

# Seminar on Optimization and Control in Physiological systems

We ask you to write a code to implement the Kappel CVS model given below. We will provide the parameters and initial conditions. After the code is written, use simulations to answer the following questions.

## 1. Questions

1. For the basic parameters given, what are the steady state values of the system.
2. In this question we ask you to consider some changes in parameters and **interpret** the effect of these changes on the system.
  - (a) What is the effect of increasing and decreasing the total blood volume  $V_0$  by 30 % ?
  - (b) What is the effect of increasing and decreasing  $A_{pesk}$  by 30 % ?
  - (c) What is the effect of increasing and decreasing  $\beta_l \beta_r$  by 40 % ?
  - (d) What is the effect of increasing  $t_d$  by 20 % ?
  - (e) What is the effect of increasing and decreasing  $H$  by 50 % ?
3. In this question we ask you to consider some changes in initial conditions and **interpret** the effect of these changes on the system.
  - (a) What is the effect of increasing and decreasing the initial value for  $P_{as}$  by 25 % ?
  - (b) What is the effect of increasing and decreasing the initial value for  $P_{vs}$  ?

## 2. CVS Model

$$c_{as}\dot{P}_{as}(t) = Q_l(t) - F_s(t), \quad (1)$$

$$c_{vs}\dot{P}_{vs}(t) = F_s(t) - Q_r(t), \quad (2)$$

$$c_{vp}\dot{P}_{vp}(t) = F_p(t) - Q_l(t), \quad (3)$$

$$\dot{S}_l(t) = \sigma_l(t), \quad (4)$$

$$\dot{S}_r(t) = \sigma_r(t), \quad (5)$$

$$\dot{\sigma}_l(t) = -\gamma_l\sigma_l(t) - \alpha_l S_l(t) + \beta_l H(t), \quad (6)$$

$$\dot{\sigma}_r(t) = -\gamma_r\sigma_r(t) - \alpha_r S_r(t) + \beta_r H(t), \quad (7)$$

These represent the state equations. We need to define  $Q_l$  and  $F_s$ , and  $Q_r$  and  $F_p$ . We also need an expression for  $P_{ap}$ . The following discussion shows how we do this. The equations to use for  $Q_l$  and  $Q_r$  are given by the expressions in (18). The equations to use for  $F_s$  and  $F_p$  are given by Equations (9) and (10). We also give a table of symbols (Table 1) and the block diagram for the cardiovascular-respiratory model (Figure 1). We are only modeling the CVS (cardiovascular) subsystem. Resistance  $R_s$  is given in Equation (19) and the expression for  $P_{ap}$  is given in Equation (8).

Mass balance equations for blood flowing through the systemic artery and vein components are given by equations (1) and (2) respectively. Equation (3) gives the mass balance equation for the pulmonary venous component. Under the assumption of a fixed blood volume  $V_0$ , the equation for the pulmonary arterial pressure can then be derived from the other cardiovascular compartment pressures:

$$P_{ap}(t) = \frac{1}{c_{ap}}(V_0 - c_{as}P_{as}(t) - c_{vs}P_{vs}(t) - c_{vp}P_{vp}(t)). \quad (8)$$

The Bowditch effect, which describes the observation that contractility  $S_l$  (respectively  $S_r$ ) increases if heart rate increases, is introduced via Equations (4) through (7). This relation is essentially modeled via a second order differential equation.

Blood flow  $F$ , which appears in equations (1) through (3) is related to blood pressure via a form of Ohm's law

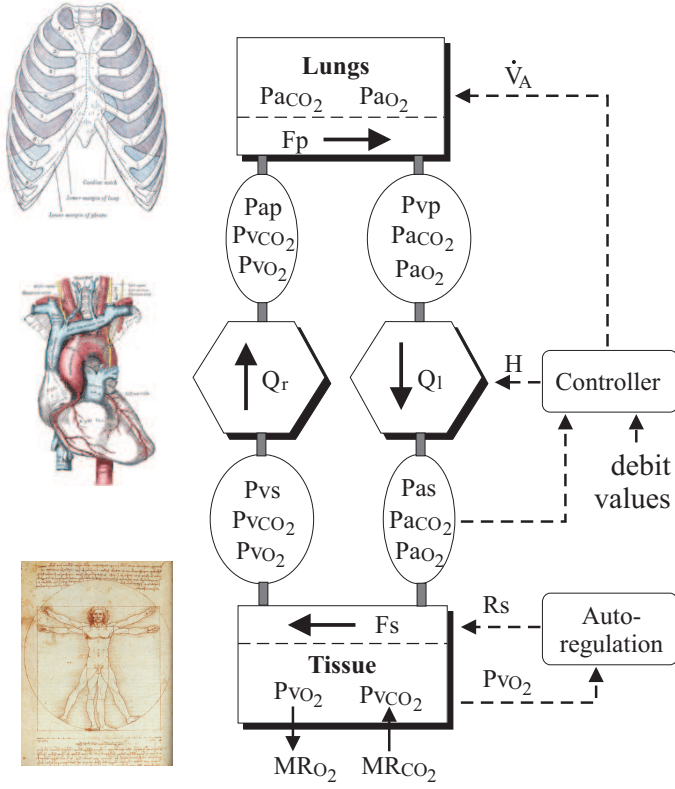
$$F_s(t) = \frac{P_{as}(t) - P_{vs}(t)}{R_s}, \quad (9)$$

$$F_p(t) = \frac{P_{ap}(t) - P_{vp}(t)}{R_p}, \quad (10)$$

where  $P_a$  is arterial blood pressure,  $P_v$  is venous pressure, and  $R$  is vascular resistance.

**Table 1.** Cardiovascular symbols

Symbol	Meaning	Unit
$\alpha$	coefficient of $S$ in the differential equation for $\sigma$	$\text{min}^{-2}$
$A_{\text{pesk}}$	$R_s = A_{\text{pesk}}C_{vO_2}$	$\text{mmHg} \cdot \text{min} \cdot \text{l}^{-1}$
$\beta$	coefficient of $H$ in the differential equation for $\sigma$	$\text{mmHg} \cdot \text{min}^{-1}$
$c_a$	arterial compliance	$\text{l} \cdot \text{mmHg}^{-1}$
$c_v$	venous compliance	$\text{l} \cdot \text{mmHg}^{-1}$
$F$	blood flow perfusing compartment	$\text{l} \cdot \text{min}^{-1}$
$H$	heart rate	$\text{min}^{-1}$
$\gamma$	coefficient of $\sigma$ in the differential equation for $\sigma$	$\text{min}^{-1}$
$P_{as}$	mean blood pressure in systemic arterial region	$\text{mmHg}$
$P_{vs}$	mean blood pressure in systemic venous region	$\text{mmHg}$
$P_{ap}$	mean blood pressure in pulmonary arterial region	$\text{mmHg}$
$P_{vp}$	mean blood pressure in pulmonary venous region	$\text{mmHg}$
$Q$	cardiac output	$\text{l} \cdot \text{min}^{-1}$
$R$	resistance in the peripheral region of a circuit	$\text{mmHg} \cdot \text{min} \cdot \text{l}^{-1}$
$S$	contractility of a ventricle	$\text{mmHg}$
$\sigma$	derivative of $S$	$\text{mmHg} \cdot \text{min}^{-1}$
$u_1$	control function, $u_1 = \dot{H}$	$\text{min}^{-2}$
$V_{str}$	stroke volume of a ventricle	$\text{l}$
$V_0$	total blood volume	$\text{l}$
$l, r$	left and right heart	-
$p, s$	pulmonary and systemic circuits	-



**Fig. 1.** Model block diagram

As mentioned above, cardiac output  $Q$  is defined as the mean blood flow over the length of a pulse,

$$Q(t) = H(t)V_{str}(t), \quad (11)$$

where  $H$  is the heart rate and  $V_{str}$  is the stroke volume. Subindices  $l$  and  $r$  are used to distinguish between left and right ventricle. Subindices  $s$  and  $p$  represent systemic and pulmonary circuits respectively. We will use a complex relationship between stroke volume and blood pressure given in Kappel and Peer [1] which reflects the Frank-Starling law and the basic relation

$$V_{str}(t) = S(t) \frac{cP_v(t)}{P_a(t)}. \quad (12)$$

Here  $S$  denotes the contractility,  $P_v$  is the venous filling pressure,  $P_a$  is the arterial blood pressure opposing the ejection of blood, and  $c$  denotes the compliance of the relaxed ventricle.

We need that

$$\frac{S}{P_a} \leq 1, \quad (13)$$

since otherwise more blood volume would be ejected than has been contained in the ventricle. To make (12) meaningful for all pairs of  $S$  and  $P_a$ , we replace  $S$  in (12) by  $f(S, P_a)$ ,

$$V_{str} = f(S, P_a) \frac{cP_v}{P_a}, \quad (14)$$

where  $f$  is defined as (cf. Kappel et al. [1])

$$f(s, p) = 0.5(s + p) - 0.5((p - s)^2 + 0.01)^{1/2}. \quad (15)$$

This function is in principle equal to  $\min(s, p)$ . The term 0.01 is introduced to smooth  $f(s, p)$  around  $s = p$ . To implement the basic relations for filling pressure of the ventricle and systolic and diastolic volume and other matters discussed in class we use the following complex formulas for  $Q$ :

We get the dependence of  $V_{str}$  upon  $P_v$ ,  $P_a$ , and  $S$ ,

$$V_{str} = \frac{cP_v f(S, P_a)(1 - e^{-\frac{t_d}{Rc}})}{P_a(1 - e^{-\frac{t_d}{Rc}}) + f(S, P_a)e^{-\frac{t_d}{Rc}}}. \quad (16)$$

For the duration of the diastole we assume

$$t_d = \frac{60}{H} - \kappa \left(\frac{60}{H}\right)^{1/2}, \quad (17)$$

with the empirical factor  $\kappa = 0.4$  (see Kappel and Peer [1]). We can now write the left and right cardiac output as

$$Q_l = H \frac{c_l P_{vp} f(S_l, P_{as})(1 - e^{-\frac{t_d}{R_l c_l}})}{P_{as}(1 - e^{-\frac{t_d}{R_l c_l}}) + f(S_l, P_{as})e^{-\frac{t_d}{R_l c_l}}}, \quad (18)$$

$$Q_r = H \frac{c_r P_{vs} f(S_r, P_{ap})(1 - e^{-\frac{t_d}{R_r c_r}})}{P_{ap}(1 - e^{-\frac{t_d}{R_r c_r}}) + f(S_r, P_{ap})e^{-\frac{t_d}{R_r c_r}}}.$$

The control for the system is heart rate  $H$ .

Local metabolic autoregulation of systemic resistance is modeled using the assumption that systemic resistance  $R_s$  depends on venous oxygen concentration  $C_{vO_2}$ . Thus  $R_s$  is described by

$$R_s = A_{pesk} C_{vO_2}, \quad (19)$$

where  $A_{pesk}$  is a parameter. This relationship was introduced by Peskin and is based on work on autoregulation by Huntsman.

**Table 2.** Optimal control parameters: normal adult sleep transition

Parameter	Awake
$H$	75.0
$A_{pesk}$	147.16
$C_{vO_2}$	0.135
$R_p$	0.965
$\beta_l$	85.89
$\beta_r$	2.083
$\alpha_l$	89.47
$\alpha_r$	28.46
$\gamma_l$	37.33
$\gamma_r$	11.88
$c_{ap}$	0.03557
$c_{as}$	0.01002
$c_{vp}$	0.1394
$c_{vs}$	0.643
$c_l$	0.01289
$c_r$	0.06077
$\kappa$	0.4

**Table 3.** Initial states

Steady State	Awake
$P_{as}$	101.7
$P_{vs}$	3.619
$P_{vp}$	7.477
$S_l$	71.999
$S_r$	5.488
$\sigma_l$	0.0
$\sigma_r$	0.0

### 2.1. Parameters and initial values

The parameter values are given by:

The reference paper for this model is given in bibliography.

### References

1. F. Kappel, R. O. Peer, *A mathematical model for fundamental regulation processes in the cardiovascular model*, J. Math. Biol., 1993, 31: 611-631.