 0 & 0 & a_{33} \\ 0 & a_{21} & 0 \\ 0 & a_{31} & 0 \end{bmatrix} \end{cases}$

$\implies B_{ii} = a_{ke}$  and all other entries 0, i.e.  $B_i = a_{ke} E_{ii}$ .

Since  $B_i \in I \forall i$  (I two sided ideal!), we must also have

$B = B_1 + \dots + B_n \in I$ .

But  $B = a_{ke} \cdot 1_n$  is invertible ( $B^{-1} = a_{ke}^{-1} 1_n$ )  $\implies I = M_n(D)$ .

(2) Check that  $L_i = \begin{bmatrix} 0 & \dots & * & \dots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \dots & 0 & \dots & 0 \end{bmatrix}$  are all minimal left ideals of  $M_n(D)$ . [ $L_i = M_n(D)e_i$ ]  
i-th column

$\implies M_n(D) = L_1 \oplus \dots \oplus L_n$ . Thus  $M_n(D)$  is s.-s. by Thm 1.3.3.

(3) Claim about centre (!) Take elts in centre:  $\lambda \in C(M_n(D))$   
 $\implies \underbrace{A E_i}_{i\text{-th col}} = \underbrace{E_i A}_{i\text{-th row}} \implies A = \begin{bmatrix} \lambda_1 & & 0 \\ 0 & \dots & 0 \\ 0 & & \lambda_n \end{bmatrix}$   
•  $\underbrace{A E_{12}}_{\begin{bmatrix} 0 & \lambda_1 & 0 \\ \vdots & \vdots & \vdots \end{bmatrix}} = \underbrace{E_{12} A}_{\begin{bmatrix} 0 & \lambda_2 & 0 \\ \vdots & \vdots & \vdots \end{bmatrix}} \implies \lambda_1 = \dots = \lambda_n = \lambda$   
• Now:  $A \cdot \begin{bmatrix} \mu & 0 \\ 0 & \mu \end{bmatrix} = \begin{bmatrix} \mu & 0 \\ 0 & \mu \end{bmatrix} A \quad \forall \mu \in D$   
 $\begin{bmatrix} \lambda \mu & 0 \\ 0 & \lambda \mu \end{bmatrix} = \begin{bmatrix} \mu \lambda & 0 \\ 0 & \mu \lambda \end{bmatrix} \implies \lambda \in Z(D)$   $\square$

Thm 1.3.6 The ring  $R$  is semi-simple  $\Leftrightarrow$  every left ideal  $L$  (7) is of the form  $L = Re$  for some idempotent  $e \in R$ .  
 ( $e$  idempotent:  $e^2 = e$ ) always:  $0, 1$  idempotent

Pf:  $\Rightarrow$ : Let  $R$  be semi-simple and let  $L \subset R$  be a left ideal.

Then (Thm 1.2.4(c))  $\exists L'$  left ideal with  $R = L \oplus L'$ .

Thus  $1 = x + y$  with  $x \in L$  and  $y \in L'$ . Then

$$x = x \cdot 1 = x(x + y) = x^2 + xy \Rightarrow xy = \overset{L}{x} - \overset{L}{x^2} \in L$$

Since  $L'$  is a left ideal and  $y \in L'$ , we have  $xy \in L'$ .

$\overset{L \cap L' = 0}{\Rightarrow} xy = 0$ , and thus  $x = x^2 + 0$  is idempotent.

We have  $Rx \subset L$  and  $\forall a \in L$  we compute

$$a = a \cdot 1 = ax + ay \rightsquigarrow \underbrace{a - ax}_{\in L} = \underbrace{ay}_{\in L'} \in L \cap L' = 0$$

So:  $a = ax$ , i.e.  $L \subset Rx$ .  $\checkmark$

$\Leftarrow$ : Suppose, every left ideal is of the form  $L = Re$ , with  $e = e^2 \in R$ .

We show that every left ideal is  $\oplus$  of  $R \xrightarrow{\text{Thm 1.2.4(e)}} R$  s.s.

Set  $L' := R(1-e)$ .

Claim:  $R = Re \oplus R(1-e)$ .

$\supseteq$ : clear

$\subseteq$ : Note that  $L'$  is left ideal of  $R$  and  $(1-e)^2 = 1 - e - e + e^2 = (1-e)(1-e) = 1 - 2e + e = 1 - e$

$\forall x \in R$ :  $x = xe + x(1-e)$  (clear!)  $\Rightarrow R = Re + R(1-e)$ .

Assume that  $x \in Re \cap R(1-e)$ : then  $\exists s, r \in R$ , s.t.

$$x = re = s(1-e).$$

$$\Rightarrow xe = (re) \cdot e = re^2 = re = x \quad \text{and} \quad xe = s(1-e)e = s(\underbrace{e - e^2}_0) = 0$$

$$\Rightarrow x = 0 \quad \text{and} \quad Re \cap R(1-e) = 0.$$

Hence  $R = Re \oplus R(1-e)$ .  $\square$

Thm 1.3.7 (1) Let  $R = L_1 \oplus \dots \oplus L_n$  be a direct sum of minimal

left ideals Then  $\exists e_1, \dots, e_s \in R$  s.t.:

(a)  $e_1, \dots, e_s$  are nonzero idempotents,

(b) For any  $i, j \in \{1, \dots, s\}$ ,  $i \neq j$ , we have  $e_i e_j = 0$ .

(c)  $1 = e_1 + \dots + e_s$

(d) Any  $e_i$ ,  $i \in \{1, \dots, s\}$ , cannot be written as  $e_i = e_i' + e_i''$  with  $e_i', e_i''$  nonzero and  $e_i' e_i'' = 0$ .

(2) Let  $R$  be s.-o. and let  $e_1, \dots, e_s$  be elements satisfying (1)(a)-(d).

Then the left ideals  $Re_1, \dots, Re_s$  are minimal and  $R = \bigoplus_{i=1}^s Re_i$ .

Pf: (1) For  $i \in \{1, \dots, s\}$ , let  $e_i \in L_i$  s.t.  $1 = e_1 + \dots + e_s$ . With the same arguments as in Pf of Thm 1.3.6, we can show that  $L_i = Re_i$  and  $e_i$  idempotent  $\forall i \in \{1, \dots, s\}$ .

Then  $e_1 = e_1(e_1 + \dots + e_s) = e_1^2 + \sum_{i=2}^s e_1 e_i \Rightarrow 0 = \sum_{i=2}^s e_1 e_i \in \bigoplus_{i=2}^s L_i$ .  
 $\Rightarrow e_1 e_i = 0$  (if  $\bigoplus = 0 \Rightarrow$  all factors = 0).

Similarly, show that  $e_j e_i = 0 \forall i, j \in \{1, \dots, s\}$  distinct.

For (d), assume on the contrary,  $\exists i$  s.t.  $e_i = e_i' + e_i''$  and  $e_i' e_i'' = 0$ .

But then  $L_i = Re_i' \oplus Re_i'' \not\rightarrow$  to minimality of  $L_i$ .

(2)  $\forall i \in \{1, \dots, s\}$ , set  $L_i = Re_i$ . We have to show that  $L_i$  is minimal.

On the contrary, that  $L_i$  is not minimal: Then  $\exists J_i \subsetneq L_i$ . Since  $R$  is semi-simple,  $L_i$  is semi-simple (Thm 1.2.4).

$\Rightarrow \exists J_i'$  s.t.  $L_i = J_i \oplus J_i'$ . Now set  $e_i = e_i' + e_i''$ .

From (1)(c) we get  $R = Re_1 + \dots + Re_s$ , so just remains to show  $\bigoplus$ .

Let  $j \in \{1, \dots, s\}$  and  $x_j \in L_j \cap (\sum_{i \neq j} L_i)$ . Then  $x_j = \kappa_j e_j = \sum_{i \neq j} \kappa_i e_i$ .

$\Rightarrow x_j \cdot e_j = \kappa_j e_j \cdot e_j = \sum_{i \neq j} \kappa_i e_i \cdot e_j = 0$   
 $\underbrace{x_j \cdot e_j}_{= \kappa_j e_j = x_j} \left. \vphantom{x_j \cdot e_j} \right\} x_j = 0, \text{ hence } \bigoplus. \square$

Def A family  $(e_1, \dots, e_s)$  satisfying properties (a)-(c) is called a complete system of orthogonal idempotents. If, in addition,

(d) holds, then it is a primitive system of idempotents.

⑨