

# Exercises on the affine Grassmannian

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## Lecture 1

1. Let  $R$  be a commutative ring.

- (a) Show that  $f \in R[[t]]$  is invertible if and only if its constant term is invertible in  $R$ .
- (b) Let  $f \in R((t))$ , say  $f = a_k t^k + a_{k+1} t^{k+1} + \dots$ . Show that  $f$  is invertible if and only if there is an integer  $m$  such that  $a_k, a_{k+1}, \dots, a_m$  are nilpotent, and  $a_{m+1}$  is invertible. In particular, if  $R$  is a field, then  $R((t))$  is a field.
- (c) Show that as an ind-variety,  $\mathrm{Gr}_{\mathbb{G}_m} \cong \mathbb{Z}$  (i.e., a discrete countable set). On the other hand, use the previous part to show that  $\mathrm{Gr}_{\mathbb{G}_m}$  is *not* a reduced ind-scheme (i.e., not a direct limit of reduced schemes).

2. Prove that every lattice in  $\mathbf{K}^n$  is in the  $\mathrm{GL}_n(\mathbf{O})$ -orbit of a lattice with basis of the form

$$\{t^{a_1} \mathbf{e}_1, t^{a_2} \mathbf{e}_2, \dots, t^{a_n} \mathbf{e}_n\}$$

with  $a_1 \geq a_2 \geq \dots \geq a_n$ . Moreover, the  $n$ -tuple  $(a_1, a_2, \dots, a_n)$  is uniquely determined. We therefore obtain a bijection

$$\{\mathrm{GL}_n(\mathbf{O})\text{-orbits on } \mathrm{Gr}_{\mathrm{GL}_n}\} \xrightarrow{\sim} \{(a_1, a_2, \dots, a_n) \in \mathbb{Z}^n \mid a_1 \geq a_2 \geq \dots \geq a_n\}.$$

- 3. In the lecture, I discussed two definitions of  $\mathrm{Gr}$  as an ind-scheme, one that was specific to  $\mathrm{GL}_n$ , and one that was valid for any algebraic group. Show that they coincide for  $\mathrm{GL}_n$ .
- 4. (a) Let  $0 \leq k < n$ , and consider the following dominant coweight for  $\mathrm{GL}_n$ :

$$\varpi_k = (\underbrace{1, \dots, 1}_k, \underbrace{0, \dots, 0}_{n-k}).$$

(These are called *minuscule* weights.) Show that  $\mathrm{Gr}_{\varpi_k}$  is a closed  $\mathrm{GL}_n(\mathbf{O})$ -orbit in  $\mathrm{Gr}$ , and that it is isomorphic to the (ordinary) Grassmannian of  $k$ -dimensional subspaces of  $\mathbb{C}^n$ .

- (b) Let  $\lambda = (a_1, \dots, a_n)$  be a dominant coweight for  $\mathrm{GL}_n$  (so that  $a_1 \geq \dots \geq a_n$ .) Let  $m = a_1 - a_n$ . Show that

$$\overline{\mathrm{Gr}_\lambda} = \left\{ \mathcal{L} \in \mathrm{Gr} \mid \begin{array}{l} \text{there is a sequence of lattices } t^{a_1} \mathcal{L}^\circ = \mathcal{L}_0 \subset \mathcal{L}_1 \subset \dots \subset \mathcal{L}_m = \mathcal{L} \\ \text{such that } t \mathcal{L}_i \subset \mathcal{L}_{i-1} \text{ and } \dim_{\mathbb{C}} \mathcal{L}_i / \mathcal{L}_{i-1} = j, \text{ where } a_j > a_1 - i \geq a_{j+1} \end{array} \right\}$$

Moreover,  $\mathrm{Gr}_\lambda$  is the open subset of  $\overline{\mathrm{Gr}_\lambda}$  in which  $\mathcal{L}_i = t^{-1} \mathcal{L}_{i-1} \cap \mathcal{L}$ .

If this is too difficult, start with this warm-up problem: Assuming that the description above is correct, show that every lattice in  $\overline{\mathrm{Gr}_\lambda}$  has valuation  $a_1 + \dots + a_n$ . Then do Problem 8a in the special case of minuscule coweights, then Problem 8c, then come back to this problem.

- 5. (Lusztig 1981) Consider the weight  $\lambda = (n, 0, \dots, 0)$  for  $\mathrm{GL}_n$ . Let  $\mathcal{M}$  be the open subset of  $\overline{\mathrm{Gr}_\lambda}$  consisting of lattices  $\mathcal{L}$  such that  $\mathcal{L} \cap (t^{-1} \mathbb{C}[t^{-1}])^n = 0$ . (In other words,  $\mathcal{L}$  contains no vector whose coordinates involve only strictly negative powers of  $t$ .) Show that  $\mathcal{M}$  is isomorphic to the affine variety  $\mathcal{N}$  of  $n \times n$  nilpotent matrices.

(*Hint:* Let  $\mathcal{L} \in \mathcal{M}$  and let  $v \in \mathcal{L}$ . Write  $v$  as  $\sum_{j > -n} v_j t^j$ , where  $v_j \in \mathbb{C}^n$ . The assumption that  $\mathcal{L} \cap (t^{-1} \mathbb{C}[t^{-1}])^n = 0$  implies that  $v_{-n+1}, v_{-n+2}, \dots, v_{-1}$  are determined by  $v_0$ . In fact, there is a linear map  $x : \mathbb{C}^n \rightarrow \mathbb{C}^n$  such that  $v_{-k} = x^k v_0$ , and  $x^n = 0$ . The assignment  $\mathcal{L} \mapsto x$  gives the desired map  $\mathcal{M} \rightarrow \mathcal{N}$ .)

- 6. Two lattices  $\mathcal{L}$  and  $\mathcal{L}'$  in  $\mathbf{K}^n$  are said to be *homothetic* if there is a nonzero scalar  $s \in \mathbf{K}^\times$  such that  $\mathcal{L} = s \mathcal{L}'$ . Show that  $\mathrm{Gr}_{\mathrm{PGL}_n}$  can be identified with the set of homothety classes of lattices.

7. Let  $\{\mathbf{e}_1, \dots, \mathbf{e}_n, \mathbf{f}_1, \dots, \mathbf{f}_n\}$  be the standard basis for  $\mathbf{K}^{2n}$ . Equip  $\mathbf{K}^{2n}$  with the bilinear form:

$$\langle \mathbf{e}_i, \mathbf{e}_j \rangle = \langle \mathbf{f}_i, \mathbf{f}_j \rangle = 0 \text{ for all } i, j, \quad \langle \mathbf{e}_i, \mathbf{f}_j \rangle = -\langle \mathbf{f}_j, \mathbf{e}_i \rangle = \delta_{ij}.$$

- (a) A *symplectic lattice* is a lattice  $\mathcal{L} \subset \mathbf{K}^{2n}$  such that  $\langle \cdot, \cdot \rangle$  restricts to a perfect  $\mathbf{O}$ -valued pairing on  $\mathcal{L}$ . Show that  $\text{Gr}_{\text{Sp}_{2n}}$  can be identified with the set of symplectic lattices.
- (b) Give a lattice-theoretic description of  $\text{Gr}_{\text{PSp}_{2n}}$ . (This affine Grassmannian has two connected components, one of which can be identified with  $\text{Gr}_{\text{Sp}_{2n}}$ . What does the other component consist of?)
- (c) Recall that  $\text{Sp}_2 = \text{SL}_2$ . How is this related to the description of  $\text{Gr}_{\text{SL}_2}$  from the lecture?
- (d) Give analogous descriptions of the affine Grassmannians of  $\text{SO}_{2n+1}$  and  $\text{SO}_{2n}$ .

## Lecture 2

8. The following questions deal with the convolution space for  $\text{GL}_n$ . It might be a good idea to start with the special case where the coweights are minuscule.

- (a) Let  $\lambda = (a_1, \dots, a_n)$  and  $\mu = (b_1, \dots, b_n)$  be two dominant coweights. Let  $m = b_1 - b_n$ . Show that  $\overline{\text{Gr}_\lambda} \times \widetilde{\text{Gr}_\mu} \subset \text{Gr} \times \text{Gr}$  can be identified with the set

$$\left\{ (\mathcal{L}, \mathcal{L}') \mid \begin{array}{l} \mathcal{L} \in \overline{\text{Gr}_\lambda}, \text{ and} \\ \text{there is a sequence of lattices } t^{b_1} \mathcal{L} = \mathcal{L}'_0 \subset \mathcal{L}'_1 \subset \dots \subset \mathcal{L}'_m = \mathcal{L}' \\ \text{such that } t \mathcal{L}'_i \subset \mathcal{L}'_{i-1} \text{ and } \dim_{\mathbf{C}} \mathcal{L}'_i / \mathcal{L}'_{i-1} = j, \text{ where } b_j > b_1 - i \geq b_{j+1}. \end{array} \right\}$$

Moreover, show that the image of  $m : \overline{\text{Gr}_\lambda} \times \widetilde{\text{Gr}_\mu} \rightarrow \text{Gr}$  is  $\overline{\text{Gr}_{\lambda+\mu}}$ .

- (b) Let  $\lambda^{(1)}, \dots, \lambda^{(k)}$  be a sequence of dominant coweights. Generalize the previous part to give a description of  $\overline{\text{Gr}_{\lambda^{(1)}}} \times \dots \times \widetilde{\text{Gr}_{\lambda^{(k)}}}$ .

In fact, upon further reflection, I think you should start with the following problem:

- (c) Let  $\lambda = (a_1, \dots, a_n)$ , and let  $m = a_1 - a_n$ . Define integers  $k_{m-1}, k_{m-2}, \dots, k_1, k_0$  by the condition that  $a_{k_i} \geq a_1 - i > a_{k_i+1}$ . Show that

$$m : \text{Gr}_{(a_n, \dots, a_n)} \times \widetilde{\text{Gr}_{\varpi_{k_{m-1}}}} \times \dots \times \widetilde{\text{Gr}_{\varpi_{k_0}}} \rightarrow \overline{\text{Gr}_\lambda}$$

is a resolution of singularities.

9. Determine the fibers of the following convolution morphisms for  $\text{GL}_2$ :

- (a)  $m : \text{Gr}_{(1,0)} \times \widetilde{\text{Gr}_{(1,0)}} \rightarrow \overline{\text{Gr}_{(2,0)}}$ . (*Answer:* For  $x \in \text{Gr}_{(2,0)}$ , the fiber is a point. For  $x \in \text{Gr}_{(1,1)}$ , the fiber is isomorphic to  $\mathbb{P}^1$ .)
- (b)  $m : \text{Gr}_{(1,0)} \times \widetilde{\text{Gr}_{(1,0)}} \times \widetilde{\text{Gr}_{(1,0)}} \rightarrow \overline{\text{Gr}_{(3,0)}}$ . (*Answer:* For  $x \in \text{Gr}_{(3,0)}$ , the fiber is a point. For  $x \in \text{Gr}_{(2,1)}$ , the fiber looks like two copies of  $\mathbb{P}^1$  meeting at a point.)
- (c) Carry out the same computation for some other weights of your own choosing. If you are feeling adventurous, go up to  $\text{GL}_3$ .

10. Let  $\Phi^+$  be the set of positive roots, and let  $\rho = \frac{1}{2} \sum_{\alpha \in \Phi^+} \alpha$ . The *q-analogue of the Kostant partition function* is the family of polynomials  $P_\nu(q)$  (where  $\nu \in \mathbf{X}_*$  and  $q$  is an indeterminate) given by the generating function

$$\prod_{\alpha \in \Phi^+} \frac{1}{1 - qe^\alpha} = \sum_{\nu \in \mathbf{X}_*} P_\nu(q) e^\nu.$$

For  $\lambda \in \mathbf{X}_*^+$  and any  $\mu \in \mathbf{X}_*$ , the *q-analogue of the weight multiplicity* is the polynomial  $M_\lambda^\mu(q)$  given by

$$M_\lambda^\mu(q) = \sum_{w \in W} (-1)^{\ell(w)} P_{w(\lambda+\rho) - (\mu+\rho)}(q).$$

Recall from the lecture that Lusztig proved that when  $\lambda$  and  $\mu$  are both dominant, we have

$$M_\lambda^\mu(q) = \sum_{i \geq 0} \text{rank } \mathcal{H}^{-\dim \text{Gr}_\mu^{-i}}(\text{IC}_\lambda|_{\text{Gr}_\mu}) q^{i/2}.$$

Compute  $P_\nu(q)$  and  $M_\lambda^\mu(q)$  in general for  $SL_2$ . Check that  $M_\lambda^\mu(1)$  is always the dimension of the  $\mu$ -weight space of  $L(\lambda)$  (even if  $\mu$  is not dominant!). Check that when  $\mu$  is dominant,  $M_\lambda^\mu(q)$  has nonnegative coefficients.

Here are the answers: identifying  $\mathbf{X}_*$  with  $\mathbb{Z}$ , we have

$$P_\nu(q) = \begin{cases} q^{\nu/2} & \text{if } \nu \in 2\mathbb{Z}_{\geq 0}, \\ 0 & \text{otherwise,} \end{cases}$$

and

$$M_\lambda^\mu(q) = \begin{cases} 0 & \text{if } \mu > \lambda \text{ or } \lambda \not\equiv \mu \pmod{2}, \\ q^{(\lambda-\mu)/2} & \text{if } -\lambda \leq \mu \leq \lambda \text{ and } \lambda \equiv \mu \pmod{2}, \\ q^{(\lambda-\mu)/2} - q^{(-\lambda-\mu-2)/2} & \text{if } \mu \leq -\lambda - 2 \text{ and } \lambda \equiv \mu \pmod{2}. \end{cases}$$

11. (This question requires some familiarity with calculating with perverse sheaves.) Use Problem 9 to compute  $\text{IC}_{(1,0)} \star \text{IC}_{(1,0)}$  and  $\text{IC}_{(1,0)} \star \text{IC}_{(1,0)} \star \text{IC}_{(1,0)}$ . Use these calculations to determine the stalks of  $\text{IC}_{(2,0)}$  and  $\text{IC}_{(3,0)}$ . Check that these agree with the  $q$ -analogue of the weight multiplicity that you computed in the previous question.

### Lecture 3

12. In the affine Grassmannian of  $GL_3$ , determine the space  $S_{(0,0,0)} \cap \overline{\text{Gr}_{(1,0,-1)}}$ . This variety should have two irreducible components, each of dimension 2. The two components provide a basis for the zero weight space of the adjoint representation of  $GL_3$ .

(*Hint:* One could equivalently work in  $S_{(1,1,1)} \cap \overline{\text{Gr}_{(2,1,0)}}$ . For the latter, it might be helpful to start by looking at the open subset  $\mathcal{M} \subset \overline{\text{Gr}_{(3,0,0)}}$  from Problem 5. Then this MV cycle calculation turns into a problem about  $3 \times 3$  nilpotent matrices.)

13. Let  $\check{B}$  be the Borel subgroup of  $\check{G}$  corresponding to the *negative* roots, and let  $\check{\mathfrak{u}}$  be the Lie algebra of its unipotent radical. It can be deduced from results of Brylinski that for  $\lambda \in \mathbf{X}_*^+$  and  $\mu \in \mathbf{X}_*$ , we have

$$M_\lambda^\mu(q) = \sum_{n \geq 0} \left( \sum_{i \geq 0} (-1)^i \dim \text{Ext}_{\check{B}}^i(L(\lambda), \text{Sym}^n(\check{\mathfrak{u}}^*) \otimes \mathbb{C}_\mu) \right) q^n.$$

Prove this directly for  $\check{G} = SL_2$ . (*Hint:* For this group, nonzero Ext-groups can occur only for  $i = 0, 1$ . If  $\mu$  is dominant, then only  $i = 0$  can occur.)

14. Determine the bijection between  $\mathbf{X}_*$  and the set of irreducibles in  $\text{Rep}_0(\check{G})$  explicitly for  $G = SL_2$ .
15. The first part of this question requires some familiarity with perverse sheaves. However, you can treat the first part as a “black box” and then work out the second part.

- (a) Let  $G = PGL_2$ , and let  $\lambda, \mu \in \mathbf{X}_*$ . Assume that  $I \cdot \mathfrak{t}_\mu \subset \overline{I \cdot \mathfrak{t}_\lambda}$ . Prove that  $\text{IC}(\overline{I \cdot \mathfrak{t}_\lambda})|_{I \cdot \mu}$  is isomorphic to the shifted constant sheaf  $\mathbb{C}[\dim I \cdot \mathfrak{t}_\lambda]$ . (*Hint:* First treat the case where  $\lambda$  is dominant, using the calculation of  $M_\lambda^\mu(q)$  from Problem 10. Then, if  $\lambda$  is not dominant, show that  $\overline{I \cdot \mathfrak{t}_\lambda}$  is isomorphic to the closure of a dominant  $I$ -orbit on the other connected component of  $\text{Gr}_{PGL_2}$ .)
- (b) Use the result of the previous part to compute the characters of simple modules in the principal block of the quantum group  $U_q(\mathfrak{sl}_2)$  specialized at a root of unity.