

Well-behaved separating algebras

Emilie Dufresne

Ruprecht-Karls-Universität Heidelberg

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- \mathbf{k} is a field,
- G a finite group,
- V a n -dimensional representation of G over \mathbf{k} .

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- \mathbf{k} is a field,
- G a finite group,
- V a n -dimensional representation of G over \mathbf{k} .
- $\mathbf{k}[V]$ is the symmetric algebra on the vector space dual V^* of V .

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- \mathbf{k} is a field,
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- $\mathbf{k}[V]$ is the symmetric algebra on the vector space dual V^* of V .

If x_1, x_2, \dots, x_n is a basis for V^* , then

$$\mathbf{k}[V] = \mathbf{k}[x_1, \dots, x_n],$$

the polynomial ring in x_1, \dots, x_n .

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the polynomial ring in x_1, \dots, x_n .

- G acts on $\mathbf{k}[V]$ via $(\sigma \cdot f)(v) = f(\sigma^{-1} \cdot v)$.

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the polynomial ring in x_1, \dots, x_n .

- G acts on $\mathbf{k}[V]$ via $(\sigma \cdot f)(v) = f(\sigma^{-1} \cdot v)$.
- Finally, $\mathbf{k}[V]^G$ is the subring of $\mathbf{k}[V]$ fixed by the action of G .

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■ Let V be a 2-dimensional vector space over \mathbb{C} .

■ Let $C_4 = \langle \sigma \rangle$, the cyclic group of order 4, act on V via:

$$\sigma \mapsto \begin{pmatrix} i & 0 \\ 0 & i \end{pmatrix}.$$

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■ $\sigma \cdot x = i^{-1}x = -ix$, and $\sigma \cdot y = i^{-1}y = -iy$, thus

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$$\sigma \mapsto \begin{pmatrix} i & 0 \\ 0 & i \end{pmatrix}.$$

■ If x, y is the basis of V^* dual to the usual basis of V , then

$$\mathbf{k}[V] = \mathbf{k}[x, y],$$

■ $\sigma \cdot x = i^{-1}x = -ix$, and $\sigma \cdot y = i^{-1}y = -iy$, thus

■ $\mathbf{k}[V]^G = \mathbf{k}[x^4, x^3y, x^2y^2, xy^3, y^4]$.

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- Invariants take the same value on all elements belonging to the same orbit.

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- Invariants take the same value on all elements belonging to the same orbit.
- If there is an $f \in \mathbf{k}[V]^G$ such that $f(u) \neq f(v)$, then
 - u and v belong to distinct orbits,

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 - u and v belong to distinct orbits,
 - f *separates* u and v .

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 - u and v belong to distinct orbits,
 - f *separates* u and v .

Definition 1 (Derksen and Kemper (2002)). A subset $E \subset \mathbf{k}[V]^G$ is a *separating set* if and only if for all $u, v \in V$,

- if there is an $f \in \mathbf{k}[V]^G$ such that $f(u) \neq f(v)$, then there is an $h \in E$ such that $h(u) \neq h(v)$.

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- if there is an $f \in \mathbf{k}[V]^G$ such that $f(u) \neq f(v)$, then there is an $h \in E$ such that $h(u) \neq h(v)$.

A subalgebra $A \subset \mathbf{k}[V]^G$ satisfying this condition is a *separating algebra*.

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The ring of invariants $\mathbb{C}[x, y]^{C_4}$ is minimally generated by

$$x^4, x^3y, x^2y^2, xy^3, y^4.$$

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The ring of invariants $\mathbb{C}[x, y]^{C_4}$ is minimally generated by

$$x^4, x^3y, x^2y^2, xy^3, y^4.$$

For points such that $x^4(u) = 0$, we know that $x^2y^2(u) = xy^3(u) = 0$.

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The ring of invariants $\mathbb{C}[x, y]^{C_4}$ is minimally generated by

$$x^4, x^3y, x^2y^2, xy^3, y^4.$$

For points such that $x^4(u) = 0$, we know that $x^2y^2(u) = xy^3(u) = 0$.
For all others,

$$x^2y^2(u) = \frac{(x^3y(u))^2}{x^4(u)} \text{ and } xy^3(u) = \frac{(x^3y(u))^3}{(x^4)^2(u)}.$$

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Thus, as functions, x^2y^2 and xy^3 are entirely determined by x^4, x^3y, y^4 ,
and they are not needed to separate.

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and they are not needed to separate.

■ Hence, $S = \{x^4, x^3y, y^4\}$ is a separating set.

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Thus, as functions, x^2y^2 and xy^3 are entirely determined by x^4, x^3y, y^4 ,
and they are not needed to separate.

- Hence, $S = \{x^4, x^3y, y^4\}$ is a separating set.
- $A = \mathbb{C}[x^4, x^3y, y^4]$ is a separating algebra.

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- Finite separating sets **always** exist. (Derksen and Kemper (2002))

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- Finite separating sets **always** exist. (Derksen and Kemper (2002))
- The polarization of separating invariants yields separating invariants. (Domokos (2007), Draisma, Kemper, and Wehlau (2008))

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- Finite separating sets **always** exist. (Derksen and Kemper (2002))
- The polarization of separating invariants yields separating invariants. (Domokos (2007), Draisma, Kemper, and Wehlau (2008))
- For G finite, the invariants of degree at most $|G|$ form a separating set. (Derksen and Kemper (2002))

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- If G is reductive and A is a graded separating subalgebra, then the extension $A \subset \mathbf{k}[V]^G$ is finite and $\mathbf{k}[V]^G$ is the normalization of the purely inseparable closure of A in $\mathbf{k}[V]$. (Derksen and Kemper (2002))

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- If G is reductive, \mathbf{k} is a field of positive characteristic, and A is a graded subalgebra, then A is a separating subalgebra if and only if $\mathbf{k}[V]^G$ is the purely inseparable closure of A in $\mathbf{k}[V]$. (Derksen and Kemper (2008))

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- If G is reductive and A is a graded separating subalgebra, then the extension $A \subset \mathbf{k}[V]^G$ is finite and $\mathbf{k}[V]^G$ is the normalization of the purely inseparable closure of A in $\mathbf{k}[V]$. (Derksen and Kemper (2002))
- If G is reductive, \mathbf{k} is a field of positive characteristic, and A is a graded subalgebra, then A is a separating subalgebra if and only if $\mathbf{k}[V]^G$ is the purely inseparable closure of A in $\mathbf{k}[V]$. (Derksen and Kemper (2008))
- For reductive groups, separating algebras are easier to compute than the ring of invariants, and the close relationship means that we can obtain generators for the ring of invariants from a separating set. (Kemper (2003))

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The notion of separating set is not stable over extension of the base field, and the properties of separating algebras are not very uniform over non-algebraically closed fields.

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The notion of separating set is not stable over extension of the base field, and the properties of separating algebras are not very uniform over non-algebraically closed fields.

- Let \bar{k} be an algebraic closure of k ,
- and let $\bar{V} = V \otimes_k \bar{k}$.

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The notion of separating set is not stable over extension of the base field, and the properties of separating algebras are not very uniform over non-algebraically closed fields.

- Let \bar{k} be an algebraic closure of k ,
- and let $\bar{V} = V \otimes_k \bar{k}$.

Definition 2. A subalgebra $A \subset \mathbf{k}[V]^G$ is a *geometric separating algebra* if and only if, for all $u, v \in \bar{V}$, if there is an $f \in \mathbf{k}[V]^G$ such that $f(u) \neq f(v)$, then there is an $h \in A$ such that $h(u) \neq h(v)$.

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Theorem 3. *A subalgebra $A \subset \mathbf{k}[V]^G$ is a geometric separating algebra if and only if the following equivalent properties hold:*

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Theorem 3. *A subalgebra $A \subset \mathbf{k}[V]^G$ is a geometric separating algebra if and only if the following equivalent properties hold:*

- *if $V = \text{Spec}(\mathbf{k}[V])$, $V//G = \text{Spec}(\mathbf{k}[V]^G)$, and $W = \text{Spec}(A)$,
then*

$$(V \times_W V)_{\text{red}} = (V \times_{V//G} V)_{\text{red}} = \mathcal{S};$$

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- *if $V = \text{Spec}(\mathbf{k}[V])$, $V//G = \text{Spec}(\mathbf{k}[V]^G)$, and $W = \text{Spec}(A)$, then*

$$(V \times_W V)_{\text{red}} = (V \times_{V//G} V)_{\text{red}} = \mathcal{S};$$

- *if $\delta : \mathbf{k}[V] \rightarrow \mathbf{k}[V] \otimes \mathbf{k}[V]$ sends f to $f \otimes 1 - 1 \otimes f$, then*

$$\sqrt{(\delta(A))} = \sqrt{(\delta(\mathbf{k}[V]^G))}.$$

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- *if $\delta : \mathbf{k}[V] \rightarrow \mathbf{k}[V] \otimes \mathbf{k}[V]$ sends f to $f \otimes 1 - 1 \otimes f$, then*

$$\sqrt{(\delta(A))} = \sqrt{(\delta(\mathbf{k}[V]^G))}.$$

Theorem 4. *Suppose G is reductive. A subalgebra $A \subset \mathbf{k}[V]^G$ is a geometric separating algebra if and only if the morphism of schemes $\theta : V//G \rightarrow W$ is radicial, that is, if the corresponding morphism of \mathbb{F} -points is injective for all fields \mathbb{F} .*

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Theorem 5. *If there is a polynomial geometric separating algebra, then the action of G on V is generated by reflections.*

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Theorem 5. *If there is a polynomial geometric separating algebra, then the action of G on V is generated by reflections.*

Definition 6. An element σ of G is a *reflection* if it fixes a subspace of codimension 1 in V .

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Theorem 5. *If there is a polynomial geometric separating algebra, then the action of G on V is generated by reflections.*

Definition 6. An element σ of G is a **reflection** if it fixes a subspace of codimension 1 in V .

Theorem 7 (Serre (1969)). *If $\mathbf{k}[V]^G$ is a polynomial ring, then the action of G on V is generated by reflections.*

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Theorem 5. *If there is a polynomial geometric separating algebra, then the action of G on V is generated by reflections.*

Definition 6. An element σ of G is a **reflection** if it fixes a subspace of codimension 1 in V .

Theorem 7 (Serre (1969)). *If $\mathbf{k}[V]^G$ is a polynomial ring, then the action of G on V is generated by reflections.*

Theorem 8 (Shephard and Todd (1954), Serre, Chevalley (1955), Clark and Ewing (1974)). *Suppose $|G|$ is invertible in \mathbf{k} , then $\mathbf{k}[V]^G$ is a polynomial ring if and only if the action of G on V is generated by reflections.*

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Theorem 5. *If there is a polynomial geometric separating algebra, then the action of G on V is generated by reflections.*

Definition 6. An element σ of G is a **reflection** if it fixes a subspace of codimension 1 in V .

Theorem 7 (Serre (1969)). *If $\mathbf{k}[V]^G$ is a polynomial ring, then the action of G on V is generated by reflections.*

Theorem 8 (Shephard and Todd (1954), Serre, Chevalley (1955), Clark and Ewing (1974)). *Suppose $|G|$ is invertible in \mathbf{k} , then $\mathbf{k}[V]^G$ is a polynomial ring if and only if the action of G on V is generated by reflections.*

Corollary 9. *Suppose $|G|$ is invertible in \mathbf{k} . There exists a polynomial geometric separating algebra if and only if the action of G on V is generated by reflections.*

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Recall that the action of $G = C_4$ on V was given by:

$$\sigma \mapsto \begin{pmatrix} i & 0 \\ 0 & i \end{pmatrix}.$$

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Recall that the action of $G = C_4$ on V was given by:

$$\sigma \mapsto \begin{pmatrix} i & 0 \\ 0 & i \end{pmatrix}.$$

Hence, G is not a reflection group, and so no geometric separating algebra is a polynomial ring. In other words, there are no geometric separating sets of size two, and thus

$$\{x^4, x^3y, y^4\}$$

is a geometric separating set of minimal size.

Some Interesting Examples

Consider the group G acting as follows on a 4-dimensional vector space over a field \mathbf{k} of characteristic $p > 0$ containing a root z of $Z^p - Z + 1$:

$$G = \left\langle \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & z \\ 0 & 0 & 0 & 1 \end{pmatrix} \right\rangle.$$

Then the ring of invariants is an hypersurface, but there is a polynomial separating set.

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Consider the group G acting as follows on a 4-dimensional vector space over a field \mathbf{k} of characteristic $p > 0$ containing a root z of $Z^p - Z + 1$:

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Then the ring of invariants is an hypersurface, but there is a polynomial separating set.

Remark 10.

- There are other similar examples.
- In all cases the groups are rigid groups, the isotropy subgroups are reflection groups.

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Theorem 11. *Let G be a finite group. If there is a finitely generated graded geometric separating algebra which is a complete intersection, then the action of G on V is generated by bireflections.*

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Theorem 11. *Let G be a finite group. If there is a finitely generated graded geometric separating algebra which is a complete intersection, then the action of G on V is generated by bireflections.*

Definition 12. An element σ of G is a **bireflection** if it has finite order and fixes a subspace of codimension 2 in V .

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Theorem 11. *Let G be a finite group. If there is a finitely generated graded geometric separating algebra which is a complete intersection, then the action of G on V is generated by bireflections.*

Definition 12. An element σ of G is a **bireflection** if it has finite order and fixes a subspace of codimension 2 in V .

Theorem 13 (Kac and Watanabe (1981), Gordeev (1982)). *Let G be a finite group. If the ring of G -invariants is a complete intersection ring, then the action of G on V is generated by bireflections.*

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(From now on: joint work with Jonathan Elmer and Martin Kohls.)

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(From now on: joint work with Jonathan Elmer and Martin Kohls.)

Theorem 14. *If V is faithful and modular, then there exists $r \geq 1$ such that, for all k , every graded geometric separating algebra in $\mathbf{k}[V^{\oplus k}]^G$ has Cohen-Macaulay defect at least $k - r - 1$. In particular, for $k > r + 1$, no graded geometric separating algebra in $\mathbf{k}[V^{\oplus k}]^G$ is Cohen-Macaulay.*

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Theorem 15. *Let G be a p -group. If there exists a graded geometric separating algebra in $\mathbf{k}[V]^G$ which is Cohen-Macaulay, then G is generated by elements acting as bireflections.*

Some More Interesting Examples

\mathbf{k} is a finite field and G is the group generated by the matrices of the form:

$$\left(\begin{array}{cccc|c} & & & & \mathbf{0} \\ \hline & I_4 & & & \\ \alpha & 0 & 0 & \delta & \\ 0 & \beta & 0 & \delta & I_m \\ 0 & 0 & \gamma & \delta & \end{array} \right),$$

where $\alpha, \beta, \gamma, \delta \in \mathbf{k}$, and I_4 is the identity matrix.

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where $\alpha, \beta, \gamma, \delta \in \mathbf{k}$, and I_4 is the identity matrix.

No graded geometric separating algebra in $\mathbf{k}[V]^G$ is Cohen-Macaulay.

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where $\alpha, \beta, \gamma, \delta \in \mathbf{k}$, and I_4 is the identity matrix.

No graded geometric separating algebra in $\mathbf{k}[V]^G$ is Cohen-Macaulay.

Remark 16.

- This is a reflection group (and so, in particular a bireflection group), but not a rigid group.
- The converts of Theorem 3 (for graded subalgebras) and theorem 7 do not hold.

A further interesting example

$G = C_2 \times C_2 = \langle \sigma, \tau \rangle$ is the Klein four group. Consider the 5-dimensional representation of G over a field of characteristic 2 given by

$$\sigma \mapsto \begin{pmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \quad \tau \mapsto \begin{pmatrix} 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}.$$

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$\mathbf{k}[V]^G$ is not Cohen-Macaulay, but there is a graded geometric separating algebra which is Cohen-Macaulay.

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$\mathbf{k}[V]^G$ is not Cohen-Macaulay, but there is a graded geometric separating algebra which is Cohen-Macaulay.

Remark 17. This is, of course, a bireflection group.

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Let $G = C_4$ and let V be as before.

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Let $G = C_4$ and let V be as before.

$$\mathbb{C}[x^4, x^3y, y^4] \subset \mathbb{C}[x^4, x^3y, xy^3, y^4] \subset \mathbb{C}[x^4, x^3y, x^2y^2, xy^3, y^4]$$

are all geometric separating algebras.

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are all geometric separating algebras.

$\mathbb{C}[x^4, x^3y, xy^3, y^4]$ is not Cohen-Macaulay.

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