

Atomicity and Factorizations in Monoid Algebras

Felix Gotti
fgotti@mit.edu

Massachusetts Institute of Technology

Ring Theory Seminar
University of Graz

March 7, 2024

- 1 Preliminaries on Monoids
- 2 Preliminaries on Monoid Algebras
- 3 Atomicity and Factorizations
- 4 Weaker Notions of Atomicity

General Notation

General notation adopted here:

- $\mathbb{N} := \{1, 2, 3, \dots\}$,
- $\mathbb{N}_0 := \{0\} \cup \mathbb{N} = \{0, 1, 2, \dots\}$,
- \mathbb{P} denotes the set of primes, and
- \mathbb{F}_q denotes the field of q elements.

Definition: A **commutative monoid** is a pair $(M, *)$, where M is a set and $*$ is a binary operation on M satisfying the following conditions.

- $*$ is associative: $b * (c * d) = (b * c) * d$ for all $b, c, d \in M$;
- $*$ is commutative: $b * c = c * b$ for all $b, c \in M$;
- there exists $e \in M$ such that $e * b = b$ for all $b \in M$.

Today's Conventions:

- We call a commutative monoid $(M, *)$ simply a **monoid** if it is **cancellative**: $b * d = c * d$ implies $b = c$ for all $b, c, d \in M$.
- For a monoid $(M, *)$, we write M instead of $(M, *)$.
- Any monoid M is written additively, unless otherwise specified: we denote the binary operation of M by $+$ instead of $*$.

Examples of Monoids:

- 1 Abelian groups: \mathbb{Z} and $\mathbb{Z}/n\mathbb{Z}$ for every $n \in \mathbb{N}$.
- 2 \mathbb{N}_0 , $\mathbb{Q}_{\geq 0}$, and $\mathbb{R}_{\geq 0}$ with the standard addition.
- 3 \mathbb{N} , $\mathbb{Q}_{\geq 1}$, and $\mathbb{Q}_{> 0}$ with the standard multiplication.
- 4 The multiplicative set/monoid R^* of any integral domain R .

More Examples of Monoids

Definition: A subset S of a monoid M is called a **submonoid** of M if S contains the identity element and is closed under the operation of M .

- Submonoids of \mathbb{N}_0 are called **numerical monoids**.
 - $\langle p, q \rangle := \{mp + nq : m, n \in \mathbb{N}_0\}$ for distinct $p, q \in \mathbb{P}$.
 - $\{0\} \cup \mathbb{N}_{\geq k}$ for every $k \in \mathbb{N}$.
- Submonoids of $\mathbb{Q}_{\geq 0}$ are called **Puiseux monoids**.
 - $\{0\} \cup \mathbb{Q}_{\geq r}$ for any $r \in \mathbb{R}_{>0}$.
 - $\langle \frac{1}{p} : p \in \mathbb{P} \rangle$.

Examples:

- 1 Krull monoids,
- 2 Monoids of zero-sum sequences,
- 3 Mori monoids,
- 4 Lattice monoids,
- 5 Power monoids.

Totally Ordered Monoids

Definition: Let M be a monoid.

- M is **torsion-free** if for all $b, c \in M$ and $n \in \mathbb{N}$, the equality $nb = nc$ implies that $b = c$.
- The pair (M, \preceq) is a **totally ordered monoid (TOM)** if \preceq is a total-order relation on M such that for all $b, c, d \in M$, the inequality $b \prec c$ implies $b + d \prec c + d$.

Examples:

- 1 Every totally ordered abelian group is a TOM.
- 2 Every Puiseux monoid under the standard order is a TOM.
- 3 Every submonoid of $(\mathbb{Z}^2, +)$ under the lexicographical order is a TOM.

Theorem (Levi, 1913)

A commutative monoid M can be turned into a TOM if and only if it is cancellative and torsion-free.

Let R be a commutative ring with identity.

Definition (Monoid Algebra)

For a commutative monoid M , the **monoid algebra** $R[M]$ of M over R is the commutative ring with identity consisting of all polynomial expressions in an indeterminate x with coefficients in R and exponents in M , with polynomial-like addition and multiplication.

Examples of Monoid Algebras:

- 1 Polynomial rings: $R[x]$ is the monoid algebra of \mathbb{N}_0 over R .
- 2 A numerical monoid algebra: $R[x^p, x^q]$ is the monoid algebra of the numerical monoid $\langle p, q \rangle$ over R , for any distinct $p, q \in \mathbb{P}$.
- 3 Laurent polynomial rings: $R[x, \frac{1}{x}]$ is the monoid algebra of \mathbb{Z} over R .

Definition: When G is an (abelian) group, $R[G]$ is often called the **group algebra** of G over R .

Integral Monoid Algebras

Question: Which monoid algebras are integral domains?

Theorem (well-known)

Let M be a commutative monoid, and let R be a commutative ring with identity. Then the following conditions are equivalent.

- $R[M]$ is an integral domain.
- R is an integral domain, and M can be turned into a TOM.
- R is an integral domain, and M is both cancellative and torsion-free.

Definition: Let $R[M]$ be an integral monoid algebra, and let (M, \preceq) be a TOM. Then every nonzero element $f \in R[M]$ can be written canonically as follows:

$$f = r_1 x^{m_1} + \cdots + r_k x^{m_k},$$

where $r_1 r_2 \cdots r_k \neq 0$ and $m_1 \succ m_2 \succ \cdots \succ m_k$. In this case,

- $\text{supp } f := \{m_1, \dots, m_k\}$ is the **support** of f , and
- $\text{deg } f := m_1$ is the **degree** of f .

Group of Units and Dimension

Definition: The invertible elements of a monoid M are often called **units**, and the group of units of M is denoted by $\mathcal{U}(M)$.

Theorem (well-known)

Let R be an integral domain, and let M be a monoid. Then $R[M]^\times = \{rx^m : r \in R^\times \text{ and } m \in \mathcal{U}(M)\}$.

Definition: Let M be a monoid.

- The group of formal differences of M is called its **difference group** and is denoted by $\mathcal{G}(M)$.
- The **rank** of M is the rank of the abelian group $\mathcal{G}(M)$.

Theorem

Let R be an integral domain, and let M be a monoid.

- (Gilmer-Parker, 1974) If $R[M]$ is integral, then $\dim R[M] \geq 1 + \dim R$.
- (Okninnski, 1988) If R is Noetherian, then $\dim R[M] = \dim R + \text{rank } M$.

Definition: Let M be a monoid.

- $a \in M \setminus \mathcal{U}(M)$ is an **atom** (or an **irreducible**) if for any $b, c \in M$ the equality $a = b + c$ implies that either $b \in \mathcal{U}(M)$ or $c \in \mathcal{U}(M)$.
- We let $\mathcal{A}(M)$ denote the set of atoms of M .
- $b \in M$ is **atomic** if either b is a unit or $b = a_1 + \cdots + a_n$ for some $a_1, \dots, a_n \in \mathcal{A}(M)$.
- M is **atomic** if every element of M is atomic.
- An integral domain is **atomic** if its multiplicative monoid is atomic.

Examples of atomic monoids and atomic domains:

- 1 Numerical monoids are atomic.
- 2 Noetherian domains are atomic.
- 3 Krull monoids/domains are atomic.
- 4 $\mathbb{Q}_{\geq 0}$ is a non-atomic monoid.
- 5 $\mathbb{Z} + x\mathbb{Q}[x]$ is a non-atomic domain.

Ascent of Atomicity to Monoid Algebras

Gilmer's Question (1984):

Is a monoid algebra $R[M]$ necessarily atomic provided that R is an atomic domain and M is an atomic monoid?

Gilmer's question admits the following two natural refined versions.

Question 1:

Question (Anderson-Anderson-Zafrullah, 1990)

Is $R[x]$ atomic provided that R is an atomic domain?

Answer: No (Roitman, 1993).

Question 2:

Question

Given a field F , is $F[M]$ atomic provided that M is an atomic monoid?

Answer: No (Coykendall-G., 2019; G.-Rabinovitz, 2023).

Ascent of Atomicity to Monoid Algebras (over fields)

Theorem (G.-Coykendall, 2019)

For every $p \in \mathbb{P}$, there exists an atomic monoid M of rank at most 2 such that the monoid algebra $\mathbb{F}_p[M]$ is not atomic.

Observations:

- Con 1: The theorem only works for specific fields.
- Con 2: When p is odd, the monoid provided in the corresponding construction has rank 2.
- Con 3: The constructed monoid depends on the given field.

Here is a more recent result resolving the three cons.

Theorem (G.-Rabinovitz, 2023)

There exists an atomic rank-1 monoid M such that the monoid algebra $F[M]$ is not atomic for any field F .

Ascent of Atomicity to Monoid Algebras (over integral domains)

Theorem (Coykendall-G., 2019)

There exists an atomic monoid M (with $\text{rank } M = \aleph_0$) such that for each integral domain R , the monoid algebra $R[M]$ is a non-atomic integral domain.

Remarks:

- Pro 1: This theorem works not only for fields, but also for integral domains.
- Pro 2: The monoid M is somehow *universal*: it does not depend on the choice of the integral domain R .
- Con: The monoid M is too big: it has infinite rank.

Here is a more recent result resolving the last con.

Theorem (G.-Rabinovitz, 2024)

There exists an atomic rank-1 monoid M such that the monoid algebra $R[M]$ is not atomic for any integral domain R .

Weaker Notions of Atomicity: Open Question 1

Definition: Let M be a monoid.

- $b \in M$ is **almost atomic** if there exists an atomic element $c \in M$ such that $b + c$ is atomic.
- M is **almost atomic** if every element of M is almost atomic.
- An integral domain is **almost atomic** if its multiplicative monoid is almost atomic.

Remark: Every atomic monoid/domain is almost atomic.

Examples:

- 1 If $M := \langle \frac{1}{p} : p \in \mathbb{P} \rangle$, then $M \cup \mathcal{G}(M)_{\geq 1}$ is almost atomic but not atomic.
- 2 $\mathbb{Z} + \mathbb{Z}x + x^2\mathbb{Q}[x]$ is almost atomic but not atomic.

Open Question 1: Does the property of being almost atomic ascend from a monoid M to its monoid algebras over fields?

Weaker Notions of Atomicity: Open Question 2

Definition: Let M be a monoid.

- $b \in M$ is **quasi-atomic** if there exists $c \in M$ such that $b + c$ is atomic.
- M is **quasi-atomic** if every element of M is quasi-atomic.
- An integral domain is **quasi-atomic** if its multiplicative monoid is quasi-atomic.







Remark: Every almost atomic monoid/domain is quasi-atomic.

Examples:







- 1 $\mathbb{Z}[1/2]_{\geq 0} \cup \mathbb{Z}[1/3]_{\geq 4/3}$ is quasi-atomic but not almost atomic.
- 2 $\mathbb{Z} + \mathbb{Z}x + x^2\mathbb{R}[x]$ is quasi-atomic but not almost atomic.

Open Question 2: Does the property of being quasi-atomic ascend from a monoid M to its monoid algebras over fields?

References

-  D. D. Anderson, D. F. Anderson, and M. Zafrullah: *Factorizations in integral domains*, J. Pure Appl. Algebra **69** (1990) 1–19.
-  J. G. Boynton and J. Coykendall: *On the graph divisibility of an integral domain*, Canad. Math. Bull. **58** (2015) 449–458.
-  J. Coykendall and F. Gotti: *On the atomicity of monoid algebras*, J. Algebra **539** (2019) 138–151.
-  A. Geroldinger and F. Halter-Koch: *Non-Unique Factorizations: Algebraic, Combinatorial and Analytic Theory*, Pure and Applied Mathematics Vol. 278, Chapman & Hall/CRC, Boca Raton, 2006.
-  R. Gilmer: *Commutative Semigroup Rings*, Chicago Lectures in Mathematics, The University of Chicago Press, London, 1984.
-  R. Gilmer and T. Parker: *Semigroup rings as Prüfer rings*, Duke Math. J. **41** (1974) 219–230.

References

-  F. Gotti: *On semigroup algebras with rational exponents*, *Comm. Algebra* **50** (2022) 3–18.
-  F. Gotti and H. Rabinovitz: *On the ascent of atomicity to one-dimensional monoid algebras*. Submitted. Preprint on arXiv: <https://arxiv.org/abs/2310.18712>.
-  A. Grams: *Atomic rings and the ascending chain condition for principal ideals*, *Proc. Cambridge Philos. Soc.*, **75** (1974) 321–329.
-  F. W. Levi: *Arithmetische Gesetze im Gebiete diskreter Gruppen*, *Rend. Circ. Mat. Palermo* **35** (1913) 225–236.
-  J. Okniński: *Commutative monoid rings with krull dimension*. In: *Semigroups Theory and Applications* (Eds. H. Jürgensen, G. Lallement, and H. J. Weinert), pp. 251–259. *Lecture Notes in Mathematics*, vol. 1320. Springer, Berlin, Heidelberg, 1988.
-  M. Roitman: *Polynomial extensions of atomic domains*, *J. Pure Appl. Algebra* **87** (1993) 187–199.

THANK YOU!