

# DISCRETE AND ALGEBRAIC STRUCTURES

Master Study Mathematics

MAT.402

Winter Semester 2015/16

(Due to organisational reasons register for the course at TU and KFU)

Edited by Mihyun Kang

TU Graz

# Contents

<b>I</b>	<b>Lectures by Mihyun Kang</b>	<b>0</b>
<b>1</b>	<b>Standard Methods of Enumerative Combinatorics</b>	<b>1</b>
1.1	Basics . . . . .	1
1.2	Recursions . . . . .	2
1.2.1	Recursions . . . . .	2
1.2.2	Linear recurrences . . . . .	2
1.2.3	Non-linear recurrences . . . . .	6
1.3	Generating Functions . . . . .	7
1.3.1	Formal Power Series . . . . .	7
1.3.2	Ordinary and Exponential Generating Functions . . . . .	11
1.4	Symbolic method . . . . .	14
1.4.1	Unlabelled combinatorial objects . . . . .	14
1.4.2	Labelled combinatorial objects . . . . .	19
1.5	Analytic Methods . . . . .	22
1.5.1	Analytic functions . . . . .	22
1.5.2	Cauchy's coefficient formula . . . . .	23
1.5.3	Lagrange Inversion Theorem . . . . .	23
1.5.4	Singularities . . . . .	26
1.5.5	Meromorphic functions . . . . .	27
1.5.6	Newton's generalised binomial theorem . . . . .	28
1.5.7	Transfer theorem . . . . .	28
1.5.8	Multiple singularities . . . . .	30
<b>2</b>	<b>Graph Theory</b>	<b>32</b>
2.1	Matchings, Eulerian Tour and Hamiltonian Cycles . . . . .	33
2.1.1	Basic Terminologies . . . . .	33
2.1.2	Matchings . . . . .	33
2.1.3	Eulerian Tours . . . . .	33
2.1.4	Hamiltonian Cycles . . . . .	33
2.2	Graph Decompositions . . . . .	34
2.2.1	Connected Graphs . . . . .	34
2.2.2	2-Connected Graphs . . . . .	34
2.2.3	3-Connected Graphs . . . . .	34
2.3	Planar Graphs and Trees . . . . .	35

CONTENTS

ii

2.3.1	Embedding of Graphs in the Plane . . . . .	35
2.3.2	Euler's Formula and its Applications . . . . .	35
2.3.3	Properties of Trees . . . . .	35
2.3.4	Prüfer Code . . . . .	35
2.4	Stochastic Aspects . . . . .	36
2.4.1	Binomial Random Graphs . . . . .	36
2.4.2	Useful Inequalities . . . . .	36
2.4.3	Ramsey Number . . . . .	36
2.4.4	Independence Number . . . . .	36
2.4.5	Subgraphs and Second Moment Method . . . . .	36

**II Lectures by Karin Baur 37**

**3 Multilinear Algebra 38**

**4 Rings and Moduls 39**

## **Part I**

# **Lectures by Mihyun Kang**

# **Chapter 1**

## **Standard Methods of Enumerative Combinatorics**

### **1.1 Basics**

**(hand-written notes to be typed in LaTeX)**

## 1.2 Recursions

### 1.2.1 Recursions

**Example 1.** Let a sequence  $(a_n)_{n \in \mathbb{N} \cup \{0\}}$  of numbers be given by a recursion

$$\begin{cases} a_0 &= 0 \\ a_{n+1} &= 2a_n + 1, \quad n \geq 0 \end{cases}$$

The sequence begins with  $0, 1, 3, 7, 15, 31, \dots$

We add 1 in both side of the latter equation to obtain

$$a_{n+1} + 1 = 2(a_n + 1), \quad n \geq 0.$$

Then we have  $a_n + 1 = 2^n(a_0 + 1) = 2^n$  and therefore the sequence is explicitly given by

$$a_n = 2^n - 1, \quad n \geq 0.$$

**Example 2.** Let a sequence  $(a_n)_{n \in \mathbb{N} \cup \{0\}}$  of numbers be given by a recursion

$$\begin{cases} a_0 &= 0 \\ a_1 &= 1 \\ a_{n+2} &= a_{n+1} + a_n, \quad n \geq 0. \end{cases}$$

This sequence is called Fibonacci sequence and begins with  $0, 1, 1, 2, 3, 5, 8, 13, \dots$

How can we derive the explicit form of the sequence?

### 1.2.2 Linear recurrences

**Definition 1.** We say that a sequence  $(a_n)_{n \in \mathbb{N} \cup \{0\}}$  satisfies a  $k$ -th order recurrence (or recursion) if  $a_n$  can be written as

$$a_n = f(a_{n-1}, a_{n-2}, \dots, a_{n-k}), \quad n \geq k$$

for a function  $f: \mathbb{C}^k \rightarrow \mathbb{C}$ .

#### First-order linear recurrences

**Theorem 1.** A first-order linear recurrence with constant coefficients of the form

$$\begin{cases} a_0 = 0 \\ a_n = c_n \cdot a_{n-1} + d_n, \quad n \geq 1, \end{cases}$$

with  $c_i \neq 0$  has an explicit solution,

$$a_n = d_n + \sum_{i=1}^{n-1} d_i c_{i+1} c_{i+2} \cdots c_n.$$

CHAPTER 1. STANDARD METHODS OF ENUMERATIVE COMBINATORICS 3

*Proof.* Divide both sides by  $c_n c_{n-1} \cdots c_1$ :

$$\frac{a_n}{c_n c_{n-1} \cdots c_1} = \frac{a_{n-1}}{c_{n-1} c_{n-2} \cdots c_1} + \frac{d_n}{c_n c_{n-1} \cdots c_1}.$$

Change of variables: let

$$b_n = \frac{a_n}{c_n c_{n-1} \cdots c_1}.$$

We get the difference relation

$$b_n = b_{n-1} + \frac{d_n}{c_n c_{n-1} \cdots c_1},$$

that is,

$$b_n - b_{n-1} = \frac{d_n}{c_n c_{n-1} \cdots c_1}.$$

Summing up, we get

$$b_n = \sum_{i=1}^n \frac{d_i}{c_i c_{i-1} \cdots c_1}.$$

Thus, for  $a_n$  we have

$$\begin{aligned} a_n &= c_n c_{n-1} \cdots c_1 \cdot \left( \sum_{i=1}^n \frac{d_i}{c_i c_{i-1} \cdots c_1} \right) \\ &= d_n + \sum_{i=1}^{n-1} d_i c_{i+1} c_{i+2} \cdots c_n. \end{aligned}$$

□

**Example 3.** Returning to Example 1 we have

$$\begin{cases} a_0 &= 0 \\ a_{n+1} &= 2a_n + 1, \quad n \geq 0, \end{cases}$$

so taking  $c_i = 2, d_i = 1$  in Theorem 1 we have

$$a_n = 1 + \sum_{i=1}^{n-1} 2^{n-i} = 1 + 2^n \left( 1 - \left( \frac{1}{2} \right)^{n-1} \right) = 2^n - 1,$$

because  $\sum_{i=1}^n r^i = \frac{r(1-r^n)}{1-r}$  for any  $r \neq 1$ .

### Higher order linear recurrences with constant coefficients

**Theorem 2.** All solutions to the  $k$ -th order linear recurrence with constant coefficients

$$a_n = c_1 \cdot a_{n-1} + c_2 \cdot a_{n-2} + \cdots + c_k \cdot a_{n-k}, \quad n \geq k,$$

can be expressed as linear combinations of terms of the form

$$\alpha^n, n\alpha^n, n^2\alpha^n, \dots, n^{m-1}\alpha^n$$

where  $\alpha$  is a root of order  $m$  of the characteristic polynomial

$$q(z) = z^k - c_1 \cdot z^{k-1} - c_2 \cdot z^{k-2} - \cdots - c_k.$$

**Remark 1.** If  $q(z) = (z - \alpha)^m \cdot p(z)$  for some polynomial  $p(z)$  where  $(z - \alpha) \nmid p(z)$ , i.e.  $\alpha$  is a root of multiplicity  $m$ , then  $q(\alpha) = q'(\alpha) = \dots = q^{(m-1)}(\alpha) = 0$ .

*Proof.* Let  $\alpha$  be a simple root of  $q(z)$  (i.e. the multiplicity is 1) and  $a_n = \alpha^n$ . We want to check that  $a_n$  is a solution to the recurrence, i.e.

$$\begin{aligned} 0 &\stackrel{!}{=} \alpha^n - c_1 \alpha^{n-1} - c_2 \alpha^{n-2} - \dots - c_k \alpha^{n-k} \\ &= \alpha^{n-k} \cdot (\alpha^k - c_1 \alpha^{k-1} - \dots - c_k) \\ &= \alpha^{n-k} \cdot q(\alpha) = 0. \end{aligned}$$

Let  $\alpha$  now be a root of multiplicity  $m$  of  $q(z)$ . Then, for  $0 \leq i \leq m-1$ ,  $a_n = n^i \alpha^n$  is a solution to the recurrence because

$$\begin{aligned} 0 &\stackrel{!}{=} a_n - c_1 \cdot a_{n-1} - c_2 \cdot a_{n-2} - \dots - c_k a_{n-k} \\ &= n^i \alpha^n - c_1 (n-1)^i \alpha^{n-1} - c_2 (n-2)^i \alpha^{n-2} - \dots - c_k (n-k)^i \alpha^{n-k} \\ &= \alpha^{n-k} \cdot (n^i \alpha^k - c_1 (n-1)^i \alpha^{k-1} - \dots - c_k (n-k)^i) \\ &= \alpha^{n-k} \cdot ((n-k)^i q(\alpha) + \alpha ((n-i)^i - (n-k-1)^i) q'(\alpha) + \\ &\quad \alpha^2 (b_0 (n-k)^i + b_1 (n-k-1)^i + b_2 (n-k-2)^i) q''(\alpha) + \dots) \\ &= \alpha^{n-k} \cdot \left( \sum_{0 \leq j \leq i} \left( \sum_{0 \leq \ell \leq j} b_{j,0} (n-k-\ell)^i \right) q^{(j)}(\alpha) \right) = 0, \end{aligned}$$

because of the previous remark; the  $b_{j,\ell}$  are constants.

Furthermore, a linear combination of  $\alpha^n, n \cdot \alpha^n, \dots, n^{m-1} \alpha^n$  is also a solution to the recurrence.

If  $q(z)$  has distinct roots  $\alpha_1, \dots, \alpha_s$  with multiplicities  $m_1, \dots, m_j$  where  $m_1 + \dots + m_s = k$ , then a linear combination of all these  $n^j \cdot \alpha_i^n$  for  $0 \leq j \leq m-1$ ,  $1 \leq i \leq s$ , i.e.

$$a_n = \sum_{i=1}^s (b_{i,0} + b_{i,1}n + \dots + b_{i,m-1}n^{m_i-1}) \cdot \alpha_i^n$$

is also a solution to the recurrence.

We claim the opposite is also true. Let  $s$  be the set of sequences  $\{a = (a_n \in \mathbb{R})_{n \geq 0}\}$  and  $R$  be the set of solutions to the recurrence. Then  $R$  is closed under addition and scalar multiplication, and  $R \neq \emptyset$ . Therefore,  $R$  is a vector space.

We claim that  $R$  has dimension  $k$ . Consider a map  $f$  from  $R$  to  $\mathbb{R}^k$ :

$$f : R \rightarrow \mathbb{R}^k, \quad a \mapsto \begin{pmatrix} a_0 \\ a_1 \\ \vdots \\ a_{k-1} \end{pmatrix}.$$

This is a linear map and also an isomorphism, because any solution to the recurrence is uniquely determined by the  $k$  initial values. So,  $R$  has dimension  $k$ .



Therefore,  $R$  is given (generated) by linear combinations of any  $k$  linearly independent solutions to the recurrence. Now it suffices to show that the set of  $k$  solutions  $\{n^j \alpha_i^n \mid 1 \leq j \leq m_i - 1, 1 \leq i \leq s\}$  to the recurrence is linearly independent. But this is true because these solutions have different orders of growth (in particular at  $\infty$ ).  $\square$

**Example 4.** Returning to Example 2 we consider the Fibonacci sequence

$$\begin{cases} a_n = a_{n-1} + a_{n-2}, & n \geq 2 \\ a_0 = 0, a_1 = 1. \end{cases}$$

Its characteristic polynomial is

$$q(z) = z^2 - z - 1 = \left(z - \frac{1 + \sqrt{5}}{2}\right) \cdot \left(z - \frac{1 - \sqrt{5}}{2}\right),$$

and the solution to the recurrence for  $a_n$  is

$$\begin{cases} a_n = c_1 \left(\frac{1 + \sqrt{5}}{2}\right)^n + c_2 \left(\frac{1 - \sqrt{5}}{2}\right)^n \\ a_0 = 0, a_1 = 1. \end{cases}$$

From the initial conditions, we get  $c_1 = \frac{1}{\sqrt{5}}$ ,  $c_2 = -\frac{1}{\sqrt{5}}$ . The explicit solution to (??) is

$$a_n = \frac{1}{\sqrt{5}} \left(\frac{1 + \sqrt{5}}{2}\right)^n - \frac{1}{\sqrt{5}} \left(\frac{1 - \sqrt{5}}{2}\right)^n.$$

**Example 5.** Consider the second order linear recurrence

$$a_n = 5a_{n-1} - 6a_{n-2}, \quad n \geq 2$$

with the initial conditions  $a_0 = 0, a_1 = 1$ .

The characteristic polynomial is

$$q(z) = z^2 - 5z + 6 = (z - 2)(z - 3).$$

The solution will be of the form

$$a_n = c_1 2^n + c_2 3^n.$$

Due to the initial conditions,  $c_1 = -1$  and  $c_2 = 1$  and the solution is

$$a_n = -2^n + 3^n.$$

This can be solved in Maple as follows:

```
rsolve({a(n) = 5 * a(n-1) - 6 * a(n-2), a(0) = 0, a(1) = 1}, a(n));
```

In Mathematica:

```
RSolve[{a[n] == 5 * a[n-1] - 6 * a[n-2], a[0] == 0, a[1] == 1}, a[n], n]
```

**Exercise 1.** Find initial conditions  $a_0, a_1, a_2$  for which the growth rate of the solution to the recurrence

$$a_n = 2a_{n-1} + a_{n-2} - 2a_{n-3}, \quad n \geq 3$$

is (a) constant, (b) exponential, and (c) fluctuating in sign.

**Higher order linear recurrences with non-constant coefficients****Example 6.** Consider the recurrence

$$\begin{cases} a_n = na_{n-1} + n(n-1)a_{n-2}, & n \geq 2 \\ a_0 = 0, a_1 = 1. \end{cases}$$

Divide by  $n!$  to receive

$$\frac{a_n}{n!} = \frac{a_{n-1}}{(n-1)!} + \frac{a_{n-2}}{(n-2)!}.$$

Change variables: let  $b_n = \frac{a_n}{n!}$ . Then we get the recurrence

$$\begin{cases} b_n = b_{n-1} + b_{n-2}, & n \geq 2 \\ b_0 = 0, b_1 = 1. \end{cases}$$

for the Fibonacci sequence. From Example 4 we have

$$b_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n.$$

This yields

$$a_n = \frac{n!}{\sqrt{5}} \left( \left( \frac{1+\sqrt{5}}{2} \right)^n - \left( \frac{1-\sqrt{5}}{2} \right)^n \right).$$

**Exercise 2.** Solve the recurrence

$$\begin{cases} n(n-1)a_n = (n-1)a_{n-1} + a_{n-2}, & n \geq 2 \\ a_0 = 0, a_1 = 1. \end{cases}$$

**1.2.3 Non-linear recurrences****Example 7.** Consider the second order non-linear recurrence

$$\begin{cases} a_n = \sqrt{a_{n-1} \cdot a_{n-2}}, & n \geq 2 \\ a_0 = 1, a_1 = 2. \end{cases}$$

We use the logarithm function, since

$$\log_a xy = \log_a x + \log_a y.$$

Let  $b_n = \log a_n$ . We get

$$\begin{cases} b_n = \frac{1}{2}(b_{n-1} + b_{n-2}), & n \geq 2 \\ b_0 = 0, b_1 = 1. \end{cases}$$

This can be solved similar to the previous linear recurrences.

## 1.3 Generating Functions

### 1.3.1 Formal Power Series

Now let us return to Example 1.

**Example 8.** Let a sequence  $(a_n)_{n \in \mathbb{N} \cup \{0\}}$  of numbers be given by a recursion

$$\begin{cases} a_0 &= 0 \\ a_{n+1} &= 2a_n + 1, \quad n \geq 0 \end{cases} \quad (1.1)$$

and let  $z$  be a formal indeterminate variable.

Multiplying the both side of (1.1) by  $z^{n+1}$  and summing over  $n \in \mathbb{N} \cup \{0\}$ , we obtain

$$\sum_{n=0}^{\infty} a_{n+1} z^{n+1} = \sum_{n=0}^{\infty} (2a_n + 1) z^{n+1}.$$

If it were true that  $\sum_{n=0}^{\infty} (2a_n + 1) z^{n+1} = 2z \sum_{n=0}^{\infty} a_n z^n + z \sum_{n=0}^{\infty} z^n$ , we would obtain

$$\sum_{n=1}^{\infty} a_n z^n = 2z \sum_{n=0}^{\infty} a_n z^n + z \sum_{n=0}^{\infty} z^n$$

Defining  $A(z) := \sum_{n=0}^{\infty} a_n z^n$  we have

$$A(z) = 2zA(z) + z \sum_{n=0}^{\infty} z^n \quad \text{equiv.} \quad (1-2z)A(z) = z \sum_{n=0}^{\infty} z^n$$

because  $a_0 = 0$ . From this we we would further obtain

$$\begin{aligned} A(z) &\stackrel{?}{=} \frac{z}{1-2z} \sum_{n=0}^{\infty} z^n \stackrel{?}{=} \frac{z}{1-2z} \cdot \frac{1}{1-z} = 2z \frac{1}{1-2z} - z \frac{1}{1-z} \\ &\stackrel{?}{=} 2z \sum_{n=0}^{\infty} (2z)^n - z \sum_{n=0}^{\infty} z^n \\ &\stackrel{?}{=} \sum_{n=1}^{\infty} (2z)^n - \sum_{n=1}^{\infty} z^n \\ &\stackrel{?}{=} \sum_{n=1}^{\infty} (2^n - 1) z^n \\ &= \sum_{n=0}^{\infty} (2^n - 1) z^n, \end{aligned}$$

if the following were true:

$$(1 - 2z)A(z) = z \sum_{n=0}^{\infty} z^n \stackrel{?}{\iff} A(z) = \frac{z}{1 - 2z} \sum_{n=0}^{\infty} z^n \quad (1.2)$$

$$\sum_{n=0}^{\infty} (az)^n \stackrel{?}{=} \frac{1}{1 - az}, \quad a \in \mathbb{K} \quad (1.3)$$

$$\sum_{n=0}^{\infty} (2a_n + 1) z^{n+1} \stackrel{?}{=} 2z \sum_{n=0}^{\infty} a_n z^n + z \sum_{n=0}^{\infty} z^n. \quad (1.4)$$

Summing up, we would have  $\sum_{n=0}^{\infty} a_n z^n = \sum_{n=0}^{\infty} (2^n - 1) z^n$  and therefore  $a_n = 2^n - 1$  for every  $n \in \mathbb{N} \cup \{0\}$ , by comparing the coefficients, if (1.2)–(1.4) were true. When are they true?

**Definition 2** (Formal Power Series). Let  $\mathbb{K}$  denote a commutative ring, usually we take  $\mathbb{K} = \mathbb{C}$ . Given a sequence  $(a_n)_{n \in \mathbb{N} \cup \{0\}}$  with  $a_n \in \mathbb{K}$  and a formal variable  $z$ , we call an infinite sum of the form

$$A(z) := \sum_{n=0}^{\infty} a_n z^n = \sum_{n \geq 0} a_n z^n$$

a *formal power series*. We call  $a_n$  the coefficient of  $z^n$  in  $f(z)$  and use the notation

$$[z^n] A(z) := a_n.$$

The ring of formal power series is denoted by  $\mathbb{K}[[z]]$  and endowed with the operations of addition and product:

$$\left( \sum_{n=0}^{\infty} a_n z^n \right) + \left( \sum_{n=0}^{\infty} b_n z^n \right) := \sum_{n=0}^{\infty} (a_n + b_n) z^n \quad (1.5)$$

$$\left( \sum_{n=0}^{\infty} a_n z^n \right) \cdot \left( \sum_{n=0}^{\infty} b_n z^n \right) := \sum_{n=0}^{\infty} \left( \sum_{k=0}^n a_k b_{n-k} \right) z^n \quad (1.6)$$

We often skip  $\cdot$  for the product of two formal power series. As a special case of (1.6) we have, for any  $a \in \mathbb{K}$ ,

$$a \left( \sum_{n=0}^{\infty} b_n z^n \right) = \sum_{n=0}^{\infty} a b_n z^n. \quad (1.7)$$

Given a formal power series  $A(z) = \sum_{n \geq 0} a_n z^n$  we also define the following algebraic operations:

- Differentiation:  $A'(z) := \sum_{n \geq 1} n a_n z^{n-1} = \sum_{n \geq 0} (n+1) a_{n+1} z^n$ .
- Integration:  $\int_0^z A(t) dt := \sum_{n \geq 0} \frac{a_n}{n+1} z^{n+1} = \sum_{n \geq 1} \frac{a_{n-1}}{n} z^n$ .

A formal power series  $B(z) := \sum_{n=0}^{\infty} b_n z^n$  is called a *reciprocal* of a formal power series  $A(z) := \sum_{n=0}^{\infty} a_n z^n$  (and vice versa) if  $A(z)B(z) = B(z)A(z) = 1$ .

**Proposition 1.** A formal power series  $A(z) := \sum_{n=0}^{\infty} a_n z^n$  has a reciprocal if and only if  $a_0 \neq 0$ . In that case, the reciprocal is unique and so we denote the reciprocal of  $A(z)$  by  $\frac{1}{A(z)}$ .

*Proof.* Exercise! □

In Example 8 we wished to have

$$\begin{aligned} (1-2z)A(z) = 1 &\stackrel{?}{\iff} A(z) = \frac{1}{1-2z} \\ \sum_{n=0}^{\infty} z^n &\stackrel{?}{=} \frac{1}{1-z}, \\ \sum_{n=0}^{\infty} (2a_n+1)z^{n+1} &\stackrel{?}{=} 2z \sum_{n=0}^{\infty} a_n z^n + z \sum_{n=0}^{\infty} z^n. \end{aligned}$$

From definition, equality  $(1-2z)A(z) = 1$  and Proposition 1,  $A(z)$  is the (unique) reciprocal of  $1-2z$  and vice versa, so

$$(1-2z)A(z) = 1 \iff A(z) = \frac{1}{1-2z}.$$

For the second equality  $\sum_{n=0}^{\infty} z^n = \frac{1}{1-z}$ , we shall show that the reciprocal of the formal power series  $A(z) := \sum_{n=0}^{\infty} z^n$  is  $B(z) := 1-z$  and vice versa. Letting  $A(z) := \sum_{n=0}^{\infty} a_n z^n$  with  $a_n = 1$  for  $n \geq 0$  and  $B(z) := \sum_{n=0}^{\infty} b_n z^n$  with  $b_0 = 1, b_1 = -1$  and  $b_n = 0$  for  $n \geq 2$ , we have

$$A(z)B(z) \stackrel{(1.6)}{=} \sum_{n=0}^{\infty} \left( \sum_{k=0}^n a_k b_{n-k} \right) z^n = a_0 b_0 + \sum_{n=1}^{\infty} (a_{n-1} b_1 + a_n b_0) z^n = 1.$$

By proposition 1,  $A(z)$  is the unique reciprocal of  $B(z)$  and so  $A(z) = \frac{1}{B(z)} = \frac{1}{1-z}$ , that is, we have

$$\sum_{n=0}^{\infty} z^n = \frac{1}{1-z}$$

in the ring  $\mathbb{K}[[z]]$ .

Analogously one can show that for any  $a \in \mathbb{K}$ , the reciprocal of the formal power series  $A(z) := \sum_{n=0}^{\infty} (az)^n$  is  $B(z) := 1-az$  and vice versa. In other words, for any  $a \in \mathbb{K}$ ,

$$\sum_{n=0}^{\infty} (az)^n = \frac{1}{1-az} \tag{1.8}$$

in the ring  $\mathbb{K}[[z]]$ .

For the third equality  $\sum_{n=0}^{\infty} (2a_n+1)z^{n+1} = 2z \sum_{n=0}^{\infty} a_n z^n + z \sum_{n=0}^{\infty} z^n$ , we use (1.5) and (1.6) to have

$$\sum_{n=0}^{\infty} (2a_n+1)z^{n+1} \stackrel{(1.5)}{=} \sum_{n=0}^{\infty} 2a_n z^{n+1} + \sum_{n=0}^{\infty} z^{n+1} \stackrel{(1.6)}{=} 2z \sum_{n=0}^{\infty} a_n z^n + z \sum_{n=0}^{\infty} z^n.$$

That is,  $\sum_{n=0}^{\infty} (2a_n + 1) z^{n+1} = 2z \sum_{n=0}^{\infty} a_n z^n + z \sum_{n=0}^{\infty} z^n$  in the ring  $\mathbb{K}[[z]]$ .

Given a formal power series  $A(z) = \sum_{n \geq 0} a_n z^n$  and a constant  $\beta \in \mathbb{K}$  we have the following properties:

- $A(\beta z) = \sum_{n \geq 0} a_n \beta^n z^n$ , so  $[z^n] A(\beta z) = a_n \beta^n = \beta^n a_n = \beta^n [z^n] A(z)$  (scaling)
- $(A(z) - a_0)/z = \sum_{n \geq 1} a_n z^{n-1} = \sum_{n \geq 0} a_{n+1} z^n$  (left shift)
- $zA(z) = \sum_{n \geq 0} a_n z^{n+1} = \sum_{n \geq 1} a_{n-1} z^n$  (right shift)
- $A(z)/(1-z) = A(z) \cdot \sum_{n \geq 0} z^n = \sum_{n \geq 0} (\sum_{0 \leq k \leq n} a_k) z^n$  (partial sum)

**Example 9.** Let us study the recursion for the Fibonacci sequence

$$\begin{cases} a_0 &= 0 \\ a_1 &= 1 \\ a_{n+2} &= a_{n+1} + a_n, \quad n \geq 0, \end{cases}$$

using the generating function  $A(z) := \sum_{n=0}^{\infty} a_n z^n$ . Multiplying the both side of the recursion by  $z^{n+2}$  and summing over  $n \in \mathbb{N} \cup \{0\}$ , we obtain

$$\begin{aligned} \sum_{n=0}^{\infty} a_{n+2} z^{n+2} &= \sum_{n=0}^{\infty} (a_{n+1} + a_n) z^{n+2} \\ \iff \sum_{n=2}^{\infty} a_n z^n &= z \sum_{n=1}^{\infty} a_n z^n + z^2 \sum_{n=0}^{\infty} a_n z^n \\ \iff A(z) - z &= zA(z) + z^2 A(z) \\ \iff (1 - z - z^2) A(z) &= z \\ \iff A(z) &= \frac{z}{1 - z - z^2} = \frac{1}{\beta_1 - \beta_2} \left( \frac{1}{1 - \beta_1 z} - \frac{1}{1 - \beta_2 z} \right) \\ &\stackrel{(1.8)}{=} \frac{1}{\sqrt{5}} \left( \sum_{n=0}^{\infty} (\beta_1 z)^n - \sum_{n=0}^{\infty} (\beta_2 z)^n \right) \\ &\stackrel{(1.7)}{=} \left( \sum_{n=0}^{\infty} \frac{1}{\sqrt{5}} \beta_1^n z^n - \sum_{n=0}^{\infty} \frac{1}{\sqrt{5}} \beta_2^n z^n \right) \\ &\stackrel{(1.5)}{=} \sum_{n=0}^{\infty} \frac{1}{\sqrt{5}} (\beta_1^n - \beta_2^n) z^n, \end{aligned}$$

where  $\beta_1 = \frac{1+\sqrt{5}}{2}$  and  $\beta_2 = \frac{1-\sqrt{5}}{2}$ . Therefore we have

$$a_n = \frac{1}{\sqrt{5}} \left( \left( \frac{1+\sqrt{5}}{2} \right)^n - \left( \frac{1-\sqrt{5}}{2} \right)^n \right), \quad n \geq 0.$$

### 1.3.2 Ordinary and Exponential Generating Functions

Throughout the lecture we take  $\mathbb{K} = \mathbb{C}$ .

**Definition 3** (Generating Functions). The *ordinary generating function* of a sequence  $(a_n)_{n \in \mathbb{N} \cup \{0\}}$  is the formal power series

$$A(z) := \sum_{n=0}^{\infty} a_n z^n.$$

The *exponential generating function* of a sequence  $(a_n)_{n \in \mathbb{N} \cup \{0\}}$  is the formal power series

$$A(z) := \sum_{n=0}^{\infty} a_n \frac{z^n}{n!}.$$

**Definition 4** (Combinatorial Class, Counting Sequence and Generating Function).

- A combinatorial class  $\mathcal{A}$  is a finite or denumerable set on which a size function is defined such that the size  $|\alpha|$  of an element  $\alpha$  is a non-negative integer and the number of elements of any given size is finite.
- Given a combinatorial class  $\mathcal{A}$  we denote by  $\mathcal{A}_n$  the set of elements in  $\mathcal{A}$  of size  $n$  for any  $n \in \mathbb{N} \cup \{0\}$ .
- The counting sequence of a combinatorial class  $\mathcal{A}$  is the sequence  $(a_n)_{n \in \mathbb{N} \cup \{0\}}$  where  $a_n$  is the number of elements in  $\mathcal{A}_n$ , which is often denoted by  $|\mathcal{A}_n|$ .
- The ordinary generating function of a combinatorial class  $\mathcal{A}$  is the ordinary generating function of the sequence of numbers  $a_n = |\mathcal{A}_n|$ . Therefore we have

$$A(z) := \sum_{n=0}^{\infty} a_n z^n = \sum_{\alpha \in \mathcal{A}} z^{|\alpha|}.$$

Notation:  $[z^n]A(z) := a_n$ .

- The exponential generating function of a combinatorial class  $\mathcal{A}$  is the exponential generating function of the sequence of numbers  $a_n = |\mathcal{A}_n|$ . Therefore we have

$$A(z) := \sum_{n=0}^{\infty} a_n \frac{z^n}{n!} = \sum_{\alpha \in \mathcal{A}} \frac{z^{|\alpha|}}{|\alpha|!}.$$

Notation:  $[z^n]A(z) := \frac{a_n}{n!}$ .

We say the variable  $z$  marks the size in the generating function  $A(z)$ .

Two combinatorial classes are said to be combinatorially equivalent if their counting sequences are identical.

**Proposition 2.** For the product of two exponential generating functions we have

$$\left( \sum_{n=0}^{\infty} a_n \frac{z^n}{n!} \right) \cdot \left( \sum_{n=0}^{\infty} b_n \frac{z^n}{n!} \right) = \sum_{n=0}^{\infty} \left( \sum_{k=0}^n \binom{n}{k} a_k b_{n-k} \right) \frac{z^n}{n!}.$$

*Proof.* Exercise! □

**Example 10** (Triangulations of Convex Polygon). Let  $\mathcal{T}$  denote the class of all triangulation of convex polygons with one distinguished edge, in which the size of a triangulation in  $\mathcal{T}$  is defined as the number of triangles it is composed of. Then  $\mathcal{T}$  is a combinatorial class. For  $n \geq 1$  we let  $\mathcal{T}_n$  denote the class of all triangulation of convex polygons of size  $n$  and let  $t_n := |\mathcal{T}_n|$ . Set  $t_0 := 1$ . That is,  $\mathcal{T}_n$  is the set of all triangulations of convex  $(n+2)$ -gons (i.e. with  $n$  triangles) with one distinguished edge.

The sequence  $(t_n)_{n \in \mathbb{N} \cup \{0\}}$  begins with  $1, 1, 2, 5, 14, 42, \dots$

By deleting the triangle incident to the distinguished edge we obtain the recursion

$$t_n = \sum_{k=0}^{n-1} t_k t_{n-1-k}, \quad n \geq 1.$$

Let  $T(z) := \sum_{n=0}^{\infty} t_n z^n$  be the ordinary generating function of  $\mathcal{T}$ . Multiplying the both side of  $t_n = \sum_{k=0}^{n-1} t_k t_{n-1-k}$  by  $z^n$  and summing over  $n \geq 1$  we have

$$\begin{aligned} \sum_{n=1}^{\infty} t_n z^n &= \sum_{n=1}^{\infty} \left( \sum_{k=0}^{n-1} t_k t_{n-1-k} \right) z^n \\ \iff T(z) - t_0 &= z \sum_{n=1}^{\infty} \left( \sum_{k=0}^{n-1} t_k t_{n-1-k} \right) z^{n-1} \\ \iff T(z) - 1 &= z \sum_{n=0}^{\infty} \left( \sum_{k=0}^n t_k t_{n-k} \right) z^n \\ T(z) - 1 &\stackrel{(1.7)}{=} z T(z)^2. \end{aligned}$$

Therefore  $T(z)$  satisfies the quadratic equation

$$z T(z)^2 - T(z) + 1 = 0,$$

among whose two solutions we choose

$$T(z) = \frac{1 - \sqrt{1 - 4z}}{2z},$$

because the coefficients of  $T(z)$  are non-negative.

From this, we get for  $n \geq 1$ :

$$\begin{aligned} t_n = [z^n]T(z) &= -\frac{1}{2}[z^{n+1}]\sqrt{1-4z} \\ &\stackrel{\text{rescaling}}{=} -\frac{1}{2}(-4)^{n+1} [z^{n+1}](1+z)^{1/2}. \end{aligned}$$



Using the generalised binomial theorem

$$[z^{n+1}](1+z)^{1/2} = \binom{\frac{1}{2}}{n+1} = \frac{\frac{1}{2}(\frac{1}{2}-1)\cdots(\frac{1}{2}-n)}{(n+1)!} = \frac{(-1)^n \cdot 1 \cdot 3 \cdot 5 \cdots (2n-1)}{2^{n+1}(n+1)!},$$

we obtain

$$\begin{aligned} t_n &= -\frac{1}{2}(-4)^{n+1} \frac{(-1)^n \cdot 1 \cdot 3 \cdot 5 \cdots (2n-1)}{2^{n+1}(n+1)!} = \frac{1 \cdot 3 \cdot 5 \cdots (2n-1) \cdot 2^n}{(n+1)!} \\ &= \frac{1 \cdot 3 \cdot 5 \cdots (2n-1) \cdot 2 \cdot 4 \cdot 6 \cdots (2n)}{(n+1)! n!} = \frac{1}{n+1} \binom{2n}{n}. \end{aligned}$$

Using Stirling's formula

$$n! = \left(1 + O\left(\frac{1}{n}\right)\right) \sqrt{2\pi n} \cdot \left(\frac{n}{e}\right)^n,$$

we can derive the asymptotic number of binary trees

$$t_n = \frac{1}{n+1} \binom{2n}{n} = \frac{1}{n+1} \frac{(2n)!}{n! n!} \sim \frac{1}{\sqrt{\pi}} 4^n n^{-3/2}.$$

## 1.4 Symbolic method

### 1.4.1 Unlabelled combinatorial objects

For a given combinatorial class  $\mathcal{A}$ , denote by  $\mathcal{A}_n$  the set of elements of size  $n$  in  $\mathcal{A}$ , and let  $a_n = |\mathcal{A}_n|$ . We say the OGF

$$A(z) = \sum_{n \geq 0} a_n z^n = \sum_{\alpha \in \mathcal{A}} z^{|\alpha|}$$

enumerates  $\mathcal{A}$ .

#### Basic constructions and OGF's

- (1)  $\mathcal{E}$  is the neutral class that consists of a single element of size 0. The OGF of  $\mathcal{E}$  is 1.
- (2)  $\mathcal{Z}$  is the atomic class that consists of a single element of size 1. The OGF of  $\mathcal{Z}$  is  $z$ .
- (3) Combinatorial sum (disjoint union)  $\mathcal{A} + \mathcal{B}$  of two combinatorial classes  $\mathcal{A}$  and  $\mathcal{B}$  with  $\mathcal{A} \cap \mathcal{B} = \emptyset$  is the set of objects consisting of two disjoint copies of  $\mathcal{A}$  and  $\mathcal{B}$ , in which the size of an element  $\alpha \in \mathcal{A} + \mathcal{B}$  is defined as  $|\alpha|_{\mathcal{A}}$  if  $\alpha \in \mathcal{A}$  and  $|\alpha|_{\mathcal{B}}$  if  $\alpha \in \mathcal{B}$  (i.e. the size of an element in  $\alpha \in \mathcal{A} + \mathcal{B}$  is inherited from its size in its class of origin). In order to formalise  $\mathcal{A} + \mathcal{B}$  we introduce red marker to  $\mathcal{A}$  and blue marker to  $\mathcal{B}$ . The combinatorial sum  $\mathcal{A} + \mathcal{B}$  is a well-defined combinatorial class. Its OGF satisfies

$$\sum_{\alpha \in \mathcal{A} + \mathcal{B}} z^{|\alpha|} = \sum_{\alpha \in \mathcal{A}} z^{|\alpha|} + \sum_{\alpha \in \mathcal{B}} z^{|\alpha|}.$$

- (4) Cartesian product  $\mathcal{A} \times \mathcal{B}$  of two combinatorial classes  $\mathcal{A}$  and  $\mathcal{B}$  is defined as

$$\mathcal{A} \times \mathcal{B} = \{(\alpha, \beta) \mid \alpha \in \mathcal{A}, \beta \in \mathcal{B}\},$$

in which the size of a pair  $(\alpha, \beta)$  is defined as  $|\alpha| + |\beta|$ . The Cartesian product  $\mathcal{A} \times \mathcal{B}$  is a well-defined combinatorial class. Its OGF satisfies

$$\sum_{(\alpha, \beta) \in \mathcal{A} \times \mathcal{B}} z^{|\alpha, \beta|} = \sum_{(\alpha, \beta) \in \mathcal{A} \times \mathcal{B}} z^{|\alpha| + |\beta|} = \sum_{\alpha \in \mathcal{A}} z^{|\alpha|} + \sum_{\beta \in \mathcal{B}} z^{|\beta|} = A(z) \cdot B(z).$$

For any  $n \geq 1$ , we define  $\mathcal{A}^n = \mathcal{A}^{n-1} \times \mathcal{A} = \mathcal{A} \times \cdots \times \mathcal{A}$  recursively.

- (5) Sequence  $\text{SEQ}(\mathcal{A})$  of a combinatorial class  $\mathcal{A}$  with  $\mathcal{A}_0 = \emptyset$  that consists of sequences of elements from  $\mathcal{A}$  is the infinite sum

$$\mathcal{E} + \mathcal{A} + \mathcal{A}^2 + \mathcal{A}^3 + \dots$$

Note that the condition  $\mathcal{A}_0 = \emptyset$  (equiv.  $a_0 = 0$ ) guarantees the finiteness condition for sizes, and therefore the multiset construction  $\text{MSET}(\mathcal{A})$  is a well-defined combinatorial class. Its OGF satisfies

$$1 + A(z) + A(z)^2 + A(z)^3 + \dots = \frac{1}{1 - A(z)},$$

where the latter equality is because  $[z^0]A(z) = 0$ .

- (6) Multiset  $\text{MSET}(\mathcal{A})$  of a combinatorial class  $\mathcal{A}$  with  $\mathcal{A}_0 = \emptyset$  is the collection of all finite multisets (i.e. repetition allowed) of elements from  $\mathcal{A}$ , more precisely, we define

$$\text{MSET}(\mathcal{A}) := \text{SEQ}(\mathcal{A}) / \mathcal{R},$$

where  $\mathcal{R}$  is the equivalence class of sequences defined by

$$(\alpha_1, \dots, \alpha_n) \sim_{\mathcal{R}} (\beta_1, \dots, \beta_n)$$

iff there is a permutation  $\sigma$  of  $[1 \dots n]$  such that for all  $1 \leq i \leq n$ ,  $\beta_i = \alpha_{\sigma(i)}$ .

For a finite set  $\mathcal{A}$ , we let  $\alpha_1, \alpha_2, \dots$  be a canonical listing of the elements of  $\mathcal{A}$ . Then any multiset can be sorted in such a way that it can be viewed as formed by a sequence of repeated elements of  $\alpha_1$ , followed by a sequence of repeated elements of  $\alpha_2$ , and so on. It follows that

$$\text{MSET}(\mathcal{A}) = \prod_{\alpha \in \mathcal{A}} \text{SEQ}(\alpha).$$

Therefore, the OGF of  $\mathcal{C} = \text{MSET}(\mathcal{A})$  satisfies

$$C(z) = \prod_{\alpha \in \mathcal{A}} \frac{1}{1 - z^{|\alpha|}} = \prod_{n \geq 1} \left( \frac{1}{1 - z^n} \right)^{a_n},$$

where the latter equality holds because  $a_0 = 0$ .

Consider the formal power series

$$\exp(z) := \sum_{n \geq 0} \frac{z^n}{n!}, \quad \ln(z) := \sum_{n \geq 1} \frac{(-1)^{n-1}}{n} (z-1)^n.$$

Applying the exp-ln transformation we have

$$\begin{aligned} C(z) &= \exp \left( \ln \left( \prod_{n \geq 1} (1 - z^n)^{-a_n} \right) \right) = \exp \left( \sum_{n \geq 1} a_n \ln \left( \frac{1}{1 - z^n} \right) \right) \\ &= \exp \left( \sum_{n \geq 1} a_n \sum_{k \geq 1} \frac{(z^n)^k}{k} \right) = \exp \left( \sum_{k \geq 1} \frac{1}{k} \sum_{n \geq 1} a_n (z^k)^n \right) = \exp \left( \sum_{k \geq 1} \frac{1}{k} A(z^k) \right) \\ &= \exp \left( A(z) + \frac{A(z^2)}{2} + \frac{A(z^3)}{3} + \dots \right). \end{aligned}$$

The case of infinite class  $\mathcal{A}$  follows by a limit argument.

(7) Power set  $\text{PSET}(\mathcal{A})$  of a combinatorial class  $\mathcal{A}$  with  $\mathcal{A}_0 = \emptyset$  is the collection of all finite subsets of  $\mathcal{A}$  (without repetition).

For a finite set  $\mathcal{A}$ , we have

$$\text{PSET}(\mathcal{A}) = \prod_{\alpha \in \mathcal{A}} (\mathcal{E} + \{\alpha\}),$$

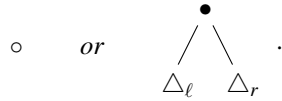
because the distributing the products in all possible ways forms all possible combinations of elements of  $\mathcal{A}$  (i.e. the sets of elements from  $\mathcal{A}$  without repetition). So, the OGF of  $\mathcal{C} = \text{PSET}(\mathcal{A})$  satisfies

$$\begin{aligned} C(z) &= \prod_{\alpha \in \mathcal{A}} (1 + z^{|\alpha|}) = \prod_{n \geq 1} (1 + z^n)^{a_n} = \exp\left(\sum_{n \geq 1} a_n \ln(1 + z^n)\right) \\ &= \exp\left(\sum_{n \geq 1} a_n \sum_{k \geq 1} (-1)^{k-1} \frac{z^{nk}}{k}\right) = \exp\left(\sum_{k \geq 1} \frac{(-1)^{k-1}}{k} A(z^k)\right) \\ &= \exp\left(A(z) - \frac{A(z^2)}{2} + \frac{A(z^3)}{3} \pm \dots\right). \end{aligned}$$

### Applications

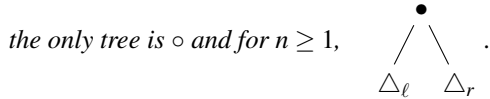
**Example 11.** A binary tree is a combinatorial structure that is recursively defined such that

- It is either a single external node  $\circ$ , or
- it consists of an internal node (the root  $\bullet$ ) and two binary trees attached to the root (left tree  $\Delta_\ell$  and right tree  $\Delta_r$ ),



Let  $\mathcal{B}$  denote the class of all binary trees, in which the size of a binary tree is defined as the number of internal nodes. Then  $\mathcal{B}$  is a combinatorial class. For  $n \geq 0$  we let  $\mathcal{B}_n$  denote the class of all binary trees of size  $n$  and let  $b_n := |\mathcal{B}_n|$ . (Note that if a binary tree has  $n$  internal nodes, then it has  $n + 1$  external nodes. Thus  $b_n$  counts the number of binary trees with  $n + 1$  external nodes.)

The sequence  $(b_n)_{n \in \mathbb{N} \cup \{0\}}$  begins with 1, 1, 2, 5, 14, 42, ... Let  $B(z) := \sum_{n=0}^\infty b_n z^n$  be the ordinary generating function of the combinatorial class  $\mathcal{B}$ . We have  $b_0 = 1$ , since



Thus its OGF satisfies

$$B(z) = 1 + zB(z)^2.$$

The solution for the quadratic equation

$$zB(z)^2 - B(z) + 1 = 0$$

is

$$B(z) = \frac{1 \pm \sqrt{1-4z}}{2z}.$$

Since  $b_n \geq 0$ ,  $B(z)$  increases along the real axis and therefore it increases. Thus the right solution of  $B(z)$  is

$$B(z) = \frac{1 - \sqrt{1-4z}}{2z}.$$

As in Example 10 we get for  $n \geq 1$ :

$$b_n = [z^n]B(z) = -\frac{1}{2}[z^{n+1}]\sqrt{1-4z} = \frac{1}{n+1} \binom{2n}{n}.$$

**Theorem 3** (Number of binary trees). *The number  $b_n$  of binary trees with  $n$  internal nodes (equiv.  $n+1$  external nodes) is given by the so-called Catalan number*

$$b_n = \frac{1}{n+1} \binom{2n}{n}.$$

**Remark 2.** *Using Stirling's formula*

$$n! = \left(1 + O\left(\frac{1}{n}\right)\right) \sqrt{2\pi n} \cdot \left(\frac{n}{e}\right)^n,$$

we can derive the asymptotic number of binary trees

$$b_n = \frac{1}{n+1} \binom{2n}{n} \sim \frac{1}{\sqrt{\pi}} 4^n n^{-3/2}.$$

**Example 12.** *Let us return to triangulations of convex polygons we saw in Example 10.*

*Let  $\mathcal{T}_n$  be the set of all triangulations of convex  $(n+2)$ -gons ( $n$  triangles) with one edge distinguished. Using the basic constructions described above we have*

$$\mathcal{T} = \bigsqcup_{n \geq 0} \mathcal{T}_n = \sum_{n \geq 0} \mathcal{T}_n, \quad \mathcal{T}_0 = \mathcal{E}, \quad \mathcal{T}_1 = \mathcal{Z}.$$

$\mathcal{T}_0$  contains only one edge,  $\mathcal{T}_1$  one triangle. By removing one edge of the convex  $(n+2)$ -gon, we end up with two separate convex triangulations (sharing one node), so

$$\mathcal{T} = \mathcal{E} + \mathcal{T} \times \mathcal{Z} \times \mathcal{T}$$

$$T(z) = 1 + zT^2(z),$$

among whose two solutions we choose

$$T(z) = \frac{1 - \sqrt{1 - 4z}}{2z},$$

because the coefficients of  $T(z)$  are non-negative.

As in the proof of Theorem 3,

$$t_n = [z^n]T(z) = -\frac{1}{2}[z^{n+1}]\sqrt{1-4z} = \dots = \frac{1}{n+1} \binom{2n}{n}.$$

This suggests a bijection between binary trees and triangulations, where each node corresponds to one triangle (or alternatively, the root node of a binary tree  $B$  corresponds to the distinguished edge of a triangulation  $T$ , and each internal node of  $B$  to a diagonal edge of  $T$ , and each external node to the external edges of  $T$  except the distinguished edge of  $T$ ).

**Example 13.** Let  $\mathcal{S}$  be the set of binary strings with no two consecutive 0 bits; for example,  $\emptyset, 0, 1, 01, 10, 11, 010, 011, \dots$

$$\mathcal{S} = \mathcal{E} + \{0\} + \{1\} \times \mathcal{S} + \{01\} \times \mathcal{S}.$$

From the theorem, we get

$$S(z) = 1 + z + zS(z) + z^2S(z)$$

and thus

$$S(z) = \frac{1+z}{1-z-z^2}.$$

**Exercise 3.** Let  $S(z)$  be as above.

- Find the closed solution form of  $s_n = |\mathcal{S}_n|$ .
- Find a recurrence of  $s_n$  that leads to  $S(z)$ .

## 1.4.2 Labelled combinatorial objects

A labelled combinatorial class  $\mathcal{A}$  is a combinatorial class, where each atom carries an integer label in such a way that all the labels occurring in an object of size  $n$  are distinct and the collection of its labells is the complete integer interval  $[n]$ .

Given a labelled combinatorial class  $\mathcal{A}$ , we consider the EGF

$$A(z) = \sum_{n \geq 0} a_n \frac{z^n}{n!} = \sum_{\alpha \in \mathcal{A}} \frac{z^{|\alpha|}}{|\alpha|!}.$$

### Basic constructions and EGFs

- (1) Neutral class:  $\mathcal{E}$ . The EGF of  $\mathcal{E}$  is 1.
- (2) Atomic class:  $\mathcal{Z}$ . The EGF of  $\mathcal{Z}$  is  $z$ .
- (3) Disjoint union:  $\mathcal{A} + \mathcal{B}$ . The EGF of  $\mathcal{A} + \mathcal{B}$  is  $A(z) + B(z)$ .
- (4) Labelled product  $\mathcal{A} * \mathcal{B}$  of two combinatorial classes  $\mathcal{A}$  and  $\mathcal{B}$  is defined as

$$\mathcal{A} * \mathcal{B} := \sum_{\alpha \in \mathcal{A}, \beta \in \mathcal{B}} \alpha * \beta.$$

Given  $\alpha \in \mathcal{A}, \beta \in \mathcal{B}$ , let  $\alpha * \beta$  denote the set of all pairs  $(\alpha', \beta')$  where the atoms of  $(\alpha', \beta')$  get distinct labels from  $[n]$  where  $n = |\alpha| + |\beta|$ , such that the labelling preserves the relative order of labels of  $\alpha, \beta$ . There are  $\binom{|\alpha|+|\beta|}{|\alpha|}$  possibilities for such labelling (choose which labels go to the first substructure, then the previous relative order determines which label belongs to which element). In other words, if  $\mathcal{C} = \mathcal{A} * \mathcal{B}$ ,  $C(z) = A(z) \cdot B(z)$ .

- (5) Labelled sequence  $\text{SEQ}(\mathcal{A})$  of a combinatorial class  $\mathcal{A}$  with  $\mathcal{A}_0 = \emptyset$  is defined as

$$\text{SEQ}(\mathcal{A}) = \mathcal{E} + \mathcal{A} + \mathcal{A} * \mathcal{A} + \dots = \sum_{k \geq 0} \text{SEQ}_k(\mathcal{A}),$$

where  $\text{SEQ}_k(\mathcal{A}) = \mathcal{A} * \dots * \mathcal{A}$  is the labelled product of  $k$  copies of  $\mathcal{A}$ . The EGF of  $\text{SEQ}(\mathcal{A})$  satisfies

$$1 + A(z) + A(z)^2 + A(z)^3 + \dots = \frac{1}{1 - A(z)}.$$

- (6) Set  $\text{SET}(\mathcal{A})$  of a combinatorial class  $\mathcal{A}$  with  $\mathcal{A}_0 = \emptyset$  is defined as

$$\text{SET}(\mathcal{A}) := \text{SEQ}(\mathcal{A}) / \mathcal{R},$$

where  $\mathcal{R}$  is an equivalence relation such that  $(\alpha_1, \dots, \alpha_n) \sim_{\mathcal{R}} (\beta_1, \dots, \beta_n)$  if there exists a permutation  $\sigma$  of  $[n]$  such that for each  $1 \leq i \leq n$ ,  $\beta_i = \alpha_{\sigma(i)}$ . The EGF of  $\text{SET}(\mathcal{A})$  satisfies

$$1 + A(z) + \frac{A(z)^2}{2!} + \frac{A(z)^3}{3!} + \dots = \exp(A(z)).$$

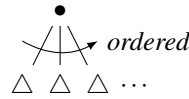
(7) Cycles  $\text{CYC}(\mathcal{A})$  of a combinatorial class  $\mathcal{A}$  with  $\mathcal{A}_0 = \emptyset$  is defined as

$$\text{CYC}(\mathcal{A}) := \text{SEQ}(\mathcal{A}) / \mathcal{S},$$

where  $\mathcal{S}$  is the equivalence relation such that  $(\alpha_1, \dots, \alpha_n) \sim_{\mathcal{S}} (\beta_1, \dots, \beta_n)$  if there exists a cyclic permutation  $\sigma$  of  $[n]$  such that for each  $1 \leq i \leq n$ ,  $\beta_i = \alpha_{\sigma(i)}$ . The EGF of  $\text{SET}(\mathcal{A})$  satisfies

$$1 + A(z) + \frac{A(z)^2}{2} + \frac{A(z)^3}{3} + \dots = \log \frac{1}{1 - A(z)}.$$

**Example 14** (Plane trees, ordered trees). *Plane trees are the trees embedded in the plane, so that subtrees attached to each vertex are ordered, say from left to right. There is a root vertex, implicitly defined; to a root vertex, subtrees are attached in a specified order.*



So,

$$\mathcal{P} = \mathcal{Z} * \text{SEQ}(\mathcal{P}).$$

The generating function is then

$$P(z) = z \cdot \frac{1}{1 - P(z)}.$$

This is a quadratic equation. As previously, since  $P(z)$  increases along the real axis, the negative solution is the correct one,

$$P(z) = \frac{1 - \sqrt{1 - 4z}}{2} = z \cdot B(z),$$

where  $B(z)$  is the OGF of binary trees.

Let  $p_n$  be the number of plane trees on  $n$  vertices, and  $b_n$  the number of binary trees on  $n + 1$  external nodes, so  $p_{n+1} = b_n$ .  $P(z) = zB(z)$  suggests that there is a combinatorial bijection between plane trees on  $n + 1$  vertices and binary trees on  $n + 1$  external nodes.

**Exercise 4.** Find such a bijection between plane trees and binary trees.

**Example 15** (2-regular graphs). Let  $\mathcal{R}$  be the set of all 2-regular labelled graphs, i.e. each vertex has exactly 2 neighbours. Note that connected 2-regular graphs are undirected cycles of length  $\geq 3$ .

$$\mathcal{R} = \text{SET}(\text{connected 2-regular graphs}) = \text{SET}(\text{UCYC}_{\geq 3}(\mathcal{Z})),$$



where UCYC is the undirected cyclic construction. Then, the generating function is

$$R(z) = \exp(\text{UCYC}_{\geq 3}(z)) = \frac{e^{-z/2 - z^2/4}}{\sqrt{1-z}},$$

since the generating function for  $\text{UCYC}_{\geq 3}(\mathcal{L})$  is  $\frac{1}{2} \left( \log\left(\frac{1}{1-z}\right) - z - \frac{z^2}{2} \right)$ .

How can we derive  $[z^n]R(z)$ ?

## 1.5 Analytic Methods

### 1.5.1 Analytic functions

**Definition 5.** Let  $\Omega \subset \mathbb{C}$  be a region (i.e. open and connected). A function  $f : \Omega \rightarrow \mathbb{C}$  is called complex differentiable at  $z_0 \in \Omega$ , if

$$\lim_{z \rightarrow z_0} \frac{f(z) - f(z_0)}{z - z_0}$$

exists. It is called holomorphic in  $\Omega$  if  $f$  is holomorphic for every point in  $\Omega$ .

**Definition 6.** Let  $\Omega \subset \mathbb{C}$  be a region. A function  $f : \Omega \rightarrow \mathbb{C}$  is called analytic at  $z_0 \in \Omega$  if  $\exists \varepsilon > 0$  such that  $\forall z \in B_\varepsilon(z_0)$ ,  $f(z)$  is representable by a *convergent* power series expansion around  $z_0$

$$f(z) = \sum_{n \geq 0} c_n (z - z_0)^n, \quad \text{for some } c_n \in \mathbb{C}.$$

It is called analytic in  $\Omega$  if  $f$  is analytic for every point in  $\Omega$ .

**Theorem 4.** Let  $\Omega \subset \mathbb{C}$  be a region. A function  $f : \Omega \rightarrow \mathbb{C}$  is holomorphic in  $\Omega$  iff it is analytic in  $\Omega$ .

**Definition 7.** Let  $\Omega \subset \mathbb{C}$  be a region and  $f : \Omega \rightarrow \mathbb{C}$  be holomorphic at  $z_0 \in \Omega$ . Set  $f^{(0)}(z_0) := f(z_0)$ . Then the power series

$$f(z) = f(z_0) + f'(z_0)z + \frac{f''(z_0)}{2!}z^2 + \dots = \sum_{n \geq 0} \frac{f^{(n)}(z_0)}{n!}z^n$$

is called the Taylor series expansion of  $f(z)$  around  $z_0$ .

**Exercise 5.** Find the maximum regions, in which the functions  $z \mapsto e^z$ ,  $z \mapsto \frac{1}{1-z}$ ,  $z \mapsto (1+z)^m$  (for fixed  $m \in \mathbb{N}$ ) are analytic. Show that their Taylor series expansions around the origin are given by

$$e^z = \sum_{n \geq 0} \frac{z^n}{n!}, \quad \frac{1}{1-z} = \sum_{n \geq 0} z^n, \quad (1+z)^m = \sum_{n \geq 0} \binom{m}{n} z^n.$$

**Exercise 6.** Find the Taylor series expansions of

$$\frac{1}{\sqrt{1-4z}}, \quad \sin z, \quad \cos z, \quad z \cdot e^z, \quad \ln z, \quad \frac{1}{1-z} \ln \frac{1}{1-z}, \quad \frac{1}{\sqrt{1-z}} \ln \frac{1}{1-z}$$

around the origin and find the maximum regions where these power series converge.

**Exercise 7.** Prove

$$\sum_{n \geq m} \binom{n}{m} z^n = \frac{z^m}{(1-z)^{m+1}}.$$

**Exercise 8.** Prove

$$\sum_{n \geq 0} H_n z^n = \frac{1}{1-z} \ln \frac{1}{1-z}.$$

**Exercise 9.** Find OGF for each of the following sequences:

$$\{2^{n+1}\}_{n \geq 0}, \quad \{n2^{n+1}\}_{n \geq 0}, \quad \{nH_n\}_{n \geq 1}, \quad \{n^3\}_{n \geq 2}.$$

### 1.5.2 Cauchy's coefficient formula

**Theorem 5** (Cauchy's coefficient formula, 1st version). Let  $\Omega \subset \mathbb{C}$  be a region,  $f$  be analytic in  $\Omega$  and  $\gamma$  be a simple loop in  $\Omega$ . Then

$$\int_{\gamma} f = 0.$$

**Theorem 6** (Cauchy's coefficient formula, 2nd version). Let  $\Omega \subset \mathbb{C}$  be a region and  $f$  be analytic in  $\Omega$ . Let  $z_0 \in \Omega$  and  $\gamma$  be a simple loop encircling  $z_0$  such that the image of  $\gamma$  is contained in  $\Omega$ . Then

$$f(z_0) = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{z - z_0} dz.$$

In general, for  $n \geq 1$

$$\frac{f^{(n)}(z_0)}{n!} = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{(z - z_0)^{n+1}} dz.$$

### 1.5.3 Lagrange Inversion Theorem

**Theorem 7** (Lagrange Inversion, 1st version). Let  $\phi(u) = \sum_{k \geq 0} \phi_k u^k$  be a power series in  $\mathbb{C}[[u]]$  with  $\phi_0 \neq 0$ . Then the equation

$$A = z\phi(A)$$

admits a unique solution in  $\mathbb{C}[[z]]$  and the coefficients of

$$A(z) = \sum_{n \geq 0} a_n z^n$$

are given by

$$a_n = \frac{1}{n} [u^{n-1}] \phi(u)^n.$$

Furthermore, for any function  $H$ ,

$$[z^n] H(A(z)) = \frac{1}{n} [u^{n-1}] (H'(u) \phi(u)^n).$$

In particular, for  $k \geq 1$ ,

$$[z^n] A(z)^k = \frac{k}{n} [u^{n-k}] \phi(u)^n.$$

**Theorem 8** (Lagrange Inversion, 2nd version). *Let the generating function*

$$A(z) = \sum_{n \geq 0} a_n z^n$$

*satisfy the functional equation*

$$z = \psi(A(z)),$$

*where  $\psi(0) = 0$  but  $\psi'(0) \neq 0$  (i.e.  $\psi$  is the compositional inverse of  $A$ ).*

*If*

$$\psi(A) = \frac{A}{\phi(A)},$$

*i.e.  $A = \psi(A) \cdot \phi(A) = z\phi(A)$ , then*

$$a_n = \frac{1}{n} [z^{n-1}] \phi(z)^n = \frac{1}{n} [z^{n-1}] \left( \frac{z}{\psi(z)} \right)^n.$$

### Application of Lagrange Inversion to Binary Trees

Let  $\tilde{\mathcal{B}}$  denote the class of all binary trees, in which the size of a binary tree is defined as the total number of all vertices (internal nodes and leaves alike). For  $n \geq 1$  we let  $\tilde{\mathcal{B}}_n$  denote the class of all binary trees of size  $n$  and let  $\tilde{b}_n := |\tilde{\mathcal{B}}_n|$  and set  $\tilde{b}_0 = 0$ .

Let  $\tilde{B}(z)$  be the ordinary generating function of the combinatorial class  $\tilde{\mathcal{B}}$ . Then

$$\tilde{B}(z) = z + z \tilde{B}(z)^2 \tag{1.9}$$

Let  $\tilde{B} = \tilde{B}(z)$  and  $\phi(u) := 1 + u^2$ . Then (1.9) can be rewritten as

$$\tilde{B} = z \phi(\tilde{B}) \tag{1.10}$$

Note that  $\phi(u) := 1 + u^2 = \sum_{k=0}^{\infty} \phi_k u^k$  is a formal power series in the ring  $\mathbb{C}[[u]]$  with  $\phi_0 = 1 \neq 0$ . Therefore by Lagrange Inversion Theorem, the equation (1.12) admits a unique solution in the ring  $\mathbb{C}[[u]]$  whose coefficients are given by  $\tilde{B}(z) := \sum_{n=0}^{\infty} \tilde{b}_n z^n$ , where

$$\begin{aligned} \tilde{b}_n &= \frac{1}{n} [u^{n-1}] \phi(u)^n \\ &= \frac{1}{n} [u^{n-1}] (1 + u^2)^n \\ &= \frac{1}{n} [u^{n-1}] \left( \sum_{k=0}^n \binom{n}{k} u^{2k} \right) \\ &= \begin{cases} 0 & \text{if } n \text{ is even} \\ \frac{1}{n} \binom{n}{\frac{n-1}{2}} & \text{if } n \text{ is odd.} \end{cases} \end{aligned}$$

In other words, we have, for any  $n \in \mathbb{N}$ ,

$$\tilde{b}_{2n+1} = \frac{1}{2n+1} \binom{2n+1}{n} = \frac{1}{n+1} \binom{2n}{n}.$$

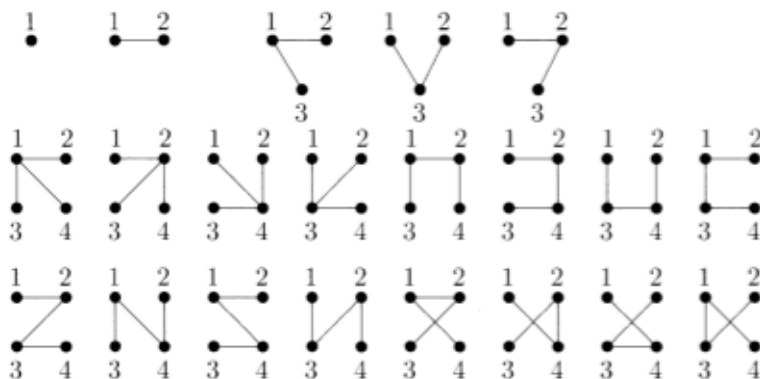
**Remark 3.** Recall that  $b_n$  was defined in Theorem 3 as the number of binary trees of size  $n$ , in which the size of a binary tree is defined as the number of internal nodes. If a binary tree has  $n$  internal nodes, then it has  $n + 1$  external nodes and therefore  $2n + 1$  vertices in total. Thus we have  $b_{2n+1} = b_n$ .

### Application of Lagrange Inversion to Labelled Trees

**Definition 8.** A tree is a connected graph without cycles.

- A labelled tree (also called Cayley tree) is a tree whose vertices are distinctly labelled by numbers in  $\mathbb{N}$ . The vertices of a Cayley tree on  $n$  vertices are labelled by distinct numbers from  $[n]$ .
- A rooted labelled tree on  $n$  vertices is a labelled tree on  $n$  vertices, in which one vertex is distinguished by a mark from the other vertices.

Let  $\mathcal{C}$  denote the class of all labelled trees, in which the size of a tree is defined as the number of vertices, and let  $\mathcal{C}_n$  denote the class of all Cayley tree on  $n$  vertices. Then  $\mathcal{C}$  is a combinatorial class. For  $n \geq 1$  we let  $c_n := |\mathcal{C}_n|$  and set  $c_0 = 0$ . Let  $C(z) := \sum_{n=0}^{\infty} c_n \frac{z^n}{n!}$  be the exponential generating function of the combinatorial class  $\mathcal{C}$ . The sequence  $(c_n)_{n \geq 0}$  begins with  $0, 1, 1, 3, 16, 125 \dots$

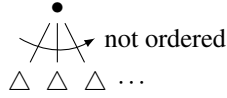


**Theorem 9** (Cayley’s formula (Cayley 1889)).

$$c_n = n^{n-2}, \quad n \geq 2.$$

*Proof.* Let  $\mathcal{T}$  denote the class of all rooted labelled trees (i.e. the set of all Cayley trees in  $\mathcal{C}$ , in which one vertex is distinguished by a mark from the other vertices) and let  $\mathcal{T}_n$  denote the class of all rooted labelled tree on  $n$  vertices. For  $n \geq 1$  we let  $t_n := |\mathcal{T}_n|$  and set  $t_0 = 0$ . Because there are  $n$  ways to choose a root vertex of a labelled tree on  $n$  vertices, the number of Cayley trees on  $n$  vertices is equal to  $t_n = n c_n$ .

Let  $T(z) := \sum_{n=0}^{\infty} t_n \frac{z^n}{n!}$  be the exponential generating function of the combinatorial class  $\mathcal{T}$ .



$$\mathcal{T} = \mathcal{L} * \text{SET}(\mathcal{T}).$$

Its EGF

$$T(z) = z \exp(T(z)). \tag{1.11}$$

So  $T(z)$  is implicitly defined.

Let  $T = T(z)$  and  $\phi(u) := \exp(u) = \sum_{k \geq 0} \frac{u^k}{k!}$ . Then (1.11) can be rewritten as

$$T = z \phi(T) \tag{1.12}$$

Note that  $\phi(u) := \exp(u) = \sum_{k=0}^{\infty} \phi_k u^k$  is a formal power series in the ring  $\mathbb{C}[[u]]$  with  $\phi_0 = 1 \neq 0$ . Therefore by Lagrange Inversion Theorem, the equation (1.12) admits a unique solution in the ring  $\mathbb{C}[[u]]$  whose coefficients are given by  $T(z) = \sum_{n=0}^{\infty} t_n z^n$ , where

$$\begin{aligned} t_n &= \frac{1}{n} [u^{n-1}] \phi(u)^n = \frac{1}{n} [u^{n-1}] (\exp(u))^n = \frac{1}{n} [u^{n-1}] \exp(un) \\ &= \frac{1}{n} [u^{n-1}] \left( \sum_{k=0}^{\infty} \frac{(un)^k}{k!} \right) = \frac{1}{n} \frac{n^{n-1}}{(n-1)!} = \frac{n^{n-1}}{n!}. \end{aligned}$$

In other words, for any  $n \in \mathbb{N}$ ,

$$t_n = n^{n-1}.$$

Therefore, we have

$$c_n = \frac{t_n}{n} = n^{n-2}.$$

□

**Remark 4.** *There are several interesting proofs for this in The Book by M. Aigner and G. Ziegler. We shall see one proof in Part III, Section 2.3.4.*

### 1.5.4 Singularities

**Definition 9.** Let  $f$  be an analytic function in a region  $\Omega$  and  $z_0$  be a point on the boundary of  $\Omega$ .

We say  $f$  is analytically continuable at  $z_0$  if there is an analytic function  $g$  defined in a region  $\Omega'$  containing  $z_0$  such that  $g(z) = f(z)$  in  $\Omega \cap \Omega'$ .

**Example 16.**  $f(z) = \frac{1}{1-z}$  is analytic for  $|z| < 1$ , and is analytically continuable except for  $z_0 = 1$ .

**Definition 10.** A function  $f$  is said to be singular at  $z_0 \in \Omega$  or  $z_0$  is called a singularity of  $f$  if  $f$  is not analytically continuable at  $z_0$ .

**Theorem 10** (Boundary singularities). *Let  $f$  be analytic at the origin and let  $R$  be the finite radius of convergence of a power series expansion of  $f$  at the origin. Then  $f$  has necessarily a singularity on the boundary of the disc of convergence.*

**Theorem 11** (Pringsheim's Theorem). *Let  $f$  be analytic at the origin and  $f(z) = \sum_{n \geq 0} f_n z^n$  be its convergence power series expansion at the origin with the radius of convergence  $R$ . If  $f_n \geq 0, \forall n \geq 0$ , then the point  $z = R$  is a singularity of  $f$ ; in this case we call  $z = R$  the dominant singularity of  $f$ .*

**Remark 5.** *The radius  $R$  of convergence of  $\sum f_n z^n$  is*

$$R = \frac{1}{\limsup_{n \rightarrow \infty} |f_n|^{\frac{1}{n}}},$$

so we have

$$f_n = R^{-n} \theta(n),$$

where

$$\limsup_{n \rightarrow \infty} |\theta(n)|^{\frac{1}{n}} = 1.$$

### 1.5.5 Meromorphic functions

**Definition 11.** A function  $f(z)$  is meromorphic at  $z_0$  if  $\forall z$  in a neighbourhood  $B_\varepsilon(z_0)$  of  $z_0$  with  $z \neq z_0$ , it can be represented as

$$f(z) = \frac{h(z)}{g(z)},$$

where  $h(z)$  and  $g(z)$  are analytic at  $z_0$ . In this case  $f(z)$  admits an expansion of the form

$$f(z) = \sum_{n \geq -M} f_n (z - z_0)^n$$

for  $z \in B_\varepsilon(z_0)$ . If  $f_{-M} \neq 0, M \geq 1$ , we say  $f(z)$  has a pole of order  $M$  at  $z_0$ . In this case, we have

$$\begin{aligned} f(z) &= f_{-M} (z - z_0)^{-M} + O((z - z_0)^{-M+1}) \\ &= f_{-M} (-z_0)^{-M} \left(1 - \frac{z}{z_0}\right)^{-M} + O\left(\left(1 - \frac{z}{z_0}\right)^{-M+1}\right). \end{aligned}$$

**Remark 6.** *Scaling rule: If  $f(z)$  is singular at  $z_0$ , then  $g(z) = f(z_0 z)$  is singular at 1. If  $z_0 > 0$  is the dominant singularity of  $f(z)$ , then  $f(z)$  admits a convergent series expansion*

$$f(z) = \sum_{n \geq 0} f_n z^n, \quad |z| < z_0.$$

Therefore,  $g(z)$  admits a convergent series expansion

$$g(z) = \sum_{n \geq 0} g_n z^n, \quad |z| < 1, \quad g_n = f_n z_0^n.$$

### 1.5.6 Newton's generalised binomial theorem

For any  $\alpha \in \mathbb{C}$ ,

$$[z^n](1-z)^{-\alpha} = \binom{-\alpha}{n} \stackrel{?}{=} \binom{n+\alpha-1}{\alpha-1} \stackrel{?}{=} \Theta(n^{\alpha-1}).$$

**Theorem 12.** Let  $\alpha \in \mathbb{C} \setminus \mathbb{Z}_{\leq 0}$ .

$$[z^n](1-z)^{-\alpha} = \frac{n^{\alpha-1}}{\Gamma(\alpha)} \left( 1 + O\left(\frac{1}{n}\right) \right),$$

where the  $\Gamma$ -function is defined as

$$\Gamma(\alpha) = \int_0^\infty e^{-t} t^{\alpha-1} dt.$$

#### Properties of the Gamma function

$$\Gamma\left(\frac{1}{2}\right) = \int_0^\infty e^{-t} t^{-\frac{1}{2}} dt = \sqrt{\pi}.$$

$$\begin{cases} \Gamma(t+1) = t\Gamma(t) \\ \Gamma(1) = 1. \end{cases}$$

For  $n \in \mathbb{N}$ ,

$$\Gamma(n+1) = n\Gamma(n) = \dots = n!.$$

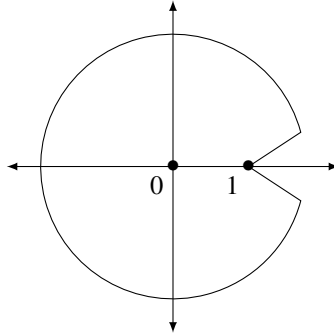
### 1.5.7 Transfer theorem

**Definition 12.** Given two numbers  $R, \phi$  with  $R > 1$  and  $0 < \phi < \frac{\pi}{2}$ , then the set

$$\Delta = \Delta(R, \phi) = \{z \mid |z| < R, z \neq 1, |\arg(z-1)| > \phi\}$$

is called  $\Delta$ -domain at 1.

A function  $f$  is called  $\Delta$ -analytic at 1 if it is analytic at some  $\Delta$ -domain at 1.





**Theorem 13** (Transfer theorem). *Let  $\alpha \in \mathbb{C} \setminus \mathbb{Z}_{\leq 0}$  and  $f(z)$  is  $\Delta$ -analytic at 1. If  $f(z) = O((1-z)^{-\alpha})$ , then*

$$[z^n]f(z) = O(n^{\alpha-1}).$$

*If  $f(z) = o((1-z)^{-\alpha})$ , then*

$$[z^n]f(z) = o(n^{\alpha-1}).$$

*Therefore, if  $f(z) = (1-z)^{-\alpha} + o((1-z)^{-\alpha})$ , then*

$$[z^n]f(z) = \frac{n^{\alpha-1}}{\Gamma(\alpha)} \left( 1 + O\left(\frac{1}{n}\right) \right) + o(n^{\alpha-1}).$$

**Example 17** (2-regular graphs). *Consider the EGF of 2-regular graphs,*

$$\mathcal{G} = \text{SET}(\text{UCYC}_{\geq 3} \mathcal{Z}^e).$$

*Then*

$$G(z) = \exp\left(\frac{1}{2} \left( \log\left(\frac{1}{1-z}\right) - z - \frac{z^2}{2} \right)\right) = \frac{1}{\sqrt{1-z}} e^{-\frac{z}{2} - \frac{z^2}{4}}.$$

$\frac{1}{\sqrt{1-z}}$  is analytic in  $\mathbb{C} \setminus [1, \infty)$ .  $G(z)$  is in particular  $\Delta$ -analytic at 1.

*To derive the singular expansion of  $G$  near 1, we use Taylor expansion of  $e^{-\frac{z}{2} - \frac{z^2}{4}}$  at 1.*

$$\begin{aligned} h(z) &= e^{-\frac{z}{2} - \frac{z^2}{4}} = h(1) + h'(1)(z-1) + \frac{h''(1)}{2}(z-1)^2 + \dots \\ &= e^{-\frac{3}{4}} + e^{-\frac{3}{4}}(1-z) + e^{-\frac{3}{4}}(z-1)^2 + \dots \end{aligned}$$

*The singular expansion of  $G(z)$  near 1 is*

$$\begin{aligned} G(z) &= \frac{e^{-\frac{3}{4}}}{\sqrt{1-z}} + e^{-\frac{3}{4}}(1-z)^{\frac{1}{2}} + e^{-\frac{3}{4}}(1-z)^{\frac{3}{2}} + \dots \\ &= e^{-\frac{3}{4}}(1-z)^{-\frac{1}{2}} + o\left((1-z)^{-\frac{1}{2}}\right). \end{aligned} \quad (z \rightarrow 1)$$

*Using the transfer theorem, we get*

$$[z^n]G(z) = e^{-\frac{3}{4}} \frac{n^{-\frac{1}{2}}}{\Gamma\left(\frac{1}{2}\right)} \left( 1 + O\left(\frac{1}{n}\right) \right) + o\left(n^{-\frac{1}{2}}\right) = \frac{e^{-\frac{3}{4}}}{\sqrt{n\pi}} (1 + o(1)).$$

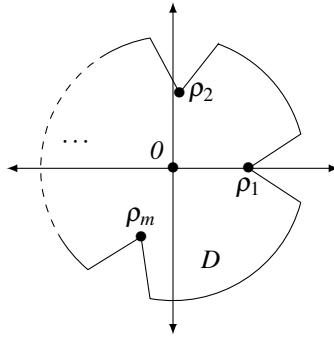
*The first term corresponds to  $h(1)$ , the second is from the theorem.*

### 1.5.8 Multiple singularities

**Theorem 14.** Let  $f(z)$  be analytic in  $|z| < \rho$ . Suppose that  $f$  has a finite number of singularities on the circle  $|z| = \rho$ , at points  $\rho_j = \rho \cdot e^{i\theta_j}$  for  $j = 1, \dots, m$ . Assume there exists a  $\Delta$ -domain  $\Delta_0$  such that  $f(z)$  is analytic in the region

$$D = \bigcap_{j=1}^m \rho_j \Delta_0,$$

where  $\rho_j \Delta_0$  is the dilation (rotation) of the  $\Delta_0$ -domains for each  $\rho_j$ .



Let

$$S = \{(1-z)^{-\alpha} \mid \alpha \in \mathbb{C} \setminus \mathbb{Z}_{\geq 0}\}.$$

Assume there exists  $m$  functions  $g_1(z), \dots, g_m(z)$  and each is a linear combination of the functions in  $S$ , and there exists a function  $h(z) = (1-z)^{-\alpha_0}$  such that

$$f(z) = g_j\left(\frac{z}{\rho_j}\right) + O\left(h\left(\frac{z}{\rho_j}\right)\right) \quad \text{as } z \rightarrow \rho_j \text{ in } D.$$

Then

$$\begin{aligned} [z^n]f(z) &= \sum_{j=1}^m \left( [z^n]g_j\left(\frac{z}{\rho_j}\right) + O\left([z^n]h\left(\frac{z}{\rho_j}\right)\right) \right) \\ &= \sum_{j=1}^m \rho_j^{-n} [z^n]g_j(z) + O\left(\rho_j^{-n} n^{\alpha_0-1}\right). \end{aligned}$$

**Remark 7.**

$$[z^n]g_j(z) = [z^n] \sum_{k=1}^{\ell} (1-z)^{-\alpha_{j,k}} = \sum_{k=1}^{\ell} \frac{n^{\alpha_{j,k}-1}}{\Gamma(\alpha_{j,k})}.$$

**Remark 8** (Recipe for singularity analysis).

- Find the grammar that determines the class and the corresponding generating function
- Locate the singularities

- Check the  $\Delta$ -analyticity of the generating function
- Singular expansion at each singularity separately
- Extract and sum the asymptotics using the Transfer Theorem and basic scaling.

**Example 18.** Let  $\mathcal{P}$  be the class of permutations with cycles of odd length. Then

$$\begin{aligned}\mathcal{P} &= \text{SET}(\mathcal{A}(\mathcal{L})), \\ \mathcal{A}(\mathcal{L}) &= \text{CYC}_{\text{odd}}(\mathcal{L}).\end{aligned}$$

For the generating functions, this means

$$\begin{aligned}A(z) &= \sum_{k=\text{odd}} \frac{z^k}{k} = \frac{1}{2} \log \frac{1+z}{1-z}, \\ P(z) &= e^{A(z)} = \exp\left(\frac{1}{2} \log \frac{1+z}{1-z}\right) = \sqrt{\frac{1+z}{1-z}}.\end{aligned}$$

The dominating singularities of this function are  $\{\pm 1\}$ .

$P(z)$  is  $\Delta$ -analytic in the region  $D = \Delta_0 \cap (-1)\Delta_0$  since  $\sqrt{1-z}$  is analytic in  $\mathbb{C} \setminus [1, \infty)$  and  $\sqrt{1+z}$  is analytic in  $\mathbb{C} \setminus (-\infty, -1]$ .

Singular expansions:

$$\begin{aligned}P^+(z) &= \frac{g(1)}{\sqrt{1-z}} + \frac{g'(1)(z-1)}{\sqrt{1-z}} + \dots && (z \rightarrow +1 \text{ in } D) \\ &= \frac{\sqrt{2}}{\sqrt{1-z}} - 2^{-\frac{3}{2}}\sqrt{1-z} + O\left((1-z)^{\frac{3}{2}}\right) \\ P^-(z) &= \frac{1}{\sqrt{2}}\sqrt{1+z} + O\left((1+z)^{\frac{3}{2}}\right). && (z \rightarrow -1 \text{ in } D)\end{aligned}$$

Extract asymptotics:

$$\begin{aligned}[z^n]P(z) &= \frac{2^{\frac{1}{2}}}{\Gamma(\frac{1}{2})}n^{-\frac{1}{2}} - \frac{2^{-\frac{3}{2}}}{\Gamma(-\frac{1}{2})}n^{-\frac{3}{2}} + O\left(n^{-\frac{5}{2}}\right) \\ &\quad + \frac{2^{-\frac{1}{2}}}{\Gamma(\frac{1}{2})}n^{-\frac{3}{2}} + O\left(n^{-\frac{5}{2}}\right)\end{aligned}$$

where  $\Gamma(\frac{1}{2}) = \sqrt{\pi}$ ,  $\Gamma(-\frac{1}{2}) = -2\sqrt{\pi}$ .

## **Chapter 2**

# **Graph Theory**

(hand-written notes to be typed in LaTeX)

## **2.1 Matchings, Eulerian Tour and Hamiltonian Cycles**

### **2.1.1 Basic Terminologies**

### **2.1.2 Matchings**

### **2.1.3 Eulerian Tours**

### **2.1.4 Hamiltonian Cycles**

## **2.2 Graph Decompositions**

### **2.2.1 Connected Graphs**

### **2.2.2 2-Connected Graphs**

### **2.2.3 3-Connected Graphs**

## **2.3 Planar Graphs and Trees**

### **2.3.1 Embedding of Graphs in the Plane**

### **2.3.2 Euler's Formula and its Applications**

### **2.3.3 Properties of Trees**

### **2.3.4 Prüfer Code**

## **2.4 Stochastic Aspects – Random Graphs**

### **2.4.1 Binomial Random Graphs**

### **2.4.2 Useful Inequalities**

### **2.4.3 Ramsey Number and First Moment Method**

### **2.4.4 Independence Number and Deletion Method**

### **2.4.5 Subgraphs and Second Moment Method**



**Part II**

**Lectures by Karin Baur**

## **Chapter 3**

# **Multilinear Algebra**

## **Chapter 4**

# **Rings and Moduls**