# Higher Order Multiphase Image Segmentation and Registration

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### in cooperation with Stefan Fürtinger and Renier Mendoza

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Noisy and TGV $_{\alpha}^{2}$ -reconstructed images: [Bredies, Kunisch, Pock]



Note: For example,  $TGV_{\alpha}^2$  reformulated with duality as

$$\operatorname{TGV}_{\alpha}^{2}(I) = \min_{\boldsymbol{G}} \int_{\Omega} \left\{ \alpha_{1} | DI - \boldsymbol{G} | + \frac{1}{2} \alpha_{1} | \nabla \boldsymbol{G}^{\mathrm{T}} + \nabla \boldsymbol{G} | \right\}$$

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Locally:

- *DI* smooth  $\Rightarrow \mathbf{G} = \nabla I \approx \text{optimal} \Rightarrow \text{TGV}_{\alpha}^{2}(I) \approx \alpha_{0} \int_{\text{loc}} |\nabla^{2}I|.$
- *I* jumps  $\Rightarrow \mathbf{G} = \mathbf{0} \approx \text{optimal} \Rightarrow \text{TGV}_{\alpha}^{2}(\mathbf{I}) \approx \alpha_{1} \int_{\text{loc}} |\nabla \mathbf{I}|.$

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Generally:

- So computing TGV<sup>2</sup><sub>α</sub> can be seen as solving a minimization problem,
- in which terms of first and second order are optimally balanced out,
- and the vector field G represents the smooth part of the measure DI.

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Example:



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Plan: Segment the images, transform the edge sets to diffuse surfaces using blurring, register the diffuse surfaces with progressively less blurring.

#### Established Approaches to Segmentation

Method of kmeans:

$$\min_{\boldsymbol{p}_{k},\chi_{k}}\left\{\sum_{k=1}^{K}\int_{\Omega}|\boldsymbol{p}_{k}\chi_{k}-\tilde{\boldsymbol{l}}|^{2}:\{\boldsymbol{p}_{k}\}\in\mathcal{P}^{0},\chi_{k}:\Omega\rightarrow\{0,1\}\right\}$$

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Minimizing the Mumford-Shah functional:

$$\min_{I,\Gamma} \left\{ \int_{\Omega} |I - \tilde{I}|^2 + \delta^{-1} \int_{\Omega \setminus \Gamma} |\nabla I|^2 + \beta |\Gamma| \right\}$$

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or the Ambrosio-Tortorelli phase function approximation:

$$\min_{I,\chi} \left\{ \int_{\Omega} \left[ |I - \tilde{I}|^2 + \delta^{-1} |\nabla I|^2 \chi^2 + \epsilon |\nabla \chi|^2 + \epsilon^{-1} |1 - \chi|^2 \right] \right\}$$

#### Higher Order Counterparts

Method of kmeans:

$$\min_{\boldsymbol{p}_{k},\boldsymbol{\chi}_{k}}\left\{\sum_{m=1}^{M}\int_{\Omega}|\boldsymbol{p}_{k}\boldsymbol{\chi}_{k}-\tilde{\boldsymbol{I}}|^{2}:\{\boldsymbol{p}_{k}\}\in\mathcal{P}^{m-1},\boldsymbol{\chi}_{k}:\Omega\rightarrow\{0,1\}\right\}$$

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#### **Representative Problems with These Methods**

kmeans leads to staircasing and disconnectedness:



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Ambrosio-Tortorelli gives a *fuzzy* edge function:



#### Higher Order on Connected Components of Segments



#### Higher Order on Connected Components of Segments Initial Final Constant 0.5 0.5 0 0.25 0.5 0.75 0.25 0.5 0.75 0 Linear 0.5 0.5 0.25 0.5 0.75 0.25 0.5 0 0.75 0 Quadratic 0.5 0.5 0.25 0.5 0.75 0.25 0.5 0.75 0 1 0

Use multiple phase functions  $\{\chi_k\}$  and model functions  $\{I_k\}$ .

Estimate  $\tilde{I} \approx \sum_{k=1}^{K} I_k \chi_k$ 

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Estimate  $\tilde{l} \approx \sum_{k=1}^{K} l_k \chi_k$  through minimizing:

$$\min_{\{\boldsymbol{l}_{k}\},\{\boldsymbol{\chi}_{k}\}} \left\{ \sum_{k=1}^{K} \int_{\Omega} \left[ |\boldsymbol{l}_{k} - \tilde{\boldsymbol{l}}|^{2} \boldsymbol{\chi}_{k}^{2} + (\epsilon + \epsilon^{-1} \boldsymbol{\chi}_{k}^{2}) |\nabla^{m} \boldsymbol{l}_{k}|^{2} \right] \right\}$$

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Estimate  $\tilde{l} \approx \sum_{k=1}^{K} l_k \chi_k$  through minimizing:

$$\min_{\{I_{k}\},\{\chi_{k}\}} \left\{ \sum_{k=1}^{K} \int_{\Omega} \left[ |I_{k} - \tilde{I}|^{2} \chi_{k}^{2} + (\epsilon + \epsilon^{-1} \chi_{k}^{2}) |\nabla^{m} I_{k}|^{2} + \delta |\nabla \chi_{k}|^{2} + \delta^{-1} |\chi_{k} (\chi_{k} - 1)|^{2} \right] + \delta^{-1} \int_{\Omega} \left[ \sum_{l=1}^{K} \chi_{l} - 1 \right]^{2} \right\}$$

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$$\min_{\{I_k\},\{\chi_k\}} \left\{ \sum_{k=1}^{K} \int_{\Omega} \left[ |I_k - \tilde{I}|^2 \chi_k^2 + (\epsilon + \epsilon^{-1} \chi_k^2) |\nabla^m I_k|^2 + \delta |\nabla \chi_k|^2 + \delta^{-1} |\chi_k(\chi_k - 1)|^2 \right] + \delta^{-1} \int_{\Omega} \left[ \sum_{l=1}^{K} \chi_l - 1 \right]^2 \right\}$$

Combines elements of kmeans and Ambrosio Tortorelli.

Simplification:

$$\min_{\{I_k\}}\sum_{k=1}^{K}\int_{\Omega}\left[|I_k-\tilde{I}|^2\chi_k+(\epsilon+\epsilon^{-1}\chi_k)|\nabla^m I_k|^2\right]$$

with each  $\chi_k$  binary and depending upon  $\{I_l\}$ :

$$\chi_k(\boldsymbol{x}) = \begin{cases} 1, & |I_k(\boldsymbol{x}) - \tilde{I}(\boldsymbol{x})| < |I_l(\boldsymbol{x}) - \tilde{I}(\boldsymbol{x})|, & \forall l \neq k \\ 0, & \text{otherwise.} \end{cases}$$

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Effects:

►  $\epsilon^{-1}\chi_k |\nabla^m I_k|^2 \Rightarrow I_k$  nearly in  $\mathcal{P}^{m-1}$  on each connected component of  $(\chi_k = 1)$ .

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• 
$$|I_k - \hat{I}|^2 \chi_k \Rightarrow I_k \approx \hat{I} \text{ on } (\chi_k = 1).$$

#### Computational Investigation of the Approach Example: $K = 2, m = 2, \{\chi_k\} \& \{I_k\}$ by splitting, $\chi = \chi_1$ .



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Since  $|I_1 - \tilde{I}| < |I_2 - \tilde{I}|$  on and just outside ( $\chi = 1$ ), next curves:

Fig 2b



## Computational Investigation of the Approach $(\chi = 1)$ has grown to include $(\tilde{l} > 0)$ , but also some $(x < \delta)$ ,



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Since  $|I_1 - \tilde{I}| < |I_2 - \tilde{I}|$  in  $(x < \delta)$ , converged result:

Fig 2c



#### Computational Investigation of the Approach

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#### Effects: $(K = 2, m = 2, \chi = \chi_1)$

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  |I<sub>k</sub> − Ĩ|<sup>2</sup>χ<sub>k</sub> ⇒ I<sub>k</sub> ≈ Ĩ on (χ<sub>k</sub> = 1).

Above  $\tilde{l}$  was piecewise linear, now piecewise quadratic:



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Converged result with an unnatural edge in left piece of  $(\tilde{l} > 0)$ :





This result motivates changing  $\epsilon^{-1}\chi_k$  to  $\alpha\chi_k$  where  $\alpha \ll \epsilon^{-1}$  as  $\epsilon \to 0$  (small, i.e.,  $\epsilon$  need not be tuned).

New simplified approach:

$$\min_{\{I_k\}}\sum_{k=1}^{K}\int_{\Omega}\left[|I_k-\tilde{I}|^2\chi_k+(\epsilon+\alpha\chi_k)|\nabla^m I_k|^2\right]$$

again with each  $\chi_k$  binary and depending upon  $\{I_l\}$ :

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(Alternative to choosing  $\alpha$ : Increase the order *m*.)

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 $\alpha < \epsilon^{-1} \Rightarrow |I_1 - \tilde{I}| < |I_2 - \tilde{I}|$  always near ( $\chi = 1$ ). Finally:

Fig 4b







- ightarrow *\tilde{I}* is simply piecewise linear.
- $0 \approx |l_1 \tilde{l}| < |l_2 \tilde{l}|$  on  $(\chi = 1)$ .
- $0 \approx |I_2 \tilde{I}| < |I_1 \tilde{I}|$  on  $(\chi = 0)$ .



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- Such cases are more likely with K > 2.

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Determining non-fuzzy edge set ( $\chi = 0$ ) for  $\chi : \Omega \rightarrow \{0, 1\}$ :

$$\min_{\chi} \int_{\Omega} |I(\chi) - \tilde{I}|^2 \quad \text{where} \quad I(\chi) = \arg\min_{I} \int_{\Omega} \left[ |I - \tilde{I}|^2 \chi + (\epsilon + \alpha \chi) |\nabla^m I|^2 \right]$$



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Here the edge set  $(\chi = 0) = (|x| < \delta)$  can be determined explicitly by minimizing with respect to  $\delta$ .

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Here the edge set  $(\chi = 0) = (|x| < \delta)$  can be determined explicitly by minimizing with respect to  $\delta$ . In general?...

### Edge Determination Approach

Edge set is  $(\chi = 0)$  for  $\chi : \Omega \rightarrow \{0, 1\}$ ,

$$\chi(\boldsymbol{x}) = \begin{cases} 1, & |\boldsymbol{I}_{b}(\boldsymbol{x}) - \tilde{\boldsymbol{E}}(\boldsymbol{x})| < \theta |\boldsymbol{I}_{f}(\boldsymbol{x}) - \tilde{\boldsymbol{E}}(\boldsymbol{x})| \\ 0, & \text{otherwise.} \end{cases}$$

Fuzzy edge function  $\tilde{E} = |\nabla I_{\rm s}|$ ,

$$I_{\rm s} = \arg\min_{I} \int_{\Omega} \left[ |I - \tilde{I}|^2 \chi + (\epsilon + \alpha \chi) |\nabla^m I|^2 \right]$$

 $I_{\rm b}$  and  $I_{\rm f}$  are background and foreground estimations of  $\tilde{E}$ ,

$$I_{b} = \arg\min_{I} \int_{\Omega} \left[ |I - \tilde{E}|^{2} \chi + (\epsilon + \alpha \chi) |\nabla I|^{2} \right]$$
  
$$f = \arg\min_{I} \int_{\Omega} \left[ |I - \tilde{E}|^{2} (1 - \chi) + (\epsilon + \alpha (1 - \chi)) |\nabla I|^{2} \right]$$

### Edge Determination Approach

Example:



Computed by splitting, starting with  $\chi = 1$ , then

$$\cdots \to \chi \to \mathit{I}_{s} \to \tilde{\mathit{E}} \to \{\mathit{I}_{f}, \mathit{I}_{b}, \chi\} \to \chi \to \cdots$$

### Edge Determination Approach



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Computed by splitting, starting with  $\chi = 1$ , then

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**Theorem**: There exists a fixed point for this mapping. [Fürtinger & Keeling]

### Segmentation Regularization

Segments are regularized by smoothing  $\{\chi_l\}$  according to

$$\psi_{I} = \arg\min_{\psi} \int_{\Omega} \left[ |\psi - \chi_{I}|^{2} + \delta |\nabla \psi|^{2} \right], \quad I = 1, \dots, L$$

and updating

$$\phi(\mathbf{x}) = I, \quad \forall \mathbf{x} : \chi_I(\mathbf{x}) = 1$$

for redefined

$$\chi_l(\boldsymbol{x}) = \begin{cases} 1, & \psi_l(\boldsymbol{x}) > \psi_k(\boldsymbol{x}), & \forall k \neq l \\ 0, & \text{otherwise} \end{cases}$$

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Resulting segments are smoother with increasing  $\delta$ .







χ







Ъ





χ





If



Ъ



χ





If

lb



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With  $\chi$  in hand, the multiphase approach can be well initialized. For the above examples:



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Original Image, I~





Smoothed Reconstruction, I



Fuzzy Edge Function, E~



Background I<sub>b</sub> of E~





Original Image, I~





Smoothed Reconstruction, I



Foreground I<sub>r</sub> of E~

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Comparison of the fuzzy edge function



Comparison of the fuzzy edge function with a higher order Ambrosio-Tortorelli approach:





Comparison of the fuzzy edge function with a higher order Ambrosio-Tortorelli approach:





and the respective edge functions,





Original Image, I~



Edge Function x

Smoothed Reconstruction, I



Foreground I<sub>r</sub> of E~



Fuzzy Edge Function, E~



Background I<sub>b</sub> of E~


### Application to Measured Images

Original Image, I~





Smoothed Reconstruction, I



Foreground I<sub>r</sub> of E~



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Performed using 2D slices,

$$\min_{\boldsymbol{u}} \int_{\Omega} \left\{ |l_0^{\boldsymbol{\epsilon}} \circ (\mathrm{Id} + \boldsymbol{u}) - l_1^{\boldsymbol{\epsilon}}|^2 + \mu |\nabla \boldsymbol{u}^{\mathrm{T}} + \nabla \boldsymbol{u}|^2 \right\}$$

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$$\min_{\boldsymbol{u}} \int_{\Omega} \left\{ |I_0^{\epsilon} \circ (\mathrm{Id} + \boldsymbol{u}) - I_1^{\epsilon}|^2 + \mu |\nabla \boldsymbol{u}^{\mathrm{T}} + \nabla \boldsymbol{u}|^2 \right\}$$

with diffuse images  $I_0^{\epsilon}$  and  $I_1^{\epsilon}$ , providing strong registration force, then  $\epsilon \to 0$ .



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Convergence to Hausdorf distance between edge sets to be shown.

## Thank You!